NSTC INSTRUCTION 1533.2A

Subj: REGULATIONS FOR OFFICER DEVELOPMENT (ROD) FOR THE NAVAL RESERVE OFFICERS TRAINING CORPS (NROTC)

Ref: (a) DoD Instruction 1215.08
     (b) OPNAVINST 5450.336
     (c) Title 10, United States Code, Chapter 103 (Section 2102, et seq.)
     (d) Title 37, United States Code, Chapter 3 (Section 209)

Encl: (1) Regulations for Officer Development (ROD) for the NROTC Officer Accession Programs

1. Purpose. To prescribe regulations for the administration and management of the Naval Reserve Officers Training Corps (NROTC) programs per the authority contained in references (a) through (d).

2. Supersedes. NSTCINST 1533.2

3. Discussion

   a. An act of Congress dated 4 March 1925 originally created the "Contract NROTC Program." A later act, dated 13 August 1946, created the "Regular NROTC Program," also known as the "Holloway Plan". A subsequent act, dated 13 October 1964 and modified in reference (c), replaced these earlier acts without substantive change but changed the name of the program to the "Senior Reserve Officers Training Corps Program". The regulations contained in this instruction implement references (c) and (d).

   b. NROTC programs are conducted at civilian universities and colleges and are made up of five types of students:

      (1) NROTC Scholarship Program Midshipmen. These students who, after enlistment in the Naval Reserve, are appointed as Midshipmen, U.S. Naval Reserve, pursuant to reference (d).
(2) NROTC College Program students. These students have no military status. College Program students may be selected for enrollment in the Advanced Course as they enter their junior year. Immediately prior to the start of the Advanced Course, College Program students are enlisted in the Naval Reserve. College Program Students selected for the Advanced Course are commissioned upon graduation.

(3) Seaman to Admiral-21 Officer Candidates are active duty enlisted personnel who were competitively selected to participate in an educational degree program which leads to a commission in the United States Navy.

(4) Marine Enlisted Commissioning and Education Program Officer Candidates are active duty enlisted personnel who were competitively selected to participate in a baccalaureate degree program which leads to a commission in the United States Marine Corps.

(5) Strategic Sealift Officer, United States Naval Reserve students are appointed Midshipmen, U.S. Naval Reserve pursuant to OPNAVINST 1534.1D.

c. Appointment in any status other than those indicated above is not authorized.

d. The provisions of subparagraphs 3a through 3c above are meant to be explanatory only. They do not create any rights, entitlement, or benefit not granted by Federal statute.

4. Action

a. Enclosure (1) implements in detail the laws enacted by references (c) and (d) and various other statutes. Commanding Officers and Officers In Charge are directed to comply with this order and are authorized to further implement references (c) and (d) through local instructions that do not conflict with the spirit or intent of this directive. These regulations are binding on all personnel assigned to NROTC units and Departments of Naval Science.

b. Suggestions to improve program administrative policy and procedures are encouraged and shall be submitted to NSTC, Administrative Officer (AO), 2601A Paul Jones Street, Great Lakes, IL, 60088-2845.
c. The inventory of forms identified throughout enclosure (1), are the only forms authorized for daily unit operations. These forms can be downloaded via the Naval Service Training Command website at http://www1.netc.navy.mil/nstc/directives.asp.

DAVID F. STEINDL
Rear Admiral, U. S. Navy

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REGULATIONS
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1-14 Naval Junior Reserve Officers Training Corps (NJROTC) and Marine Corps Junior Reserve Officers Training Corps (MCJROTC) Program Assistance
1-1 Authorization. The United States originally established the Naval Reserve Officers Training Corps (NROTC) under authority of the Contract NROTC Program Act of 4 March 1925 (Sec. 22) and the Regular NROTC Program Act of 13 August 1946, as amended and reenacted. These acts have been replaced by the Senior Reserve Officers Training Corps Program Act of 13 October 1964 (10 U.S.C. 2101 et seq.).

1-2 Supervision

1. In conformity with the provisions of existing law, the NROTC Program operates through appropriate directives issued by the Department of the Navy (DoN) and the Department of Defense (DoD). DoD Directive 1215.8 outlines policy for the Senior Reserve Officers Training Corps Programs and provides guidance for the administration and operation of the NROTC Program.

2. Commander, Naval Service Training Command (CNSTC) prescribes courses for theoretical and practical professional naval education for NROTC units, provides NROTC units with the necessary transportation, equipment, and uniforms, prescribes policies, and authorizes such expenditures from proper appropriations as necessary for the efficient execution of the NROTC Program.

3. Naval Service Training Command (NSTC) is the administrative agent for the NROTC Program, Seaman to Admiral-21 Program (STA-21), Strategic Sealift Officer (SSO), United States Naval Reserve (USNR) Midshipman (MIDN) Program at the United States Merchant Marine Academy (USMMA), Marine Enlisted Commissioning Education Program (MECEP), Meritorious Commissioning Program (Marine) (MCP), and exercises military command of all NROTC units and Departments of Naval Science (DNS). NSTC Officer Development (OD) is the Program Manager and the point of contact for NSTC OD programs and procedures.

1-3 Terms

The following standard terminology is used throughout this document:
a. Abbreviated references to Academies and/or Naval Activities will be in accordance with the Standard Naval Distribution List (SNDL), Catalog of Naval Shore Activities.

b. References in this manual to the Commanding Officer (CO) and Professor of Naval Science (PNS) are considered synonymous, unless otherwise noted.

c. References to DNS may refer to either the academic department of the college/university headed by the PNS or the activity headed by the Officer in Charge (OIC) at a maritime academy. Meaning is determined by context of the topic.

d. The term “student” is used to refer generically to any student in the NROTC Program, MECEP, STA-21 Program, or SSO, USNR MIDN Program without regard to gender, unless specifically noted.

1-4 Program Mission, Goals, Honor Code, and Core Values

1. Mission. To develop future officers mentally, morally, and physically, and to instill in them the highest ideals of duty, loyalty, and the core values of Honor, Courage, and Commitment in order to commission college graduates as Naval officers who possess a basic professional background, are motivated toward careers in the Naval Service, and have a potential for future development in mind and character so as to assume the highest responsibilities of command, citizenship, and government.

2. Goals. The primary objectives are to provide students with:

   a. An understanding of the fundamental concepts and principles of Naval Science.

   b. A basic understanding of associated professional knowledge.

   c. An appreciation of the requirements for national security.

   d. A strong sense of personal integrity, honor, and individual responsibility.

   e. An educational background which will allow students to perform successfully in their careers, pursue continuing education in a field of application, and further their interest in the Naval Service.
f. A high state of physical readiness.

3. Honor Code. Military systems, which often operate under extreme duress, are built on a foundation of absolute trust and fidelity. NROTC must instill honor upon future officers during accession training and ensure that honor is carried into fleet service. A future officer does not lie, cheat or steal.

4. Core Values. Throughout its history, the Naval Service has successfully operated through reliance on certain values held by its personnel. Naval leaders have attributed these values to be among the most important factors that contributed to the success of our organization and to their own personal success. Core values are Honor, Courage and Commitment. A Naval officer must exhibit these values in the everyday practice of his or her profession.

   a. Honor. Honor is a keen sense of ethical conduct, honesty, integrity, and responsibility. Honor includes honesty, at all times no matter the outcome. It is respect to both juniors and seniors. Finally, it includes upholding one’s self at all times to the highest personal standards in responsibility and accountability.

   b. Courage. Future officers must meet the demands of the Naval Service and the mission when it is hazardous, demanding or otherwise difficult, make decisions in the best interest of the Naval Service and the nation, without regard to personal consequences, meet all challenges while adhering to a higher standard of personal conduct and decency, be loyal to our nation, ensuring the resources entrusted to us are used in an honest, careful and efficient way, and have the moral and mental strength to do what is right, even in the face of personal or professional adversity.

   c. Commitment. Every officer is responsible for the safety, professional, personal, and spiritual well-being of their personnel. Future officers must show respect toward all people without regard to race, religion or gender and treat each individual with human dignity. They must be committed to positive change and constant improvement, exhibit the highest degree of moral character, technical excellence, quality, and competence in what we have been trained to do, and work together as a team to improve the quality of our work, our people, and ourselves.
1-5 Intent of The NROTC Program. The intent of the NROTC Program is to provide officer accessions for the Navy and Marine Corps and to provide and maintain Naval officer strength by:

1. Qualifying students for appointment as Ensigns in the Navy or Second Lieutenants in the Marine Corps.

2. Increasing dissemination of information concerning the Navy and Marine Corps, their purposes, ideals and achievements, thereby gaining and holding increased public interest in the maintenance of adequate Naval preparedness.

1-6 Organization of The NROTC Program. The NROTC Program is composed of Naval Units established at civilian institutions of higher education in the United States. These units are officially designated as "NROTC Unit, [Institution Name]," or in the case of consortia and Maritime Academies, by a term descriptive of the associated institutions.

1-7 Department of Naval Science. Instruction given at state and federal maritime academies, per the programs prescribed, shall be conducted and supervised by the OIC, DNS.

1-8 Establishment and Continuation of Units

1. Upon approval by the Secretary of the Navy (SECNAV), NSTC may establish an NROTC unit for the purpose of preparing selected students for commissioned service at any accredited civilian educational institution authorized to grant baccalaureate degrees.

2. The establishment, continuation, and disestablishment of an NROTC unit shall be per Title 10, Section 2101, et seq., United States Code and appropriate DoD and DoN directives.

3. A civilian institution of higher education desiring to establish an NROTC unit must apply to DoN. Decisions concerning establishment are the prerogative of SECNAV. NSTC OD5 coordinates the application process.

4. NSTC shall review the effectiveness and efficiency of each unit annually to assess its viability in terms of established criteria. Units assessed as substandard will be placed in an evaluation status or disestablished by NSTC per directives issued by DoD and DoN.
1-9 Withdrawal of Authority for Establishment of a Unit. An institution desiring its NROTC unit be withdrawn will report in writing, giving reasons in full, to NSTC OD at least three months prior to the date upon which withdrawal is to be effective. A unit will not be maintained at an institution when the institution, after thorough consideration, desires its withdrawal. Ordinarily, NSTC will withdraw a unit at the end of an academic year. SECNAV may, upon the recommendation of NSTC OD, via CNSTC, and upon at least three months written notice to the institution, withdraw the unit from an institution. Whenever the authorities of an institution request the withdrawal of a unit, or when in the opinion of the PNS a unit should be withdrawn, the PNS shall write to NSTC OD providing details of the withdrawal request. In all cases, final authority for withdrawal is with SECNAV.

1-10 Cross-Enrollment

1. Quality students from nearby institutions may cross-enroll in the NROTC Program when the Navy, host institution and the non-host institution create or have in effect written agreements that permit such enrollments.

2. To establish new cross-enrollment agreements, a written request for each agreement is submitted to NSTC OD5. This request must include a cover letter and a copy of an agreement proposal. The proposed agreement must, among other things, address acceptance of Naval Science courses as college-level study, transfer of credit for these courses, and enrollment procedures. Conditions for canceling the agreement and other matters deemed appropriate should be made part of the agreement. Parties to the agreement are the NROTC unit, the host institution, and cross-town (non-host) college or university. NSTC will make the final decision regarding establishment of cross-town agreements. Units must possess CNSTC’s written approval before signing, or allowing university officials to sign, the agreement.

3. Separate written agreements are required for each non-host institution. Agreements with community or junior colleges shall not be negotiated unless the host institution confirms the admission of students upon successful completion of a college transfer program at the non-host institution. Each NROTC unit shall maintain a file of its agreements in effect.

4. Each NROTC unit shall ensure that one copy of each applicable agreement is on file at NSTC OD5. When new
agreements are negotiated, current agreements are dissolved, or when changes in contractual terms are implemented, NSTC OD must concur and approve such changes.

5. Successful negotiation of a cross-enrollment agreement does not imply approval for establishment of a future NROTC unit.

1-11 Limitation of NROTC Program Size. NSTC develops program strength plans based on projected officer accession requirements established by the Chief of Naval Operations (CNO). These plans are subject to statutory limits, funding constraints, and approved strength levels.

1-12 Land Grant Institutions. The obligations of land grant institutions to provide military instruction, imposed by the Act of 2 July 1862 (7 U.S.C. 304), are not altered by the enacting law authorizing the NROTC nor by this instruction. The military training requirements, prescribed by the above act, are considered to be fulfilled by students who have successfully completed two years of Naval Science courses and drills.

1-13 Facilities, Equipment, and Services. The responsibilities of the NROTC unit and the host institution, including facilities, equipment, and services provided by the institution, shall be per the terms of the Agreement to Establish and Maintain an NROTC Unit.

1-14 Naval Junior Reserve Officers Training Corp and Marine Corps Junior Reserve Officers Training Corps Program Assistance

1. General. As part of the NROTC Program, units shall serve as a “Big Brother” to one or more of the NJROTC and/or MCJROTC units in the area. NSTC OD5 will coordinate the assignment process. NSTC OD5 will also incorporate the practical sponsorship requirements into the NROTC Program and will serve as the point of contact on all matters relating to the emphasis of the “Big Brother” program.

2. Authorization
   a. NSTC authorizes and encourages NROTC units to use their staff and students to support and sponsor NJROTC/MCJROTC activities such as:

      (1) Host/conduct on-campus drill and field meets. Conduct on-campus air rifle matches and serve as range safety officers.
(2) Provide escorts to NJROTC cadets visiting the college campus for orientation and allow cadets to attend NROTC classes with the students.

b. Visits to NJROTC Units

(1) Conduct visits to NJROTC units to acquaint students with the NROTC Scholarship Program.

(2) Disseminate information to the NJROTC cadets concerning the NROTC Program purposes, ideals, and achievements.

(3) Provide guest speakers to the NJROTC Naval Science classes.

(4) Assist the NJROTC unit’s Naval Science Instructor (NSI) with cadet inspection and other ceremonial events.

(5) Provide assistance with all areas of the NJROTC armed and unarmed drill teams’ training and performance.

(6) Provide assistance with NJROTC sailing activities.

(7) Provide mentoring of NJROTC cadets.

c. Administrative Assistance to NJROTC Units

(1) Provide Naval training aids and/or equipment as requested and as available.

(2) Provide logistics advice and assistance as needed.
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Chapter 2

Unit Operations and Administration

2-1 General Policies

1. Program Supervision. Supervision, control, and direction of the Officer Development Programs are administered by SECNAV and NETC through NSTC.

2. Academic Relationships. Academic institution officials have the same academic relationship with the Department of Naval Science that they have with other departments of the institution.

3. Unit Supervision. COs and OICs shall ensure that each individual in their command is aware they are fully accountable for their own actions. They shall assign clear lines of authority and responsibility, provide adequate resources and supervision for subordinates to meet prescribed responsibilities, and initiate appropriate corrective action when individuals fail to meet their responsibilities.

2-2 Head of the Department of Naval Science

1. Assignment.
   a. Professor of Naval Science. The Head of the DNS at an institution where an NROTC unit is established is the officer ordered by BUPERS or MCRC as CO of the NROTC unit with additional duty as Professor of Naval Science (PNS). PNSs should have a broad knowledge of the education field, both secondary and higher education. They must also be technically qualified in the subject area of Naval Science, be capable of teaching at the college level, and have the ability to administer an academic program at a college or university. A previous command tour is desirable; a graduate degree is essential.

   b. Officer-in-Charge. The Head of the DNS at a Maritime Institution where an NROTC unit is NOT established is the officer ordered by BUPERS as OIC.

2. Reporting Relationships. The PNS/OIC reports:
a. Directly to CNSTC for all matters relating to the command and control of a Naval activity and for the professional development of NROTC midshipmen and officer candidates;

b. To the Naval Installations Command, Regional Commander for the purposes of antiterrorism and force protection (AT/FP) and other regional functions; and

c. To college or university officials responsible for academic and institutional governance for matters relating to the instruction of Naval Science on campus.

3. Academic Institution Benefits. As required by 10 USC § 2102, each academic institution hosting an NROTC unit must accord the academic rank of “Professor” to the PNS, together with all prerogatives and privileges (except tenure and financial benefits) associated with such rank. The PNS or OIC, together with all other officers serving as instructors in the Department of Naval Science, must be considered faculty members by the academic institution, shall be accorded ranks and titles commensurate with their faculty status comparable to those of their civilian colleagues and may thereby accept the benefits from the institution listed in Section 6.4 of DoD Instruction 1215.08.

4. Duties. The PNS or OIC shall:

a. Perform General Administration and Management. Carry out all duties required by applicable law and policy and ensure the appropriate personnel at the academic institution(s) are aware of any changes to such law and policy. Maintain appropriate records for the staff and students assigned, resources allocated, and other matters relating to, their unit. Request appropriate resources and execute program resource allocations to meet NROTC or SSR and host institution goals and objectives.

b. Act as Commanding Officer or OIC. The PNS is the CO of all Naval personnel and supervisor of all civil service personnel assigned to the DNS. The OIC at each maritime academy has authority over all Naval Service personnel assigned to their unit. The PNS/OIC shall conduct periodic inspections of military service members attached to the DNS staff.

c. Provide Curriculum Support. Supervise and evaluate the instruction of the Naval Science curriculum. Plan and implement improvements and changes in courses to meet the requirements of
the Navy, Marine Corps, and the host institution. Establish high standards of teaching effectiveness and student achievement. Teach Naval Science courses and lecture on Naval Science subjects as appropriate.

d. Evaluate Performance of Naval Science Instructors. Evaluate the performance of the Naval Science faculty to ensure a high level of performance. Evaluation of Naval Science instructor performance should be accomplished at least once every six weeks. In all cases, the goal of the evaluation is to improve instructor delivery and enhance student understanding of subject material. The PNS/OIC shall also encourage professional growth of the Naval Science faculty.

5. Supervise Student Instruction and Development

a. NROTC, STA-21, MECEP and SSP Students. Supervise the ethical, professional, and military development of students, ensuring that each maintains high standards of performance and meets all qualifications to become a newly commissioned officer in the Navy, Marine Corps, or the USNR Strategic Sealift Program. Conduct periodic inspections of students. Supervise the planning of academic study programs for students to ensure compatibility with the needs of the Naval Service and the students. Ensure that students are given mentors and role models through NROTC staff assignments.

b. U.S. Naval Postgraduate School (NPS) Students. At those NROTC units where students are taking graduate courses under the general supervision of NPS, the PNS, as their CO, shall conduct administrative affairs in connection with their military supervision and conduct. The Superintendent of the Postgraduate School will exercise, through the PNS, supervision over educational matters pertaining to such students.

c. Host Institution Committees and Organizations. The PNS or OIC shall work closely and cooperate with officials of the host institution on all matters affecting NROTC and the Department of Naval Science. The PNS or OIC shall also:

(1) Serve on committees and boards and participate in planning activities as a faculty member.

(2) Encourage membership and participation by DNS instructors in and on standing and special faculty committees.
(3) Encourage DNS instructors to join faculty clubs, societies, and similar organizations.

d. Community Relations. Maintain liaison with campus and community organizations, military related groups, veteran organizations, active and reserve military commands, and other groups or organizations having an interest in the Naval Service. Seek assistance from and work with those groups and organizations encouraging their cooperation to improve NSTC OD programs and the national defense posture.

e. Recruiting Efforts. Manage and coordinate recruiting efforts at host and cross town schools to meet program goals and objectives. Serve on selection boards. Serve as a member of the recruiting district Navy Scholarship Information Team (NAVSIT) assisting local military recruiters. Seek ways to improve recruiting.

f. Area Coordination. Support military area coordinator functions and any other duties requested by seniors in the military coordination chain of command.

g. JROTC Programs. Support local NJROTC and MCJROTC units with activities such as mentoring, field meets and guest lectures, etc. Specific NJROTC and MCJROTC responsibilities are listed in Chapter 1.

h. Safety. Have primary responsibility for the safety and health of military and civilian staff members (and students when in a military duty status). Coordinate with institution safety and health personnel to ensure that classrooms and training devices are also in compliance with institution safety policies and procedures. Ensure Operational Risk Management (ORM) is used routinely to evaluate all aspects of the Naval Science Program per OPNAVINST 3500.39C series and MCO 3500.27B series.

i. Anti-terrorism. Anti-terrorism guidance is provided in OPNAVINST 3300.53 series. Contact Naval Installations Command, Regional Commander regarding force protection measures and guidance.

### 2-3 Duties of Naval Science Instructor

1. Naval Science instructors train future Navy and Marine Corps Officers and civilian mariners in the U.S. Flag Merchant Fleet and Naval Reserve Officers and are critical to the success of the NROTC, STA-21, MECEP and SSO programs. They must model the
characteristics and values they are attempting to instill and foster in their students. Academic and professional training responsibilities are defined in Chapter 4. General duties include, but are not limited to:

a. Provide counsel and advice to students.

b. Maintain appropriate confidentiality about personal information on students (Privacy Act requirement).

c. Perform academic and institutional responsibilities to highest standards as an active member of the academic community.

d. Act as a positive role model and professional representative of the Naval Service.

e. Document all proceedings of Performance Review Boards (PRB) and submit correspondence in a timely fashion, as assigned.

f. Prepare students for commissioning through professional development activities outside the classroom.

g. Pursue opportunities for personal and professional development by taking advantage of formal education and training opportunities.

h. Perform a wide variety of collateral duties. A staff member shall not be required to sign a contract with the institution to serve in the hierarchy of the Corps of Cadets (e.g., Battalion Advisor, Tactical Officer).

2-4 Duties of Other Officers and Enlisted Personnel

1. Executive Officer (XO). The duties and responsibilities of the XO of the NROTC unit include, but are not limited to:

a. Student Instruction.

b. Prepare all administrative actions required by current NSTC OD program regulations, directives, notices, and other forms of official correspondence in a timely manner.

c. Assign NROTC staff as student mentors.

d. Act as the NROTC unit OPMIS manager, responsible for the accuracy and timeliness of all data entered into OPMIS.
e. Perform other duties as assigned by the CO.

2. Officers/Enlisted Personnel. Staff officers and enlisted personnel ordered to the NROTC unit for duty shall perform such duties as assigned by the CO. Duty Under Instruction Students (DUINS) shall only be assigned additional duties which do not conflict, or have the potential to conflict, with their academic studies.

3. ROTC Staff as Faculty. So that maximum coordination may be achieved between the Naval Science and other academic departments, it is desired that the officers assigned to the staff of the PNS be made members of the university or college faculty in appropriate ranks and be accorded the rights and privileges, excluding tenure, of faculty members. As such, it is desired that the PNS encourage the institutional authorities, when appropriate, to use these officers on special faculty committees in the same manner as other faculty members. It is further desired that all NROTC staff members accept invitations that may be extended them to join special college faculties within the university and join faculty clubs, societies, and faculty student organizations.

2-5 Assumption of Other Duties to the Host Institution Unrelated to NROTC

1. In accordance with DoD Instruction 1215.08 at Para 6.4.1, NROTC staff members may undertake duties and responsibilities for the university not related to their NROTC duties, provided such duties:

   a. Are approved in writing and in advance by the PNS/OIC;

   b. Are performed during non-duty hours;

   c. Do not interfere with the full and effective performance of their official duties to NROTC;

   d. Do not bring discredit upon the Government;

   e. Comply with the DoD Joint Ethics Regulation; and

   f. Do not interfere with the customary or regular employment of local civilians in their art, trade or profession.
2. Any expenses incurred by staff members in performance of such duties shall be reimbursed by the academic institution. In no case will the continued performance of such duties (e.g., teaching a non-DNS course or serving as a coach) be considered sufficient reason for modification of military orders.

3. Reimbursement by the institution for expenses incurred by the member for services that are performed at the request of the institution and, although clearly beyond the scope of regularly assigned military duties, that might have been expected to perform by virtue of the position, such as hosting a social function for visiting dignitaries or conducting an off-campus workshop for faculty or students. Itemized bills for these expenses must be presented to the institution. Whenever practicable, arrangements should be made for the institution to be billed for these expenses. Under no circumstances may a commuted or fixed allowance be accepted from the institution for the purpose of meeting these expenses.

2-6 Pursuit of Graduate Degrees

1. Professor of Naval Science. Each PNS may take a course of instruction conducted by an educational institution upon the prior written approval of NSTC OD. However, in view of the scope of their responsibilities at the unit and with the university, enrollment in a formal program leading to a masters or doctorate degree is, in most cases, not reasonable and will not be approved.

2. NROTC Unit Staff. A PNS may authorize officers and enlisted personnel under their command to take courses of instruction at institutions. These courses shall not interfere with the individual’s proper discharge of their Naval duties, which will at all times be considered paramount.

2-7 Residence and Uniform for NROTC Staff

1. Residence/Housing. Officers and enlisted personnel performing duty with NROTC units shall, when practicable, reside near the institution in which they are assigned. If housing is accepted by a member from an institution at other than a reasonable rental (for example, without charge), the housing will be considered as furnished on behalf of the U.S. Government and the member will not be entitled to a Basic Allowance for Housing (BAH).
2. Uniforms. In the performance of their duties, officers and enlisted personnel who serve on the DNS staff shall wear the seasonal service uniform prescribed by the PNS, who shall be guided by the directives of the local Naval Installations Command, Regional Commander. The PNS should be aware of MCRC directives regarding USMC uniform guidance.

2-8 Ethics Regulation/Standards of Conduct

Unit operations must conform to DOD 5500.7-R, the Joint Ethics Regulation (JER). The JER requires all civilian personnel new to the Department of Defense receive one hour of ethics training no later than 90 days from the day they reported for duty. Designated ethics counselors can provide this training. They can also provide a safe harbor letter concerning proposed courses of contact. PNS and unit personnel are encouraged to consult the NSTC Ethics Counselor on all ethics questions and any matters that may pose any appearance issue. The NSTC Ethics Counselor can be reached at (847) 688-4422, DSN: 792-4422.

2-9 Acceptance of Institution Benefits

If offered on the same basis to civilian members of the staff or faculty of the institution, NROTC staff may accept, either without charge or at a reduced rate: enrollment in courses by the member or any member of their immediate family; tickets to school or school sponsored activities; parking privileges; books and other supplies and materials from the institution's bookstore; and library privileges. NROTC staff is encouraged to take courses at the institution, provided the coursework will not interfere with the individual's proper discharge of their duties to the Unit. Enrollment in courses of study at the institution is not guaranteed, but is subject to the institution's policies and requirements.

2-10 Unit Viability. Annual evaluations regarding the viability of NROTC units are conducted by NSTC OD5. By 30 November each year, each unit must provide to OD5 the University Enrollment, Grade Point Average, and Scholastic Assessment Test Report. University enrollment data reflects full time undergraduate enrollments for the previous fall term. GPA data reflects the average GPA of all students graduating from the university during the past school year. Consortium units should report this information for each participating institution. Cross-enrollment data should be obtained where possible. Units whose universities will not release that information should notify NSTC OD5 via email.
2-11 Unit Military Manpower

1. **Detailing of Personnel.** The detailing and relief of officer and enlisted personnel for duty with NROTC units is a function of the Bureau of Naval Personnel (BUPERS) or the Marine Corps Recruiting Command (MCRC), as appropriate. Direct liaison between the units and BUPERS in matters relating to the nomination and institutional acceptance of staff officers and personnel is authorized. For Marine Corps personnel, MCRC coordinates annual boards to select and assign all Marines. Nominations for CO, XO, and OIC will be initially coordinated between NSTC OD, BUPERS, and MCRC. These nominees, once approved by NSTC OD, will be forwarded to the NROTC Unit CO for presentation to the appropriate institutional authorities for acceptance. Once acceptance has been granted, the CO shall notify NSTC OD via email, and BUPERS via letter.

2. **NROTC Unit Manpower.** The number of officers and enlisted personnel assigned to duty with NROTC units will be determined by CNO and CMC, based upon the needs of the Navy and the Marine Corps. NSTC determines unit manpower requirements based on unit mission, throughput, capacity and Navy requirements determination regulations. Requests for changes to requirements should be addressed to NSTC OD5 for review and submission to NSTC N1 Manpower for evaluation and recommendation.

3. **Personnel Rotation.** An institution normally will be notified by BUPERS (nomination and orders processes) via NSTC OD before any change of military personnel is made. Military personnel slated for NROTC duty must be acceptable to the institution and pass a high-risk screening in accordance with OPNAVINST 1500.75B series and OPNAVINST 3500.39C series, prior to final execution of orders.

4. **Navy Sponsor Program.** The PNS shall assign sponsors for reporting active duty members. The guiding directive for the Sponsor program is OPNAVINST 1740.3C series.

5. **Biography Sheets and Photographs.** Upon reporting for duty, each CO, XO, and OIC shall submit biographical background information utilizing the Officer Biography Sheet, an 8x10 color photograph in Service Dress Blue (Navy) or Service Alpha (USMC), and home telephone number to NSTC OD Directorate Administrator (ODS). These should be updated as required throughout the tour.
6. NROTC Instructor Tour Survey. Prior to detachment from the unit, each instructor shall submit a completed NROTC Instructor Graduate Education and Retention Survey to NSTC OD3.

7. Leave Authorization. Authority to grant leave rests at the unit commander level. COs and OICs should have their leave requests approved by their XOs for accountability purposes. COs and OICs must keep NSTC OD informed of their leave plans and identify the officer acting in their stead during their absence. Military service members on Unit staffs should be encouraged to take leave when practical during times when students are on breaks or vacations. As directed by NAVADMIN 252/10 all leave transaction will be accounted for using Electronic Leave via the Navy Standard Integrated Personnel System (NSIPS). NSIPS can be accessed online at https://nsips.nmci.navy.mil/.

2-12 Communications. It is the responsibility of the PNS and OIC to ensure that the information promulgated by regulations, manuals, instructions, and notices is properly disseminated to college, university and institution officials, departmental staff personnel, and students as appropriate, within the limitations imposed by the Privacy Act.

2-13 Officer Program Management Information System (OPMIS). OPMIS supports the NROTC Program by linking each NROTC unit and vital student information to NSTC OD, the Defense Finance and Accounting Service (DFAS), Bureau of Naval Personnel (BUPERS), Commander, Naval Recruiting Command (CNRC), and Department of Defense Medical Examining Review Board (DoDMERB). The PNS shall ensure applicable information is entered into OPMIS in a timely manner.

2-14 Paper Files and Correspondence

1. Format. The guiding directive for Navy standards of writing quality, correspondence format, and paperwork management is the DON Correspondence Manual SECNAVINST 5216.5 series. It applies to all personnel who prepare and approve correspondence. Local activities have latitude in implementing the many details, including, but not limited to, the number and routing of internal copies and the format for correspondence serial numbers.

3. **Attachments.** When forwarding documents smaller than normal letter size (8 1/2 x 11), such as birth certificates and transcripts, attach the document to a standard size sheet of paper. When using multi-copy forms and continuation sheets, ensure that copies of the continuation sheet are attached to each copy of the form.


### 2-15 Staff Fitness Reports

1. **General.** [BUPERSINST 1610.10C](#) series and [MCO P1610.7](#) series establish procedures and reporting periods for Navy and Marine Corps personnel, respectively. [NSTCINST 1610.1B](#) series provides guidance regarding Reporting Senior delegation and additional amplifying information.

### 2-16 Civilian Personnel Procedures

1. **NSTC Civilian Manpower Support.** NSTC N1 Manpower Office, Great Lakes, provides civilian manpower/manning support to all NROTC Units. NSTC civilian position information and related personnel information and requirements will be developed by N1 via separate NSTC directives or specific subject emails. See Chapter 10 regarding civilian timekeeping and payroll.

   a. Requests for personnel actions, which include recruitment, hiring, pay setting, name changes, awards, and/or other personnel actions affecting the employee are processed by the NSTC N1 office.

   b. To ensure civilian personnel manning status information is maintained by NSTC N1 and that units are provided with appropriate civilian personnel related guidance, any civilian personnel resignation, transfer to new position, retirement, or other action resulting in civilian positions becoming vacant shall be reported by NROTC units to NSTC N1.

   c. NSTC N1 will classify all NROTC civilian position descriptions (PDs) other than those established via an A-76
Administrative Services Most Efficient Organization (MEO) process. For PDs established as MEO PDs, NSTC N1 will ensure PDs are established and provided to commands. Unit compliance with civilian personnel directives regarding position descriptions and periodic evaluations will be assessed during command self-inspections and MCIP assist team visits.

d. Supervisors shall ensure employees have access to their PDs by providing copies to Unit employees. Supervisors shall review all non-MEO PDs to ensure accuracy.

2. NSTC OD5 will act as the MEO Designated Government Representative (DGR) for all NROTC/Maritime MEO positions. All operational questions regarding the MEO Performance Work Statement (PWS)/operation of the MEO will be directed to NSTC OD5. Unit Executive Officers shall act as the local DGR, and shall ensure all reporting and spot check requirements are completed and submitted to OD5.

3. Evaluating Civilian Employee Performance. As the Federal government transitions from the National Security Personnel System (NSPS), NSTC N1 will provide guidance regarding the requirements of civilian personnel performance evaluations.

4. Support for Civilian Equal Employment Opportunity (EEO), Disciplinary, and Other Actions. Units shall seek support concerning disciplinary, EEO, work hours, administrative grievance procedures, workplace injury claims, the Drug-Free Workplace Program, and Civilian Employee Assistance Program (CEAP) from servicing Human Resource Offices (HROs). Units shall in all cases seek such support and may not initiate any actions without first consulting with their servicing HRO and documenting this consultation in Unit files.

5. Leave

a. Federal Holidays. Each calendar year, the following ten days are paid holidays for federal civilian employees: New Year's Day, Martin Luther King's Birthday, Presidents' Day, Memorial Day, Independence Day, Labor Day, Columbus Day, Veterans Day, Thanksgiving Day, and Christmas Day. If a federal civilian employee is required to work any of these days, the work is considered and documented as overtime, and the employee will receive premium pay. Federal civilian employees cannot receive compensatory time for work performed on an established holiday, and employees cannot be given a substituted day off for federal holidays.
b. **Administrative Leave.** NROTC units cannot arbitrarily grant a federal civilian employee administrative leave during host institution holidays (e.g., spring break, Christmas holiday, semester break, etc.). Examples where administrative leave MAY be granted include extreme weather, extended power failure, machine breakdowns or physical closure of a building. Granting administrative leave when the building or office in which the employee works is “physically closed” is widely misinterpreted. When a unit or institution limits operations during a school break, it does not mean that the building is physically closed if other members of the unit staff such as duty officers and telephone watches, etc., have access to the building. The building must be physically closed and the employee **denied access** to the work place in order to grant administrative leave. Some examples of physical closure of a building would be if the host school shuts off the heat or electricity during Christmas Break, or declares the building closed and forbids entry. In such a case, administrative leave may be authorized. If the unit has NOT stopped all operations, and the employee does not want to take annual leave, they must be allowed to work. Caution should be used regarding employee safety.

6. **Civilian Employee Training Requirements.** Federal civilian employees must be provided training at a minimum in the following areas:

   a. New Employee Orientation, including one hour of ethics training for employees new to DoD to be provided within 90 calendar days of their reporting for duty.


   c. Health and Safety. Safety training is provided via the Enterprise Safety Applications Management System (ESAMS). Contact N00X at (847)688-7600 x163 for ESAMS assistance.

   d. Annual EEO/Sexual Harassment/NO FEAR Training.

   e. Drug-Free Workplace Program.

   f. Privacy Act/PII and Information Assurance via the Navy Knowledge Online (NKO) website.

7. **Supervisor Training Requirements.** Supervisors of civilian employees shall have at a minimum the following supervisory-level training: Supervisory Training, Annual EEO Training,
Civilian Employee Assistance Training, Drug-Free Workplace Program, and Privacy Act/PII.

8. The CO shall exercise best efforts to provide all of the above required training. If the unit experiences difficulties in providing the training by the requested deadline, the unit must contact NSTC OD5 for guidance as soon as possible.

9. Host Institution employees. Employees of the host institution should be assigned and trained in accordance with applicable Host Institution local personnel office directives.

2-17 Staff and Unit Awards

1. General. Good management practices prescribe public recognition for the achievement of excellence. This recognition is the responsibility of the PNS.

2. Presentation. Awards and statements of recognition should, when possible, be presented during formal, public ceremonies with appropriate media coverage. Awards and recognition shall be given consistent with law and regulation, including Naval instructions. They should never be presented solely on the basis of availability or for the sake of giving awards.

3. Military Staff. The PNS may recommend to CNSTC via NSTC OD military members of their staff for commendations in accordance with provisions and timelines of NSTCINST 1650.2A series. The PNS may locally approve awards in accordance with regulations applicable to an O-6 in command.

4. Civilians. The PNS may recommend civilian members or organizations of the university and local community who have worked closely with the Navy and the NROTC for appropriate commendations/citations in accordance with the provisions of the Department of the Navy (DON) Civilian Human Resources Manual (CHRMM), Subchapter 451.1. Awards proposed for non-Federal entities or non-Federal personnel must first be cleared for potential endorsement or other ethics issues with the NSTC Ethics Counselor, Code OGC at (847) 688-4422.

5. PNS Commendations/Citations. The PNS may commend/cite deserving staff members, members of the university, and members of the civilian community. Such recognition shall be noted in reports of fitness/performance evaluations of military staff members so commended.
2-18 IG Programs

1. NSTC IG Assist Visits. Visits by the NSTC IG staff will be conducted once every four years to provide additional command oversight and ensure compliance with NSTC and USN regulations. The current governing instruction is NSTCINST 5040.1B. This instruction should be read carefully.

2. Manager’s Internal Control (MIC) Program. Conducted IAW the annual NSTCNOTE 5200, NSTC Manager’s Internal Control Program. This note is published each spring and provides detailed guidance on MICP including inventory, flow charts, ORM assessments, Internal Control Systems Test and Annual MICP Certification Statement.

3. Command Evaluation (CE) Program. NETCINST 5200.1, Command Evaluation within the NETC Domain, provides policy and guidance for all NETC commands. The CE Program, designed to be a proactive tool within a command, establishes a non-audit approach for performing and documenting independent, in-house reviews. Unit COs shall designate in writing a CE Officer. Each unit must provide ODM with the name of this officer by 15 September each year. CE Officers will become familiar with the CE Program and Review process. The CE officer will conduct or coordinate reviews and forward the results to the unit CO.

2-19 Unit safety. NSTCINST 5100.1 requires all NSTC departments, commands, and activities to provide a safe, healthful workplace and training environment and to ensure safety is integral to all elements of the NSTC mission including high-risk training. Safety initiatives shall be maintained at a level that facilitates sage but realistic training that fulfills fleet operational requirements within practical limits. This policy is not intended to eliminate risk where it is necessary to meet valid training objectives, but to promote the safest training that produces confident, capable officers. Injuries and property damage are costly and in most cases avoidable. Mishaps result in loss of operational readiness, pain, and personal hardship to the people involved. There is no task so urgent or so important that it must be accomplished at the expense of safe work practices, training and working conditions. Operational Risk Management shall be used to continuously evaluate procedures, processes, and practices to identify and mitigate risk factors. The success of our safety program is dependent upon the combined efforts of all NROTC Program personnel – staff, instructors, and students alike.
2-20 Mishap and Incident Reporting

1. Death or Injury of Personnel

   a. Active Duty Students and Staff. Death or injury to active duty service members and civilian staff (staff, STA-21, MECEP, etc) will be reported per current directives. For deaths involving a mishap, see paragraph 2-6(2) below. Ensure students are subsequently disenrolled per Chapter 6. The unit should also consider holding a memorial service depending on circumstances and family desires.

   b. Midshipman in an Active Duty Status. As per MILPERSMAN 1770-010, a midshipman who is injured or dies while performing authorized travel to, from, or while attending summer cruise is treated as an active duty member of the Navy. Personnel casualty reporting procedures are found in MILPERSMAN 1770-010, MILPERSMAN 1770-030, OPNAVINST 1770.1A and MCO P3040.4 series. Include NSTC OD4 in the distribution of all death and injury reports. Ensure the Midshipman is subsequently disenrolled per Chapter 6. The unit should also consider holding a memorial service depending on circumstances and family desires.

   c. Midshipman Not on Active Duty Status. Immediately inform the NSTC Chain of Command. If, in the reasonable discretion of the PNS, significant media interest is anticipated, inform the NSTC PAO. Ensure the Midshipman is subsequently disenrolled per Chapter 6. The unit should also consider holding a memorial service depending on circumstances and family desires.

2. Mishap and Incident Reporting Procedures

   a. Actions Requiring Report. OPNAVINST 5102.1D series and OPNAVINST 5100.23G series require the report of all "on-duty" mishaps that result in the death or total disability of Navy military or civilian personnel, hospitalization of three or more personnel, or any mishap that causes damage of $1 million or more to government property.

   b. Reporting Procedures. The above instructions require a telephone report within 8 hours of occurrence to the chain of command and Commander, Naval Safety Center (COMNAVSAFECEN). In the case of Marine Corps fatalities, also notify the Commandant of the Marine Corps (Safety Division).
3. **SITREPS.** OPNAVINST F3100.6 series, available from the NSTC Security Manager, promulgates the procedures for worldwide reporting of events and special incidents that may attract national and/or high Navy interest. Submit an OPREP-3 Navy Blue for incidents with potential media interest and/or high Navy (Chief of Naval Operations (CNO)/Fleet Commander) interest. Submit a Unit Sitrep for incidents that are unlikely to generate media interest. These must be submitted by the lowest level command that has knowledge of the event and access to a communication network capable of relaying the report to a communication system serving the National Military Command Center (NMCC). Any such incident should be reported to NSTC OD and NSTC immediately and, if possible, prior to release of the message report.

### 2-21 Physical, Personnel, and Information Security Programs

1. **Physical Security.** [OPNAVINST 5530.14E](#) series details the minimum requirements for Physical Security and Loss Prevention. The PNS shall maintain a comprehensive Physical Security Program. Physical Security is that part of an overall security program which is designed to apply physical measures for protection of activities and their facilities, materials, equipment, personnel, and documents against theft, sabotage, or other covert acts which would in some degree lessen the ability of the activity to perform its mission.

2. **Personnel/Information Security.** The guiding directive for Personnel Security is [SECNAV M-5510.30](#). The guiding directive for Information Security is [SECNAVINST 5510.36](#) series. The PNS shall ensure that the unit is complying with applicable instructions in conduct of personnel and information security, conduct self assessments using the modified checklist included in [NSTCINST 5211.1](#), provide training for newly assigned personnel, and provide annual training for all employees.

   a. **Classified Material.** Normally, no requirement exists for the unit to maintain classified material. If such material is received by the unit, the designated Security Manager must log material in and destroy it using approved procedures. Procedures shall be established to ensure that all classified information intended for destruction is destroyed by authorized means and appropriately cleared personnel. Records of destruction are not required for Secret and Confidential information. Contact the originator/distributor and request the unit be removed from the distribution list. For further guidance refer to the Department of the Navy (DON) Information
Security Program (ISP) Regulation SECNAVINST 5510.36. NROTC units shall not hold any classified material.

b. Information Assurance. The guiding directives for ADP Security are SECNAVINST 5239.3B series and OPNAVINST 5239.1C series.

c. Staff Security Clearances. Officers must ensure that they have and retain security clearance eligibility while assigned to an NROTC unit. The PNS and the Physical Security Manager shall maintain no less than a secret clearance.

d. Student Security Clearances. Per SECNAV M-5510.30B, a National Agency Check with Local Agency Check and Credit Checks (NACLC) is required for each NROTC candidate before appointment. It is paramount that all clearance issues are resolved and the NACLC completed well before the approach of commissioning. Additionally, per NSTCNOTE 1530, all midshipmen should have a satisfactorily completed National Agency Check (NAC), one portion of the NACLC, and be granted a SECRET clearance prior to departing for summer training.

e. Privacy Act Requirements. Privacy Act limits an agency’s collection and sharing of personally identifiable information and imposes safekeeping, access and other requirements. The PNS shall ensure the unit is complying with the guiding directive SECNAVINST 5211.5E series and NSTCINST 5211.1. All unit personnel must receive Privacy Act training when first reporting to the unit and annual training thereafter. Training certificates shall be maintained at the unit. Questions concerning the Privacy Act can be addressed to the NSTC Privacy Act Coordination, Code OGC, at (847) 688-4422.

2-22 General Military Training (GMT)

All units are to fully support DoD and Navy programs by action, education, training, and indoctrination. Units shall provide GMT training to all officer and enlisted personnel (to include STA-21, MECEP, Postgraduate students, Stashed Ensigns, and TEMDU personnel) as per NAVADMIN 098/10. The unit shall maintain an annual training plan that meets requirements and is logical. Training records must be kept on file. NOTE: Civilian employees and Midshipmen may be included in the program as appropriate.

2-23 Physical Readiness
The Physical Readiness Program is governed by OPNAVINST 6110.1J series for Navy military personnel and MCO P6100.13 for Marine Corps military personnel. Every member, midshipman, and officer candidate should strive to achieve and maintain the highest standard of physical readiness to ensure the operational effectiveness of the Navy. To that end, the PNS shall establish a safe and effective Fitness Enhancement Program.

2-24 Sexual Assault, Equal Opportunity (EO)/Sexual harassment. Reporting procedures in the case of sexual assault concerning active duty personnel and civilians are provided for in OPNAVINST 1752.1B series and OPNAVINST F3100.6 series. Reporting procedures for EO/Sexual Harassment complaints are provided for in OPNAVINST 5354.1F series.

2-25 Victim and Witness Assistance Program (VWAP). Per OPNAVINST 5800.7A, each unit shall appoint in writing a Victim and Witness Assistance Coordinator (VWAC) who is the unit’s primary point of contact in the area of victim and witness assistance. VWAP shall be incorporated into the unit training program and training will be conducted at least once per year. All DoN employees and service members should be provided with DD Form 2701 as part of annual training. Each unit shall contact their respective Region Legal Services Office for any additional Region VWAP requirements. The unit VWAC is responsible for meeting all requirements contained in OPNAVINST 5800.7A.

2-26 Command Managed Equal Opportunity (CMEO) for Military

The PNS will maintain a CMEO/EEO program in accordance with current instructions. The guiding directives for CMEO are OPNAVINST 5354.1F series and OPNAVINST 5370.2C series.

2-27 Alcohol and Drug Abuse Prevention and Control

The PNS is responsible for implementing the requirements of OPNAVINST 5350.4D series, aggressively supporting these policies, and taking corrective measures in all cases where active duty personnel or midshipmen are involved in drug and alcohol abuse.

2-28 Urinalysis Testing

All military personnel and midshipmen assigned to or administered by an NROTC unit are subject to urinalysis testing as prescribed by OPNAVINST 5350.4D series and NSTCINST 5350.1 series. Active duty members and midshipmen in each UIC must be
subject to random testing monthly at a rate prescribed by OPNAVINST 5350.4D series. Command attention is directed to the importance of ensuring proper procedures and maintenance of meticulous records in administering the urinalysis program.

2-29 Suicide Prevention

The PNS will conduct a Suicide Prevention Program in accordance with OPNAVINST 1720.4A series. Suicide prevention training shall be conducted at least annually for all active duty service members. Suicide prevention training should be included in GMT for midshipmen.

2-30 Voting Assistance

The PNS shall maintain a program encouraging voter participation in accordance with OPNAVINST 1742.1B series.

2-31 Navy Family Accountability and Assessment System. The NFASS system permits the Navy to account, manage, and monitor the recovery process for personnel and their families affected by a wide-spread catastrophic event. Each unit shall appoint a primary and secondary NFAAS Coordinator who shall have unit administrative rights within the NFAAS system and who are responsible for overseeing all unit NFAAS musters. NFAAS is accessible at https://navyfamily.navy.mil.

2-32 Public Affairs

1. Public Affairs Program. Because of its proximity to young people on the college campus, the NROTC unit has a distinct advantage in creating and promoting a favorable image of the United States Navy and Marine Corps to those men and women who will shape both the Naval Service and the country's future. The presence of NROTC units on campuses across the nation positions the Navy and Marine Corps in highly visible areas of public opinion. The ability of the Naval Service to obtain public support for its overall mission is affected by the effectiveness of the unit level public affairs program. Every unit's public affairs program additionally supports the Navy and Marine Corps recruiting efforts and is particularly important in the era of the All-Volunteer Force. NSTC recognizes this unique opportunity and is ready to assist each NROTC unit in developing a vigorous public affairs program. Three parties are principals to this end: the NSTC Public Affairs Officer (PAO), the unit PAO, and the university or college PAO. Units should directly liaise with the NSTC PAO. All correspondence between the unit
and NSTC PAO must include NSTC OD5 as an info recipient. Close cooperation with the campus public affairs operation will mean better contacts with the public simply by virtue of continuity. This section provides guidelines to the NROTC unit PAO for developing and implementing a dynamic public affairs program. It is based on the directives and information contained in SECNAVINST 5720.44 series and NSTCINST 5720.2.

2. Public Relations. The NROTC unit's public affairs program revolves around three core areas: Internal Relations (Command Information), Community Relations, and Public Information (Media Relations). Using this priority, there are "target audiences" within these core areas that should be identified and addressed.

a. Internal Relations

(1) For the NROTC unit, Internal Relations should be the forefront of a public affairs program because the students are the focal point of the entire NROTC Program. Good communication with the battalion presents a three-fold opportunity:

   (a) To pass the word on all aspects of the Navy and Marine Corps from SECNAV to the Battalion Commander, including the elimination of rumors, doubts, and misinformation.

   (b) As an educational tool, to impress on future Navy and Marine Corps officers the value of good communication and how it is achieved.

   (c) To strengthen the confidence of each student in both the NROTC program and the Navy/Marine Corps, this contributes to a solid group of "ambassadors" on campus and in home communities.

(2) Four target audiences exist for the NROTC unit Internal Navy Relations program; the battalion, the family members of the students, the unit staff, and the Navy and Marine Corps. The Internal Navy Relations program should primarily educate and inform, report and interpret, recognize individual and group accomplishments, and develop unit pride and esprit de corps with these audiences. At the unit level, the printed word and face-to-face dialogue are considered the two most widely used and convenient methods of communication. A variety of channels for internal relationships exist, such as:

   (a) Print Media. The Plan of the Day (POD) is the most common example of a print medium in the Navy and Marine
Corps. It is considered best for a listing of daily routine, sometimes modified, but not to be relied on for any sort of in-depth news or as a channel for feedback.

(b) Newspapers. A unit newspaper is best suited to reach three of the target audiences (students, unit staff, and family members) with maximum effect. The newspaper may contain a broad range of news from DoD to Navy/Marine Corps to NETC, but primary emphasis should be on the unit. If guest editorials and letters to the editor are used, the newspaper will be an invaluable means of total unit communication, allowing thoughts, opinions, and ideas to flow up and down "the chain of command." A regular feature of it should be input from the PNS who can use it to discuss topics of current interest and value to the unit.

1. Editorial Staff. An editorial staff composed of students should be appointed from volunteers and tasked with publishing a newspaper at least four times per academic year.

2. Unit Newspaper. The unit newspaper need not be large, but should be comprehensive in that it consistently represents the students, their family members, and unit staff. For critiques of your newspaper's performance in the areas of news coverage, compare it with those from other units and/or contact the NSTC PAO for a more in-depth review.

3. Funding. A unit newspaper can be funded by unit OM&N funds, however if OM&N funds are used, advertising may not be included. There are three publications, which provide guidance on printing regulations: Department of the Navy Publications and Printing Regulations, the Navy Ship and Station Newspaper Handbook, and the Navy Civilian Enterprise Publications Handbook. Funding and publication by a student non-federal organization or the university should be encouraged.

4. Appropriated/non-Appropriated Funding. The Department of the Navy Regulations and Printing Regulations require that no Navy publication or printed matter, prepared or produced with either appropriated or non-appropriated funds or identified with any activity of the Department of the Navy, shall contain any advertisement inserted by or for any private individual, firm, or corporation; or contain material which implies in any manner that the Department of the Navy endorses or favors any specific commercial product, commodity, or service. Units desiring to advertise in local publications shall do so in conjunction with local NRD advertising staff.
(c) **Unit Yearbook.** The yearbook is a valuable capsule of the year’s events and is usually very popular among students. Funding for this type of publication must be obtained through student/battalion organizations only; use of official funds for this purpose is not authorized.

(d) **Unit Website.** NROTC host universities own and control the Department of Naval Science departmental websites. These websites reside in the .edu domain, and are located on the university’s server; however, NSTC PAO and CIO will monitor and provide recommendations on the content of these departmental websites. Website topics are limitless and can include everything from military medical system, to the unit’s schedule for drill periods and the reason behind it. Answering the most often asked questions via one of these methods will lessen correspondence and telephone calls. Data available to the general public must be in accordance with Privacy Act regulations. If a Unit also maintains a website which resides in the .mil domain and is located on a Navy server, it must be identified as an “Official Navy Website”, and the PNS must ensure it complies with the regulations outlined in the SECNAVINST 5720.47B. Websites that reside in the .edu domain, and are located on the university’s server, may include information such as instructor names and office contact information, if such information is published on other departmental websites.

(e) **The Unit Brochure.** Each NROTC unit is required to publish a unit brochure for public affairs, recruiting, and student orientation. The brochure should include these areas:

1. An overview of the NROTC mission.

2. A description of the host university or college and how the unit fits in as an academic department.

3. A description of the unit staff and battalion organization and size.

4. A capsule summary of the NROTC Program, especially if cross-town enrollments are available.

5. A description of special activities, such as field trips, NROTC drill, rifle, or pistol teams, social events, community activities, and athletic events.
6. The details of where to find the unit on campus and how to contact it by phone, mail, or email.

7. Photographs are attractive in such a brochure, but are not required. An offset printing at a commercial printer is considered most suitable for such a brochure.

(f) Audio-Visual Media

1. Navy/Marine Corps News This Week. Each NROTC unit should encourage students to view this program each week. It is an excellent vehicle for keeping students up-to-date on fleet events, policies and programs. Navy/Marine Corps News This Week is available online from the Navy Broadcasting Service, Washington, D.C. at http://www.navy.mil/swf/index.asp.

2. Unit Presentation. Every NROTC unit and maritime academy should have a unit presentation. The presentation is a good method of describing the NROTC Program to new students and their parents and should double as an effective recruiting tool.

3. Bulletin Board. Every NROTC unit and maritime academy should devote one highly accessible bulletin board for material, which will not be reproduced in the unit newspaper. Such material should include:

   a. Navy Editor Service Clipsheet

   b. Material from Navy Birthday Kits/Captain's Call

   c. Armed Forces Press Service Clipsheet
      (from OASD (PA))

4. Fleet Hometown News Program (FHTNP). The value of an active Fleet Hometown News Program cannot be overemphasized. A NROTC unit or maritime academy can more effectively generate positive publicity via FHTNP than any other vehicle. Information on how to organize and execute a viable FHTN program is available in the FHTNP "How to" Guide.

5. Captain's Call. By virtue of classroom time and drill periods, much time is available at an NROTC unit for face-to-face communication. Regularly scheduled "Captain's Calls" are most beneficial to deal directly with the students
and the staff on the broad range of Navy and Marine Corps
issues.

6. Internal Relations Outside the Unit. The remaining segment of the internal relations responsibility relates to the rest of the Navy and Marine Corps. These two target audiences should be informed of significant events, plans, programs, and accomplishments of NSTC OD programs.

3. Community Relations

a. General. Strong campus and community support is the most expeditious means of developing public awareness of the Navy and Marine Corps on a local level. It is also the simplest means of enhancing the Department of the Navy's recruiting efforts.

b. Any community relations program organized and executed by an NROTC PAO should include the following components:

(1) Community Liaison. Liaison with community organizations, particularly those affiliated with the military: Navy League, Naval Reserve Association, American Legion, the Marine Corps Associations, Veterans of Foreign Wars, Coast Guard Auxiliary, etc. is encouraged. When interacting with such organizations, take care not to endorse any non-federal entity. Questions regarding what constitutes such endorsement should be directed to the NSTC Ethics Counselor, code NSTC OGC, who can be reached at (847) 688-4422.

(2) Extracurricular Activities. Participation by the unit drill team, color guard, or a representative group of students and staff in campus and community activities such as parades, athletic events, etc. is encouraged.

(3) Open House/Orientations. Annual open houses and orientation tours should be scheduled with the concurrence of the host institution. Both local citizens and campus residents should be invited. The event need not be complicated. A presentation on the NROTC unit and a demonstration of equipment on hand promotes public understanding. These tours are invaluable in tying the mission of the unit and the Naval Service to the public interest.

(4) Community Relations Outreach Program. Community relations will be enhanced by visible community service and humanitarian projects. As an example, several inner city NROTC units sponsor a campus-wide collection of toys for children to
be donated to local inner city hospitals. Other potential projects include volunteer work in learning centers and campus ecology projects. The volunteers for these projects should be readily identifiable as NROTC students. Students should be encouraged to wear Naval uniforms to allow maximum recognition of the local NROTC unit's participation. In organizing these events, units must be aware that they cannot sponsor an event which "directly or indirectly benefits or favors...any private individual, commercial venture, sect, political or fraternal group", as published in the Navy Public Affairs Regulations, "Fund Raising Events". Care shall be taken in such events not to violate federal ethics guidelines. When in doubt, contact your NSTC Ethics Counselor, Code NSTC OGC at (847)688-4422.

(5) Scholarship Awards. Some of the best opportunities for public recognition occur in conjunction with Recruiting Command activities. For example, Recruiting Districts normally will arrange for presentation of NROTC scholarship awards to recipients at high school commencements or award ceremonies and are usually more than happy to have the PNS make the presentation. This can be particularly effective if the recipient is a prospective member of the unit.

(6) Annual Events. NROTC units typically sponsor various social events during the year that should include prominent members of the community whenever possible. When organizing "Awards Banquets", "Mess Nights", or a "Navy Birthday Ball", ensure that university and local civic, industrial, and religious leaders are invited to participate when appropriate.

(7) Speakers Bureau. Face-to-face communication with other students and the local civilian community generates awareness, understanding, and support not only for the unit, but the Navy and Marine Corps as well. In order to accomplish this, every NROTC unit's Speakers Bureau should consist of the PNS, the executive officer, and selected students. For this plan, students may be more effectively employed as speakers to groups in their hometowns during breaks in the academic year. Potential audiences include students, faculty, and parent groups on the college, high school, and primary school level; local businessmen's associations, such as the Lions Club, Elks, Rotary, Jaycees, etc.; and military affiliates, such as the American Legion, VFW, and MOAA.

(8) Other Activities. The Navy Public Affairs Regulations discuss at least three other special programs well within the capability of an NROTC unit:
(a) Exhibits of Navy and Marine Corps combat art.

(b) Guest cruises for high-ranking university officials and civic leaders.

(c) Allowing certain groups (i.e. Navy League, Naval Reserve Associations) use of unit wardroom for monthly meetings.

4. Public Information

a. General. Every NROTC unit and maritime academy should have a systematic and regular means of publicizing news of unit programs, events, and achievements to the campus and community. The unit should work closely with the host institution to demonstrate support for the goals of the university. The university’s public affairs office maintains contacts with media representatives in the area. This relationship allows the unit to function as a university or college department, while utilizing a single source of media contact. In smaller communities or universities, such an office may not exist, or the public information office of the host institution may not be capable of meeting the needs of the unit. In this case, the NROTC unit or maritime academy may have to organize and execute a public information program.

b. Media Relations. Like Internal Relations and Community Relations, a strong Public Information program is an asset to the NROTC unit as it generates awareness and support for the unit. However, it is more difficult than the other two programs to execute because it is less accessible and more time consuming. Stories of value to editors from the average NROTC unit center mostly on a highly visible drill team or unit marching, special occasions like awards ceremonies or mess nights, presentations at local high schools, and significant accomplishments for the unit. In cases where adverse media coverage is likely, all releases must be coordinated between the PNS (or OIC), NSTC OD and NSTC.

c. Queries. All media queries should be referred to NSTC PAO. Advise NSTC OD when this occurs.

d. Feedback Procedures. The ability of each NROTC unit to sell itself to the community in a manner which is credible and professional is a matter of interest to NSTC. Since NROTC units do not have public affairs specialists and the officers that serve in the billet do so as a collateral duty, the experiences of each unit may be helpful to another. Units that have
instituted programs that are unique and particularly successful should be passed to other units as a “best practice” with a copy forwarded to NSTC PAO and NSTC OD5.

e. Information Provided to the University. The PNS/OIC may furnish the host institution with information about the department and the students enrolled in the program as appropriate. Information related to special activities and accomplishments of members of the NROTC unit, such as visits to Naval and Marine Corps installations and selections for special programs such as flight, submarine, and nuclear power, may be included. Provide copies of these reports to NSTC OD3 as appropriate.

f. VIP Visits. The PNS shall notify NSTC OD in advance of visits to the NROTC unit by flag/general officers or civilians of the equivalent rank. An after action summary report should be forwarded as soon as possible to NSTC ODS following the visit.

g. Media Issues. Units shall contact NSTC when issues arise which appear to have potential media interest, specifically if the issue is controversial, politically sensitive, or could require NSTC action. When local media produce items that relate to the NROTC Program or DoD policies, copies should be forwarded to NSTC.

2-33 Funding for NROTC Advertising

1. CNRC provides funds to Commanders, Navy Recruiting Regions for the support of the PNS. These funds are administered by the commanding officers of Navy Recruiting Districts in whose recruiting territory the NROTC units are located. Expenditures must be approved by the NRD CO prior to obligation of funds by the PNS. These funds shall be used for local advertising and direct mail expenses incurred by the PNS while recruiting for the NROTC Program. These funds will not be used for the production of internal publications for use by the NROTC unit or the procurement of services and materials not related to NROTC Program advertising. Advertising expenditures are restricted to the purchase of newspaper and magazine space, creative production and direct mail only. This precludes the purchase of any recruiting aids, e.g., key chains, bumper stickers, patches, etc., as well as radio, television, and outdoor advertising.

2. There are several interrelated objectives which should be achieved when obligating the advertising funds:
a. Identify potential NROTC Four-Year and Two-Year Scholarship and College Program candidates.

(2) Identify potential NROTC Nurse Corps Scholarship candidates.

(3) Identify potential nuclear power accessions for the Tweeddale Scholarship Program.

c. The PNS should place advertising in cost-efficient media that generates the most inquiries concerning the NROTC Program and the unit. Units desiring advertising assistance may contact their recruiting district or recruiting area public affairs officer.

d. A report of advertising funds is due October 15 of each year to NSTC OD2.

2-34 Recreation Funds

1. As Naval commands, NROTC units may maintain a Morale, Welfare and recreation (MWR) Fund for active duty personnel, including both staff and active duty students. Expenditure of MWR funds must benefit active duty personnel only; NROTC midshipmen are prohibited from participating in such funds. An annual grant request letter, including the exact number of active duty staff and active duty students actually onboard as of 30 September must be provided to Commander, Naval Installation Command, N948D. An annual financial statement shall also be provided in accordance with CNIC guidance.

2. The establishment of a Student Recreation Fund is authorized. This fund must be generated from local sources and separated at all times from the MWR fund. No report of a Student Recreation Fund is required by BUPERS.
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Chapter 3

Selection and Placement

3-1 NROTC

1. General. This chapter covers the selection and placement of students into NROTC programs. NROTC is designed to educate and train deserving young men and women for careers as commissioned officers in the unrestricted line of the Navy or Marine Corps or Staff Corps in the Navy Nurse Corps. Prescribed university requirements, Naval Science requirements, and annual training requirements for all programs are specified in Chapter 4, Professional Development.

2. Midshipman Eligibility Requirements. To be eligible for enrollment as an NROTC Midshipmen (MIDN), an applicant must meet the following requirements:

   a. Be a United States citizen or national;

   b. Have no moral obligations or personal convictions that will prevent bearing of arms and supporting and defending the Constitution of the United States against all enemies, foreign and domestic or to taking an oath to perform such acts;

   c. Be at least 17 years of age on or before 1 September of the year of enrollment and less than 27 years of age on 31 December of the year an applicant expects to graduate, complete all NROTC training requirements, and be commissioned. Those with prior or current active duty in the Armed Forces may be granted age waivers equal to the number of months served. Those granted the maximum age waiver must not have reached their 30th birthday by 31 December of year graduation and commissioning are anticipated;

   d. Meet physical requirements for the NROTC Program;

   e. Possess a high school diploma or equivalent certificate;

   f. Be accepted for admission as a full-time student at a participating NROTC college or university.

   g. Active duty Navy applicants are ineligible to apply for the NROTC program through NAVCRUITCOM, per CNRCINST 1533.4B series.
Active members of other branches of the military may apply if they are granted a conditional release. Individuals who are scheduled for boot camp may apply provided they complete all application requirements (i.e., officer interview, signed statements, test scores, etc.).

3-2 NROTC College Program (Basic Course)

1. **General.** The NROTC College Program is offered to college students who wish to serve their country as commissioned officers, but who have not been awarded any form of an NROTC scholarship. College Program students are selected from those who have applied for enrollment at the NROTC unit and sign a contract in which they agree to complete certain Naval Science courses and one summer training period. The Basic Course of the College Program is designed for those students with at least three years of college coursework remaining. While in the Basic Course, students have the status of civilians.

2. **Application.** The prospective College Program student shall submit the NROTC College Program Application NSTC 1533/133 and the Report of Medical History DD Form 2807-1 to the NROTC unit at their school.

3. **Selection.** The PNS/OIC is authorized to select students for the Basic Course of the College Program. The minimum criteria for selection are:

   a. Be motivated to serve as a commissioned officer in the Naval service;

   b. Be a U.S. or naturalized citizen or have submitted naturalization papers (must be naturalized prior to entry to Advanced Standing);

   c. Be enrolled as a full-time student at a host institution or an institution with a crosstown-enrollment agreement;

   d. Be a high school graduate or possess an equivalency certificate;

   e. Have no apparent physically disqualifying factors based on a review of the Report of Medical History DD Form 2807-1;

   f. Have the ability to meet the height/weight requirements;
g. Have no felony conviction or conviction by courts-martial;

h. Not be awaiting criminal trial or sentencing, or be under any other type of military or civil restraint as a result of violation of law or regulation, or have been convicted of an offense the nature of which renders the applicant unfit for commissioned service;

i. Meet DoN requirements concerning use of drugs or alcohol in accordance with OPNAVINST 5350.7 series. Each unit shall explain these requirements to students before they execute the NROTC College Program Application NSTC 1533/133 and ask that each student, as part of the application process, sign the Drug and Alcohol Abuse Statement of Understanding OPNAV 5350/1.

j. Have no body piercings and tattoos that violate Navy or Marine Corps policy, as applicable; and

k. Have at least three years of college coursework remaining until they receive a degree.

3-3 NROTC College Program (Advanced Course)

1. General. NROTC College Program students are considered to be in Advanced Standing if they are selected by NSTC OD2 or Marine Corps Recruiting Command (MCRC) for Advanced Standing, accepted in the Advanced Course by the PNS, and attend the third year of the Naval Science course. NROTC College Program Advanced Standing includes the third and fourth year of Naval Science classes and First Class (1/C) summer training. Every student selected for the Advanced Course must enlist in the Naval or Marine Corps Reserve prior to commencing the Advanced Course. In return for enlistment and acceptance into the Advanced Course, the Navy will provide each Advanced Standing student uniforms, Naval Science textbooks, and a subsistence allowance for a maximum of 20 months. The NROTC College Program student, upon graduation and completion of Naval Science requirements, will be commissioned as an Ensign in the Navy or as a Second Lieutenant in the Marine Corps.

2. Basic Course Participation. Participation in the College Program Basic Course is not required to be considered for the Advance Course. College Program students who excel at Naval Science Institute (NSI) and meet scholarship eligibility requirements may be nominated while attending NSI for an NSTC
Controlled Scholarship and will be considered during the summer board. NROTC College Program MIDN who did participate in the Basic Course must be selected for Advanced Standing or disenrolled prior to starting their junior year.

2. Application. Advanced Standing Selection Boards meet each summer to consider those midshipmen expected to enter Advanced Standing at the commencement of the fall academic term and select which students shall enter Advanced Standing. An Official Mail Message, an e-mail sent to each unit via a TRANET account, will announce the submission deadlines each year.

   a. Nomination packages shall include the nomination spreadsheet (issued with the Official Mail Message that announces the board) and a copy of the nominee’s transcript reflecting completion of two years of academic course requirements and completion of either four Naval Science courses or NSI. Professors of Naval Science (PNS) comments may be submitted individually with each nomination or with a single cover letter for all unit nominees.

   b. Each unit shall confirm that those nominated intend to enroll as Advanced Standing MIDN if selected.

   c. Advanced Standing applicants who are not selected for a scholarship by the “Summer Board” (such as the NSTC OD Controlled Scholarship described later in this chapter) will automatically have their package placed before the Advanced Standing Board. Where applicable, unit Advanced Standing rankings will be adjusted to account for those selected for scholarship, using rank order information provided on the Scholarship Nomination Letter.

   d. Selected MIDN shall be physically qualified by Department of Defense Medical Review Board (DoDMERB) before being placed in Advanced Standing. Upon receipt of the application, NROTC Units shall schedule the applicant for an entry physical at a nearby Military Medical Facility or DoDMERB Comprehensive Health Services (see Chapter 5). Physicals may not be performed by Military Entrance Processing Stations (MEPS).

   e. MIDN not selected for Advanced Standing by the board shall be disenrolled from the NROTC College Program.

   f. College Program students selected for Advanced Standing shall be notified of their acceptance via their unit, and shall
complete the following documents, which will be maintained in the Student File:

(1) Advanced Standing Agreement NSTC 1533/127;

(2) Oath of Office NSTC 1533/126 and


3-4 Naval Science Students

1. Civilian Students. With the approval of the PNS/OIC and the university, students who are not participating in any NROTC scholarship program may enroll in Naval Science courses because of their personal interest in the course or as a candidate for enrollment in the NROTC College Program or Merchant Marine Reserve, USNR MIDN Program (see Paragraph 3-19). These civilians are known as Naval Science students. Such enrollments are permitted provided the acceptance of such students will not adversely affect the instruction given to students who are participating in NROTC programs. Naval Science students are not members of the NROTC unit or DNS. Unless specifically authorized by NSTC OD, they will not be eligible to participate in NROTC Summer Training, or unit physical fitness training or drill, and will not be issued uniforms, have access to sensitive information, or receive any NROTC benefits. They are not considered NROTC MIDN and may withdraw from Naval Science classes per university guidelines.

2. Previous Credit. Naval Science students who request and are approved for the College Program or the MMR, USNR MIDN Program, are credited with Naval Science courses previously completed.

3-5 National Two-Year Scholarship Program

1. General. Recipients of a National Two-Year Scholarship are selected through a national competition from non-College Program applicants who meet the eligibility criteria and have at least two years of academic study remaining toward a baccalaureate degree. Those selected are appointed MIDN U.S. Navy or Marine Corps Reserve upon reporting for enrollment in the NROTC advanced course. They qualify for enrollment in the Advanced Course by successfully completing Naval Science Institute (NSI). Students enrolled as Two-Year Scholarship Program students have the same privileges and obligations as those enrolled in the Four-Year Scholarship Program.
2. Application. To be considered for a National Two-Year Scholarship, individuals shall submit applications to NSTC OD2/MCRC via the closest NROTC unit. A selection board shall be conducted in April of each year. Application due dates and board convening dates will be announced annually by Official Mail Message.

3. Eligibility Requirements. To be eligible for enrollment in the Two-Year Scholarship Program, an applicant must meet the general midshipman requirements specified in 3-1, paragraph 2. and the following additional requirements:

   a. Have completed at least two full academic years of college and have a cumulative Grade Point Average (GPA) of 2.5 or better;

   b. Be accepted for matriculation as a junior (third year in a four-year program/fourth year in a five-year program) at an NROTC college or university or a college with a cross-town agreement; and

   c. Except for Marine Option, Nurse Corps Program, or College Program applicants, have completed or be close to completing one year (two semesters or three-quarters) of differential and integral calculus (approved sequence) of one real variable with grades of “C” or better in each course.

   d. Are not enrolled in the College Program. College Program MIDN may only apply for an NSTC Controlled Scholarship (see Section 3-6). Students taking Naval Science courses but not enrolled in the College Program are eligible to apply for the Two-Year Scholarship Program.

4. Physical Processing. On receipt of a Two-Year Scholarship application, NROTC Units shall schedule each applicant for an entry physical at a nearby Military Medical Facility or DoDMERB Comprehensive Health Services (see Chapter 5). Physicals may not be performed by Military Entrance Processing Stations (MEPS).

5. Naval Science Institute Instruction. Those selected for a Two-Year Scholarship will receive orders to report to the NSI at Officer Training Command (OTC) in June, after completion of their sophomore year of college (or after the third year of a five-year curriculum). NSI is a six-week course of instruction in Naval Science and drills which is similar to that required of NROTC students during their freshman and sophomore years.
While at NSI, students will receive the pay of Officer Candidate (equivalent to an E-5 less than two years), uniforms, room and board. An individual will not be allowed to proceed to their NROTC unit without successful completion of NSI. An alternate course of instruction may be directed by NSTC OD to satisfy the requirement.

6. Academic Requirements. Two-Year Scholarship recipients must fulfill the same academic requirements as National Four-Year Scholarship students.

3-6 National Four-Year Scholarship Program

1. General. Recipients of National Four-Year scholarships are selected from applicants through a national competition. Applicants apply for either Navy Option or Marine option and selectees are appointed MIDN in either the United States Naval Reserve (USNR) or United States Marine Corps Reserve (USMCR), as appropriate. Selectees also are granted the compensation and benefits authorized by law and current policy during the Basic Course (not to exceed 20 months) and the Advanced Course (not to exceed 20 months) for a total period not to exceed four years (40 months or 50 months with approved fifth year benefits). During this period, the government pays for college tuition, authorized fees, a textbook stipend, a subsistence allowance as prescribed by law and Navy policy, and provides uniforms or compensation in lieu.

2. Application/Placement

   a. Applications. In order to be considered for a National Four-Year Scholarship, individuals apply via the NROTC website, https://www.nrotc.navy.mil/, in coordination with a local Candidate Guidance Officer (CGO) or Navy/Marine Recruiter. If the individual meets the basic eligibility criteria in paragraph 2 of Section 3-1, the applicant shall complete additional signature forms (drug and debarment statements, statement of understanding) and are interviewed as directed by Navy Recruiting Command (NRC) or Marine Corps Recruiting Command (MCRC). Additionally, Marine applicants will perform a physical fitness test. Completed Navy applications are sent to NRC and are forwarded to NSTC OD Selection and Placement office. Completed Marine applications are sent to MCRC (ON/E).

   b. Selections. Final scholarship selection decisions are based on recommendations from a Continuous National Selection Board (CNSB) that convenes pursuant to a precept that lists
selection criteria and the administrative process under which the board will operate. NSTC OD conducts a series of selection boards each year for Navy Option scholarships. MCRC holds a selection board for Marine Option scholarships bi-annually in November (early board) and February (principal board).

c. Notification. NSTC OD notifies Navy Option applicants and MCRC notifies Marine Option applicants as to whether they have been selected to receive a scholarship offer or of their non-selection, as appropriate.

d. Medical Exam. Scholarship nominees must meet physical qualification standards. The data for those nominated for scholarship (both Navy and Marine Option) is loaded by NSTC OD2 in the OPMIS NROTC Placement Form. From the data contained in the Placement Form, names are sent to DoDMERB to assist in the scheduling and completion of a physical examination. DoDMERB contractors are responsible for contacting nominees and scheduling an examination. DoDMERB determines if the nominees are qualified or disqualified and notifies the nominees and NSTC OD2. It is the nominees’ responsibility to provide any additional medical information requested by DoDMERB and their failure to do so may result in their disqualification for a scholarship. The case files for nominees who are found disqualified shall be automatically forwarded to BUMED for waiver consideration. DoDMERB will notify the nominees of the decision to waive or not to waive any disqualifying physical condition. If a nominee reports to an NROTC unit before being found physically qualified or before securing any needed waiver, the unit shall assist the student in completing the physical qualification process. Students may not be placed on scholarship status until found physically qualified or securing a waiver of any disqualifying condition. See Chapter 5 for further guidance.

e. Final OPMIS Physical Code. In October of each year, the current year’s placement file data must be transferred by NETPDTC to a history file in order to get ready for the next year's group of scholarship nominees. After data transfer, unit personnel must enter the final physical code and approval date in the OPMIS Personal/Program Form.

f. Placement. Once offered a scholarship, each selectee is placed at a NROTC unit based on the desires of the individual, order of merit, acceptance by the academic institution, assistance from the Candidate Guidance Office, and the seats available at the unit.
Students may request a change (in writing) of their choice of schools any time prior to school start dates. Requests for a school change may be denied if the NROTC unit is full. Units shall refer all requests for school changes or inquiries regarding unit vacancies to NSTC OD2.

g. **Top Selects.** A “Top Select” is defined as a scholarship recipient who submitted their application before 31 December and who has a CNSB select score in the top 10% of the current year’s board score (scores from all boards for the current year will be used to determine the top 10%). Top Selects will not normally be identified until mid-April when the last CNSB is complete. Therefore, initial placement will follow the normal process which is based on individual preference and quotas available. Since information regarding school admissions is not known until individual students are notified by their assigned schools, NROTC scholarship placement quotas will not be reserved at other schools for these reassignments. Rather, these reassignments may be in excess of a school’s offer cap. When a Top Select is denied admission at their assigned school, NSTC OD2 will reassign the scholarship to their next listed choice of schools. If a recipient was placed at a school other than their first choice and is later determined to be a “Top Select,” the scholarship will be reassigned only if the recipient actively applied for but was denied admission to their assigned school. If the Top Select gained admission to their assigned school, any requests for reassignment will receive normal processing.

h. **Unit Placement Role**

(1) When the nominees’ names appear on the OPMIS GF20R61 report, the NROTC unit shall send a welcome letter to the prospective nominees. This personal follow-up promotes acceptance of the scholarship and also provides the nominee with information about the host institution and unit. All correspondence with nominees must state that the scholarship is contingent on being found physically qualified or receiving a waiver for any physical disability and admission into the academic institution listed on their application.

(2) If a nominee is not accepted into the academic institution on their application, units will update the ‘school accept’ field in OPMIS at the earliest opportunity to aid in efforts for admission to another academic institution with an NROTC unit. The nominees must be directed by the unit to inform NSTC OD2 of their new choice of academic institution. Failure to do so will cause them to remain on the database of the
original unit, not appear on the database of their new choice of unit, and potentially cause them to lose the scholarship.

i. Acceptance. Individuals who accept a scholarship, who are found physically qualified or secure a waiver, and who are admitted to the academic institution hosting the unit to which they are placed, are mailed letters of authorization by NSTC OD2 to proceed to that academic institution and report to the PNS. A copy of this letter is also provided to the unit. These letters will be mailed starting in July, continuing until the end of October. After October, units shall send individual requests to OD2 for a letter of authorization.

j. Deferring Acceptance. Those who want to defer acceptance of the scholarship shall immediately notify NSTC OD2. Requests to defer scholarships are granted on a case-by-case basis.

k. Active Duty Selectees. For active duty selectees, such as those from other services with conditional releases or those who applied prior to shipping to Recruit Training Command (RTC), NSTC OD will request orders from the appropriate branch or notify RTC to issue a Standard Transfer Order (STO). The current Joint Federal Travel Regulations (JFTR) identifies moves by active duty personnel to an NROTC unit as a Permanent Change of Station (PCS) move. Travel shall be liquidated at PCS rates.

l. Non-Active Duty Selectees. Non-active duty selectees will make their own arrangements for transportation. Travel will be liquidated at the current TAD rate per mile by Personally Owned Vehicle (POV) or the cost of a Government transportation ticket.

m. Pending Physical Status. Individuals who accept a scholarship, have been admitted to the academic institution hosting the unit to which they have been placed, but who have not yet been found physically qualified or secured a waiver, may not be put on scholarship status. NSTC OD will advise them that they may proceed to campus and enroll in courses, but that they do so at their own risk and with no guarantee of scholarship or any other NROTC benefit until their final physical status is known or a waiver is given. Tuition shall not be paid for such an individual, but unit personnel may work with the university bursar’s office to arrange for a delay in tuition payment until the student’s status is known. Students pending final physical status shall obtain a statement from a physician stating he/she is physically fit to participate in physical activity before
being allowed to participate in the physical requirements of orientation, PFT/PRT, or drills that require physical activity.

**Figure 3-1: National Four-Year Scholarship Selection/Placement Process**

1. **General.** NSTC annually awards Controlled Scholarships, which are 3-, 2-, or 1-year scholarships intended to replace attrition in the National Four-Year Scholarship program and/or to meet fluctuations in production goaling. The PNS may nominate College Program MIDN, Basic or Advanced Standing, who have demonstrated solid academic and professional performance and have the potential to successfully complete the NROTC Program and enter commissioned service. These scholarships come with the same benefits and responsibilities as the National Four-Year Scholarship. The NSTC Controlled Scholarship Board meets twice per year to recommend recipients of this scholarship to CNSTC. Navy Option recipients of NSTC Controlled Scholarships must also satisfy NROTC calculus and physics requirements.
2. **Eligibility.** Nominees must meet the eligibility requirements for MIDN set forth in paragraph 2 of 3-1 and the following criteria:

   a. NROTC College Program students must have received academic and aptitude marks in Naval Science for a period of at least one academic term prior to nomination. Naval Science students, who have received academic marks for a period of at least one academic term without officially enrolling in the College Program, may also be nominated by the PNS, provided they enroll in the NROTC College Program prior to their nomination.

   b. Nominees shall have a cumulative GPA at least equal to the average of all students in the same college or degree program. However, all nominees with a cumulative GPA equal to or above 2.5 on a 4.0 scale will be considered. If data for GPA comparison are not available, a subjective assessment of the relative performance shall be made by the PNS in the "comments" section.

   c. A PNS who nominates Naval Science students shall give aptitude marks for such students on the nomination form. Nominees must have an average aptitude mark of at least 3.0.

   d. Nominees must not be in a probationary status

3. **Application.** Nominations are submitted by the PNS to NSTC OD2 for Navy Option scholarships and to MCRC for Marine Option scholarships. The PNS shall use the letter and the spreadsheet file provided with the Official Mail Message for NSTC Controlled Scholarships. The PNS is required to rank nominees by option, program, and class year (i.e. rank Navy with Navy, nurse with nurse, and freshmen with freshmen).

3-8 **Immediate Scholarship Reservation (IRS)**

The IRS is a Commander, Navy Recruiting Command program that offers four-year scholarships to the NROTC program. Selectees are boarded for approval by NSTC OD.

3-9 **Navy Nurse Corps NROTC Program**

The Navy Nurse Corps program is available to students interested in pursuing a Bachelor of Science degree in Nursing (BSN). Upon graduation, Navy Nurse Program MIDN are commissioned as Regular officers in the Navy Nurse Corps. Nurse NROTC eligibility is the same as the National Four-Year Scholarship Program.
requirements. Navy Nurse Corps NROTC scholarship recipients are selected during either the National Four-Year Scholarship CNSB or during the NSTC Controlled Scholarship Board. Those interested in a four-year program apply through the National Four-Year Scholarship program. Those interested in a 3-, 2-, or 1-year scholarship in the Navy Nurse Corps Program require a nomination from the local PNS. In the nomination, the PNS must address the nominee’s nursing school admission status in the “PNS comment” section of the nomination letter. If a nominee is not currently accepted into the academic institution’s School of Nursing (e.g., nominee is a freshman and the School of Nursing does not admit students until their junior year), the PNS shall list the GPA necessary for admittance and comment on why they believe the nominee has the potential to achieve the necessary GPA. The Navy Nurse NROTC Program is not for those pursuing any other medical program.

3-10 The Tweeddale Scholarship Program. The Tweeddale Scholarship Program was established to provide each PNS with the ability to offer outstanding students majoring in specific technical fields who have not previously been affiliated with the NROTC Program or other accession programs a scholarship. NSTC will normally issue a notice each academic year authorizing PNS selection of recipients for Tweeddale Scholarships for allocation to students who will help meet specific NROTC Program special interest objectives as defined by the Department of the Navy. An annual Official Mail Message containing guidance on special interest goals, eligibility requirements, and nomination procedures shall be issued to supplement basic MIDN requirements.

3-11 Minority Serving Institution Scholarship Reservations (MSISR). The MSISR Scholarship is open to high school students of any race or ethnicity with academic potential who have yet to demonstrate performance in a college environment or to college students who have completed at least one but less than two semesters of university course work with a cumulative GPA of 2.5/4.0 and with no grade below a "C" in all courses attempted. The MSISR scholarships place greater emphasis on leadership potential, integrity, and character rather than grade point average and standardized tests. Refer to NSTCINST 1533.8 and the annual Official Mail Message announcing the MSISR scholarships for further guidance and eligibility requirements.

3-12 Alternative Scholarship Reservations. Currently, NSTC allocates 10 Alternative Scholarship Reservations (ASR) to each of the 11 NJROTC Area Managers. ASR reservations are intended
for high school students who have demonstrated leadership, integrity, and character. ASR requirements and selection procedures are available in NSTCINST 1533.9.

3-13 Leadership Scholarship Program. Leadership Scholarships are focused on promoting and supporting recruiting efforts to attract high-caliber students for the NROTC College Program through a unit based scholarship. Leadership Scholarships shall be awarded to outstanding Navy option College Program MIDN at each activity. This program is not open to USMC College Program MIDN due to the limited pre-established production goal. The PNS may award one NROTC Leadership Scholarship each academic year with NSTC OD approval. The PNS may award additional NROTC Leadership Scholarships if authorized to do so by NSTC Notice. An annual Official Mail Message containing guidance on special interest goals, eligibility requirements, and nomination procedures shall be issued to supplement basic MIDN requirements.

3-14 Frederick C. Branch Marine Leadership Scholarship Program. These scholarships are nominated by the PNS and confirmed by a board of three officers at Historically Black Colleges and Universities (HBCUs). These scholarships are only available for the HBCU schools and are on an as needed basis. Refer to the annual Official Mail Message announcing the scholarships for further guidance.

3-15 Seaman to Admiral 21 (STA-21) Commissioning Program

1. General. STA-21 is a commissioning program that provides an opportunity for highly motivated enlisted Sailors to earn a commission. STA-21 officer candidates apply for and are accepted by a selection board for placement in specific Program options (e.g., Nuclear, Civil Engineer Corps, Special Warfare, etc). STA-21 officer candidates are assigned to specified NROTC colleges and universities and are required to graduate within 36 months. Additional information regarding the STA-21 program requirements is contained in OPNAVINST 1420.1B series and the annual NAVADMIN message. Program authorizations for STA-21 program options are available on the website: https://www.sta-21.navy.mil.

2. Nuclear Target Option (STA-21(N)). The STA-21(N) option provides enlisted personnel in the Nuclear Propulsion Program with the opportunity to complete a Bachelor of Science degree in an engineering or technical major, obtain a regular commission, and become a nuclear-trained officer. STA-21(N) is part of the
STA-21 commissioning program, but is administered separately. The program manager for STA-21(N) is NSTC OD1. The community manager for STA-21(N) OCS is Deputy Chief of Naval Operations (Manpower, Personnel, Training & Education) (DCNO (MPT&E)) (OPNAV N133).

a. STA-21(N) students shall be enrolled only in those NROTC institutions currently approved by the program manager. NSTC OD1 maintains the list of approved STA-21(N) universities.

b. STA-21(N) students must pursue academic majors approved by OPNAV N133. Approved majors vary by institution. The list of approved majors is maintained by NSTC OD1.

c. All STA-21(N) students are considered volunteers for the nuclear propulsion training program. They will be interviewed by the Director, Naval Nuclear Propulsion for acceptance into the Naval Nuclear Propulsion Program. This interview will be similar to those given for NROTC personnel and will be coordinated by NSTC OD1. Any student who is not selected for this program, but who is maintaining minimum STA-21(N) academic standards, will be allowed to complete their degree and be commissioned as an unrestricted line officer.

3. Selection and Placement. STA-21 officer candidates are selected, each fall by a specially convened selection board. STA-21(N) target option applications are first screened by Naval Reactors before being presented to the board. Reporting orders are issued by OPNAV N131. Prior to receiving orders from N131, all STA-21 officer candidates are required to have six-years of active duty service obligated. STA-21 students will complete NSI before reporting to the unit.

3-16 Marine Enlisted Commissioning Education Program. The MECEP is a commissioning program for specifically selected enlisted Marine Corps personnel leading to baccalaureate degree and a commission in the USMC. Candidates are selected by an annual board at MCRC. During their participation in the MECEP, Marines are attached to NROTC units. NSTC OD has responsibility for the academic and professional development of assigned MECEP students. Additional guidance is contained in MCO 1040.43A, MCO 1560.15L, and the annual MARADMIN message.

3-17 Meritorious Commissioning Program (MCP). MCP is a college completion program for enlisted Marine Corps personnel. To be eligible, a Marine must have earned at least 75 credit hours at an accredited college or university before applying for the
program. Additionally, the Marine must have no less than one year active service, with no less than one year remaining on their enlistment contract. Once accepted, the Marine has 18 months to complete a bachelor’s degree at an institution affiliated with a NROTC unit. On graduation, the Marine will be commissioned a second Lieutenant. Additional guidance is contained in MCO 1040.43A.

3-18 Strategic Sealift Officer Program (SSOP). The SSOP Program is an education and training program conducted by the DNS at the U.S. Merchant Marine Academy, state and regional maritime academies, and at other selected maritime schools. It is designed to qualify participants for commissioned service. Program administration, eligibility, participation requirements, and training are directed by NSTC OD. Interested applicants apply to the United States Merchant Marine Academy (USMMA) or may enter the program while attending one of the state or regional maritime academies. Additional guidance is contained in OPNAVINST 1534.1D series and NAVADMIN 183/11.
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Chapter 4 – Professional Development

4-1 General

1. NSTC specifies the professional development program for officer accession personnel within the guidelines of the Professional Core Competencies (PCCs) for Officer Accession Programs and per the policies of Department of the Navy. The professional development program includes education, training, professional development, and physical conditioning designed to prepare NROTC students for commissioned service in the Navy or Marine Corps.

2. The NROTC Program is academic in nature. NROTC unit activities shall foster that intent and not establish unreasonable distractions. As a first priority, midshipmen shall focus on receiving the best education possible and maintain good standing in the unit. During the academic year, other activities shall be considered secondary.

3. In most circumstances, the NROTC units shall provide NROTC students the opportunity to get a full eight hours of uninterrupted rest each evening. PNS/OICs may waive the minimum period in unusual, nonrecurring circumstances.

4. A “Quick Reference Guide to NROTC Standards” (Appendix B) is provided for information related to this chapter.

4-2 Responsibilities of PNS for Professional Development

1. Authority and Role in the University. Because of differences in students, their courses of study, and academic programs, both within and among institutions, a single, standard program of study is not feasible. Therefore, the PNS can structure the most effective program of study to meet individual requirements. However, the PNS is responsible for meeting the instructional objectives specified in the curricula provided by NSTC OD and for offering Naval Science courses in the recommended sequence.

   a. By public law, the senior military officer assigned is given the academic rank of Professor. Each PNS is expected to function as an academic department head according to institutional policy. Appropriate information about actions and decisions that affect the NROTC unit and the status of Naval Science courses and accreditation shall be provided to NSTC OD in writing.
b. The PNS structures and sets appropriate performance goals to achieve full academic potential and monitors the program of study to ensure each student meets Navy and university requirements for graduation and commissioning. NROTC instructors maintain appropriate degree plans, academic schedules, grade reports and checklists in a Student Performance File, as discussed in Chapters 6 and 8. NROTC instructors shall conduct academic counseling routinely and keep appropriate comments on file. NSTC expects NROTC students to progress and graduate in the minimum time possible consistent with their own abilities and the constraints of program structures and course offerings. Satisfaction of both the institution's requirements for a baccalaureate degree and Navy requirements shall constitute the basis for a commission.

2. Course Accreditation. The PNS, as head of an academic department, shall establish academic accreditation procedures for Naval Science courses. These courses shall be accredited academically by the university on terms mutually agreeable to the Navy and the institution, regardless of whether degree credit is granted for each course.

   a. Credit Status Policy. Participating institutions shall review credit for NROTC courses on the same basis as other institutional courses, per established DoD policy. If an institution questions credit, the institution shall recommend adjustments to make the course credit worthy. Regardless of the amount of credit awarded, NROTC course grades must appear on student transcripts. Institutions shall list all NROTC courses in institutional catalogs in the same manner as other institutional offerings. Each PNS shall continually seek to obtain the most favorable course status possible.

   b. Academic Excellence. As an academic department head, the PNS shall establish a learning environment conducive to achieving academic excellence. The NROTC unit shall maximize the use of the host university or college learning facilities (such as reading, mathematics, science laboratories, tutorial assistance, etc.). When necessary to raise performance in calculus and physics, the PNS may procure limited tutorial services for NROTC students. Chapter 10 provides guidance for funding of tutorial services.

4-3 Professional Development Responsibilities of Military Staff

1. Staff Instructors. Each military staff member assigned to the NROTC unit is an instructor and mentor. Accordingly, the
Navy charges each staff member with fulfilling the intent and objectives of the professional and academic aspects of NSTC OD programs. Mentoring and developing officers is the primary professional development task assigned to all members of the staff.

2. Instructor Responsibilities. The primary job of instructors is to mentor students and, as such, portray an upstanding academic, professional, and personal deportment. Mentorship includes academic instruction; professional training; monitoring of progression in university and Navy requirements; counseling on academic, personal, and professional standards; and motivating students in preparation for careers in the Naval services. Utilizing NSTC forms 114, 115, 117 and 119, instructors shall:

   a. Acquire appropriate expertise in subject matter content for the courses assigned. Support other instructors and other courses with their professional expertise as needed.

   b. Achieve and demonstrate competency in teaching methods and techniques of student evaluation. All officers ordered to NROTC instructional duty shall attend the NROTC/SSO Instructors’ Seminar (held each July) en route to or within a few months of reporting. Instructors reporting to units more than 6 months prior to the next scheduled seminar may attend at the discretion of the PNS.

   c. Provide formal, scheduled counseling and advising to NROTC students at a minimum of twice per academic term.

   d. Make themselves readily available to students during the normal working day. In all situations, the appropriate, confidential nature of personal and student information must be observed. The NROTC instructor shall use these counseling sessions and other situations to accomplish the following officer advisor responsibilities:

      (1) Assist students in setting realistic academic performance goals, as well as overall academic planning and course scheduling.

      (2) Assist students in evaluating academic performance against goals.

      (3) Counsel students on term and cruise aptitude evaluation results.
(4) Verify entries and ensure OPMIS student records are accurate and up-to-date.

(5) Closely monitor student performance on all NROTC Program requirements. Ensure calculus and physics requirements or mathematics and physical science requirements are met as appropriate.

(6) Closely monitor performance in all professional requirements, including physical fitness, swimming and weight control.

(7) Provide information on Naval Service career options and service assignment procedures.

(8) Provide information on NROTC regulations, student personnel administration, and NROTC Program requirements.

(9) Act as a positive role model and representative of the Naval Service. Instructors are role models at all times and must maintain a professional relationship with the students.

(10) Maintain a Student Performance File containing detailed counseling/advising records and other information required by Chapter 8.

(11) Prepare students for summer training and commissioning.

   e. Perform all academic and institutional responsibilities to the highest standards of the teaching profession and be an active member of the faculty of the host university.

   f. Establish and use channels of communication with the appropriate NROTC Course Coordinator for exchange of course information.

   g. Maintain comprehensive academic course files that include updated lesson plans, instructional resource listings and academic records, including student grades and test files.

   h. Participate in NROTC Naval laboratory sessions, as directed.

   i. Perform duties at summer training sites, in support of Summer Training Staff.
j. Serve on unit boards and perform collateral duties, as assigned.

k. Pursue intellectual and professional growth. While opportunities for this growth may include working toward another degree, this endeavor is not a primary duty. As such, taking graduate classes shall not preclude mentoring of students or participating in collateral duties, summer training assignments, and special programs. The PNS shall carefully consider all circumstances which may impact instructor availability to the program or students.

1. Field questions from non-NROTC students inquiring about the NROTC Program and assist with applications, if necessary.

4-4 NROTC Academic Program Requirements

1. General. The NROTC Program groups commissioning requirements into academic and professional training categories. This section specifies the academic requirements. Professional training requirements are detailed later in this chapter.

2. Course Grading and Credit. Universities shall offer all NROTC-specified courses and Naval Science courses as a letter grade and not on a pass/fail grading basis, unless precluded by specific university policy. Courses taken for college credit prior to entering the NROTC Program (including AP Courses) are acceptable so long as credit is awarded toward a baccalaureate degree by the host university. In this case a letter grade is not required. Students may not use this type of credit to fulfill both semesters (or quarter equivalents) of the requirement for Calculus and Physics; at least one semester/quarter each of Calculus and Physics must be taken in the university classroom environment. The host university must accept courses taken at cross-town institutions for transcript credit with a letter grade (not pass/fail). As a general rule, classes taken via distance learning (i.e., online, videotape, etc.) are not acceptable for fulfilling the NROTC academic program, unless the host university accepts the course for transcript credit with a letter grade. In no case shall NROTC units accept distance learning for calculus, physics, or Naval Science classes.

3. Academic Requirements. Students must complete academic requirements consisting of three parts: the institution's baccalaureate degree program with a selected academic major, NROTC-specified courses (offered by the institution), and Naval
Science courses. Normal loading for NROTC students shall be between 15 and 18 semester hours per term, including all NROTC-required course work. Normal length of degree programs is four years for midshipmen and MECEP officer candidates and no more than 36 months for STA-21 officer candidates.

4. Baccalaureate Degree Programs. The NROTC Program requires a bachelor’s degree from an accredited institution for commissioning. During initial meetings with incoming students, the PNS shall discuss the need for technically competent officers to man the warfare communities of the Navy and Marine Corps. The discussion shall include the service assignment process, including the positive consideration of technical prowess as demonstrated by a degree in a technical field. For non-technical majors, students shall be encouraged to pursue a field of study which is relevant to the Navy’s needs for cultural knowledge and languages. All students shall pursue electives that are relevant to the Naval Services’ need for culture, language, and business acumen.

a. Scholarship and College Program (Navy Option). PNSs shall encourage students to pursue majors in a tier 1 or tier 2 category, as well as language skills to meet the requirements of the modern Navy per NSTCINST 1533.3A series, Academic Major Selection Policy for Naval Reserve Officers Training Corps (NROTC) Navy Option Scholarship Midshipmen, and NSTCINST 1550.1C series, Language Skills, Regional Expertise and Cultural Awareness Academic Major Policy for Naval Reserve Officers Training Corps Midshipmen. Navy Option four-year scholarship midshipmen shall conform to the academic policy set forth in NSTCINST 1533.3 series. Navy Option scholarship and College Program midshipmen must also complete the required Naval Science courses and the NROTC-specified college courses delineated later in this chapter. Navy Option College Program students are not required to enroll in a tier 1 or tier 2 category major; however the PNS shall encourage midshipmen to pursue these majors and counsel them on the advantage of these majors when competing for an NSTC Controlled scholarship.

b. Scholarship and College Programs (Marine Corps Option). Although no restrictions exist on academic majors for Marine Corps Option students, the PNS, with the assistance of the Marine Officer Instructor (MOI), shall review proposed academic majors and guide the students to select their field of study in areas considered beneficial to the Marine Corps and to the individual concerned for a career as a Marine Corps officer. In addition, the PNS and MOI shall assist students in selecting
foreign language skills courses per NSTCINST 1550.1C series. When feasible, Marine Option students should consider completing the NROTC-specified courses (see Table 4-1), so that a change to Navy Option is not precluded by an academic burden.

c. Nurse Option Program. Nurse Option scholarship recipients must matriculate at an NROTC-affiliated school completing an NSTC-approved baccalaureate degree program in Nursing (BSN).

d. Marine Enlisted Commissioning Education Program

   (1) University Requirements. USMC requires Marine Enlisted Commissioning Education Program (MECEP) students to complete specified university requirements for the approved major, plus specified professional development training as determined by the PNS to meet the pre-commissioning training objectives established by the Commandant of the Marine Corps.

   (2) Required Naval Science Courses. MECEP students are required to complete the following Naval Science courses: Evolution of Warfare, Amphibious Warfare and Leadership & Ethics. The PNS may grant waivers for Evolution of Warfare and Amphibious Warfare only if these courses will cause an academic overload and result in a graduation delay.

   (3) Drill/Laboratory. MECEP students shall participate in NROTC drill/laboratory in the same manner as Midshipmen. When MECEP students are excused by the PNS from drill or “all-hands” laboratory periods, the MOI shall provide alternate training or activities.

e. Seaman to Admiral-21. The annually issued Seaman to Admiral-21 (STA-21) Program authorizations detail the specified academic requirements for STA-21 students. Each unit shall keep a copy of the program authorization for every student’s admittance. Program requirements occasionally change from year to year; therefore, each student is bound by the specific authorization for his/her admittance. The STA-21 Program requires a baccalaureate degree and NROTC-specified courses (including calculus and physics). STA-21 students complete Naval Science courses at the Naval Science Institute prior to reporting to a specific NROTC unit with the exception of the Leadership & Management and the Leadership & Ethics courses. More information regarding pay, tuition, GPA, enlistment bonus, and special duty assignment pay can be found in OPNAVINST 1420.1B.
series and OPNAVINST 1160.6B series. Generalized requirements include:

(1) Academic Requirements. STA-21 officer candidates are expected to:

(a) Complete 15-18 hours per semester or quarter equivalent, attend summer school vice summer training, and graduate at the earliest possible date within 36 months. The PNS/OIC may authorize a reduced academic load due to academic rigor or university-directed academic probation. If the reduced course load results in an extended graduation date, the PNS shall obtain authorization from NSTC OD4 and OPNAV N131 (NSTC OD1 and OPNAV N133 for nuclear option STA-21). Extensions are not normally authorized.

(b) Complete one year of calculus and calculus-based physics, except Nurse Corps option.

(c) Complete Naval Science Institute (NSI) prior to matriculation at the university. They also shall complete the Leadership and Management and Leadership and Ethics courses at the university.

(d) Remain enrolled as full-time students during the regular summer session.

(e) If deviations from the approved academic plan become necessary, PNS shall base additional or substitute courses on furthering the STA-21 officer candidate's potential as a future Naval Officer. PNS will give first priority to the sciences, mathematics or engineering, second to courses in personnel management and behavioral science, and third to political science and history. Change requirements to STA-21(N) degree completion plans are detailed in Chapter 6.

(2) Academic Standards. STA-21 officer candidates shall maintain high levels of academic performance as students. At a minimum, they shall maintain a cumulative academic grade point average of 2.5 on a 4.0 scale (3.0 on a 4.0 scale for STA-21 Nuclear and CEC Officer Candidates). Additionally, STA21(N) officer candidates must earn a grade of “C” or better in all technical/math/science courses. The procedures to follow when addressing academic deficiencies are addressed in Chapter 8. Academic standards and program administration for STA-21(N) officer candidates are further delineated in Chapters 6 and 8.
(3) **Physical Fitness Standards.** STA-21 officer candidates must meet Navy standards for physical fitness and shall participate in testing with midshipmen. The procedures and consequences to follow when addressing physical fitness failures are addressed in Chapter 8.

(4) **Performance Evaluation.** The PNS shall assign a class officer to advise the STA-21 officer candidate during his/her academic year. In some cases, the PNS may assign one academic advisor to the STA-21 officer candidate throughout their time in the program. The unit’s designated Nuclear Power Officer (NPO) shall advise all STA-21(N) officer candidates.

### 4-5 Specified Courses

The host institution provides these Specified Courses taught by civilian faculty as listed in Table 4-1. The table describes semester hours; colleges using trimester or quarter systems shall take an equivalent content number of hours as determined by the PNS.

1. **Navy Option Scholarship Students.** These students are required to complete course sequences in calculus and physics equivalent to at least six semester hours in each area.

   a. **Calculus.** The calculus sequence shall include material through differential and integral calculus of one real variable.

   b. **Physics.** The physics sequence shall be calculus-based and cover the traditional topics of mechanics, electricity, magnetism, sound, optics, heat, and other related subject matter. Students shall include appropriate laboratory classes in completing the physics requirement.

   c. Students who complete calculus and physics courses at institutions other than the host institution must ensure credits with a letter grade are transferable before use for the Navy Scholarship Program requirement.

   d. NSTC ODI will promulgate the list of approved calculus and calculus-based physics courses.

   e. NSTC ODI cannot waive the requirement to complete calculus and physics.

   f. Students shall complete calculus by the end of the second year of Naval Science (normally the sophomore year) and
physics by the end of the third year of Naval Science (normally the junior year). PNS shall place students not completing this requirement or failing to schedule these courses to ensure timely completion on academic LOA pending completion. In certain instances, NSTC OD3 may grant a time-of-completion waiver.

   g. Students who have taken calculus or calculus-based physics for college credit in high school, which the college has validated, must complete one additional three semester-hour (or equivalent) college course in each of those areas to satisfy this requirement.

   h. PNS shall place students who fail calculus or physics a second time on academic LOA and send them to a PRB.

   i. When a midshipman fails to complete calculus and/or calculus-based physics in the required timeframe, the PNS may authorize completion of these courses in summer school at the student's expense, as long as the courses are accepted for a letter grade by the host institution. Prior to PNS authorization, the midshipman must ensure transfer credit approval from the host institution.

2. Navy College Program Students. These students must complete one year of college level study in both mathematics and physical science as a prerequisite for commissioning.

   a. Mathematics courses must be at the level of college algebra or higher.

   b. The physical science requirement can be met by completing a one-year sequence, or two courses, in an area of physical science. Students shall include appropriate laboratory classes in completing the science requirement.

   c. Students shall complete the mathematics requirement by the end of the junior year and the science requirement by the end of the senior year. PNS shall place students not completing this requirement on time on academic LOA pending completion.

   d. Students who have completed these courses by validation, such as CLEP examination, shall be considered to have completed the requirement; however, the validation must appear on the transcript.
3. Other NROTC-Specified Academic Requirements

   a. American History/National Security Policy Courses. These courses shall focus on U.S. military history, world military history, U.S. National Security policy, or combinations of these topics. The PNS shall approve these courses.

   b. World Culture and Regional Studies Courses. These courses must have an emphasis on Third World, Far East, and/or Southwest Asia. This academic requirement is designed to expand our future officer corps' awareness, knowledge, and sensitivity to world cultures and peoples. The PNS shall approve these courses.
c. English Courses. These courses, which total six semester-hours or equivalent, must concentrate on the areas of grammar and composition and require significant student writings. The PNS shall approve these courses.

4-6 Naval Science Courses

a. General. The Naval Science courses are listed below in the recommended sequence. Three semester credit hours are recommended for each course. NSTC OD authorizes flexibility for individual unit course sequencing, provided the Introduction to Naval Science course is the first course taught, Leadership and Ethics is the last course taught and the Navigation and Engineering courses are presented prior to first class cruise. Students shall not take the Engineering and Weapons courses until they have completed math foundation requirements. Naval Science course requirements are summarized in Table 4-2.

b. Introduction to Naval Science (Freshman year). A general introduction to the USN and USMC that emphasizes organizational structure, warfare components and assigned roles/missions of USN/USMC; covers all aspects of Naval Service from its relative position within DoD to the specific warfare communities/career paths; and includes basic elements of leadership and Navy Core Values. The course will provide students with initial exposure to many elements of Naval culture and provides conceptual framework/working vocabulary for student to use on summer cruise.

c. Sea Power and Maritime Affairs (Freshman year). (For Nurse Corps only, course may be taken in sophomore year.) A study of the U.S. Navy and the influence of sea power upon history that incorporates both a historical and political science process to explore the major events, attitudes, personalities, and circumstances that have imbued the U.S. Navy with its proud history and rich tradition; deals with issues of national imperatives in peacetime, as well as war, varying maritime philosophies that were interpreted into Naval strategies/doctrines, budgetary concerns which shaped force realities, and the pursuit of American diplomatic objectives; and concludes with a discussion of the Navy’s strategic and structural changes at the end of the Cold War and its new focus, mission and strategy in the post September 11, 2001 world.
d. Leadership and Management (Sophomore year). (For Nurse Corps Option only, course may be taken in junior year. PNS may waive course if a similar course is taught in the nursing school and approved by the Dean of the School of Nursing) The course introduces the student to many of the fundamental concepts of leading Sailors and Marines, which shall be expanded upon during the continuum of leadership development throughout NROTC; develops the elements of leadership vital to the effectiveness of Navy/Marine Corps officers by reviewing the theories and parameters of leadership and management within and outside of the Naval Service and progressing through values development, interpersonal skills, management skills, and application theory. Practical applications are explored through the use of experiential exercises, readings, case studies, and laboratory discussions.

e. Navigation (Sophomore year). (Not required for Nurse and Marine Corps Options.) In-depth study of the theory, principles, procedures, and application of plotting, piloting, and electronic navigation, as well as an introduction to maneuvering boards. Students learn piloting techniques, the use of charts, the use of visual and electronic aids, and the theory of operation of both magnetic and gyrocompasses. Students develop practical skills in plotting and electronic navigation. Other topics include tides, currents, effects of wind/weather, voyage planning, and an application and introduction to the international/inland rules of navigation. The course is supplemented with a review/analysis of case studies involving moral/ethical/leadership issues pertaining to the concepts listed above.

f. Evolution of Warfare (Sophomore/Junior year). (Required for Marine Option and MECEP students; optional for Navy students.) Students trace the development of warfare to the present day. This course is designed to cover the causes of continuity and change in the means and methods of warfare. It addresses the influence of political, economic, and societal factors on the conduct of war, with significant attention focused on the role of technological innovation in changing the battlefield. Students will explore the contribution of preeminent military theorists and battlefield commanders to our modern understanding of the art and science of war.

g. Naval Ships Systems I (Engineering) (Junior year). (Not required for Nurse and Marine Corps Options.) Students learn detailed ship design, hydrodynamic forces, stability, propulsion, electrical theory and distribution, hydraulic theory
and ship control, and damage control. The course includes basic
corcepts of theory/design of steam, gas turbine, diesel, and
uclear propulsion. Case studies on leadership/ethical issues
in the engineering arena are also covered.

h. Naval Ships Systems II (Weapons) (Junior year). (Not
required for Nurse and Marine Corps Options.) The course
outlines the theory and employment of weapons systems. Students
explore the processes of detection, evaluation, threat analysis,
weapon selection, delivery, guidance, and explosives. Fire
control systems and major weapons types are discussed, including
capabilities and limitations. The physical aspects of radar and
underwater sound are described. Facets of command, control,
communications, computers, and intelligence are explored as a
means of weapons system integration. The tactical and strategic
significance of command and control warfare and information
warfare is discussed. This course is supplemented with review/
analysis of case studies involving the moral and ethical
responsibilities of leaders in the employment of weapons.

i. Amphibious Warfare (Junior/Senior year). (Required for
Marine Option and MECEP students; optional for Navy students.)
Students learn the fundamental terms, concepts, and theories of
general warfare and amphibious warfare. These terms, concepts,
and theories shall be applied through a historical analysis of
amphibious operations, identifying the evolution of amphibious
doctrine, tactics, and technology. Focuses on the evolution of
the U.S. Marine Corps into a specialized amphibious force, with
particular attention devoted to the structure and capabilities
of the present day U.S. Marine Corps as a forward deployed and
rapid deployment force and the development of Expeditionary
Maneuver Warfare concepts.

j. Naval Operations and Seamanship (Senior year). (Not
required for Nurse and Marine Corps Options.) A continued study
of relative motion, formation tactics, and ship employment.
Introductions to Naval operations and operations analysis, ship
behavior and characteristics in maneuvering, applied aspects of
ship handling, afloat communications, Naval command and control,
Naval warfare areas, and joint warfare are also included. The
course is supplemented with a review/analysis of case studies
involving moral/ethical/leadership issues pertaining to the
concepts listed above.

k. Leadership and Ethics (Senior year). The course
completes the final preparations of Ensigns and 2\textsuperscript{nd} Lieutenants
for service in the Fleet and Marine Corps. The course
integrates an intellectual exploration of Western moral traditions and ethical philosophy with a variety of topics, such as military leadership, core values, and professional ethics; the UCMJ and Navy regulations; and discussions relating to the roles of enlisted members, junior and senior officers, command relationships, and the conduct of warfare. The course provides midshipmen with a foundation of moral traditions, combined with a discussion of actual current and historical events in the United States Navy and Marine Corps, to prepare them for the role and responsibilities of leadership in the Naval Service of the 21st century.

1. Naval Science Laboratory (Presented throughout all years). The school will recommend one semester-hour credit. NSTC OD developed the course to cover PCC objectives not included in formal Naval Science courses. Topics cover general Navy/Marine Corps mission and policies, force protection, operational security, watch standing, physical fitness, nutrition, stress management, and other professional development subjects.

m. Strategic Sealift Officer Program Courses

(1) Naval Science for the Strategic Sealift Officer Merchant Marine Course (Freshman year). The course provides candidates a USCG Merchant Marine Officer’s license with the basic professional information and skills needed to operate a U.S. registry merchant ship as a Naval or military auxiliary vessel in time of war or national emergency.

(2) Naval Science for the Strategic Sealift Officer I (Sophomore). The course introduces Strategic Sealift (SSO) midshipmen to the organization of the Naval Service, varied career opportunities, long-held customs and traditions of the service, capabilities of the United States Navy, duties of a junior officer, and Navy policies on relative wellness issues. Additionally, the course prepares SSO midshipmen for their first experience onboard a Navy ship by imparting basic information concerning shipboard procedures.

(3) Naval Science for the Strategic Sealift Officer II (junior or senior year). This course is derived from the NROTC Leadership and Ethics curriculum. SSO midshipmen learn an ethical foundation and the basic leadership tools needed to be effective junior officers. Additionally, the course provides broad knowledge of the various moral, ethical, and leadership philosophies that strengthen personal character and presents an
overview of duties, responsibilities, and expectations of junior officers in the United States Navy.

(4) Two NROTC courses are available as electives: Navigation and Naval Operations and Seamanship.

n. Instruction and Content. NSTC OD develops and approves curriculum guides for each Naval Science course. NSTC OD3 will make the curriculum guides available to all midshipmen. (All Naval Science curriculum guides are available online at NROTC Instructor – Navy Knowledge On-Line website. The PNS is responsible for the instruction of these courses to ensure coverage of all professional core competencies (PCCs), regardless of the amount of degree or course credit provided by the institution. Students must meet the learning objectives specified for each course in the respective curriculum guides. Team approaches to instruction are encouraged. In cases where the institution offers a course that is substantially equivalent to a Naval Science course, the PNS may permit substitution of that course with written approval from NSTC OD. In such cases, the PNS shall ensure all relevant competencies and specified learning objectives are met, using Naval Laboratory sessions to cover material not otherwise addressed.

o. Adaptation for Quarters and Trimesters. The curricula for these courses are developed by NSTC OD3 for the number of semester hours stated. Schools under the quarter system shall modify the credits for each course to a quarterly basis. For example, a three-credit semester course would be a five-credit quarter course. A course may be divided over two quarters.
Table 4-2: Naval Science Course

<table>
<thead>
<tr>
<th>Courses</th>
<th>Year Completed</th>
<th>USN Scholarship</th>
<th>USMC Scholarship</th>
<th>USN CP</th>
<th>USMC CP</th>
<th>MECEP</th>
<th>Nurse Option</th>
<th>STA-21</th>
</tr>
</thead>
<tbody>
<tr>
<td>Introduction to Naval Science</td>
<td>Freshman</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>N</td>
<td>R*</td>
<td>R*</td>
</tr>
<tr>
<td>Sea Power and Maritime Affairs</td>
<td>Freshman</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>N</td>
<td>R*</td>
<td>R*</td>
</tr>
<tr>
<td>Leadership and Management</td>
<td>Sophomore</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>N</td>
<td>R</td>
<td>R</td>
</tr>
<tr>
<td>Navigation</td>
<td>Sophomore</td>
<td>R</td>
<td>N</td>
<td>R</td>
<td>N</td>
<td>N</td>
<td>N</td>
<td>R*</td>
</tr>
<tr>
<td>Engineering</td>
<td>Junior</td>
<td>R</td>
<td>N</td>
<td>R</td>
<td>N</td>
<td>N</td>
<td>N</td>
<td>R*</td>
</tr>
<tr>
<td>Weapons</td>
<td>Junior</td>
<td>R</td>
<td>N</td>
<td>R</td>
<td>N</td>
<td>N</td>
<td>N</td>
<td>R*</td>
</tr>
<tr>
<td>Naval Operations and Seamanship</td>
<td>Senior</td>
<td>R</td>
<td>N</td>
<td>R</td>
<td>N</td>
<td>N</td>
<td>N</td>
<td>R*</td>
</tr>
<tr>
<td>Leadership and Ethics</td>
<td>Senior</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
</tr>
<tr>
<td>Evolution of Warfare</td>
<td>Sophomore/Junior</td>
<td>N</td>
<td>R</td>
<td>N</td>
<td>R</td>
<td>N</td>
<td>N</td>
<td>N</td>
</tr>
<tr>
<td>Amphibious Warfare</td>
<td>Junior/Senior</td>
<td>N</td>
<td>R</td>
<td>N</td>
<td>R</td>
<td>R</td>
<td>N</td>
<td>N</td>
</tr>
<tr>
<td>Naval Science Laboratory</td>
<td>All years</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
</tr>
</tbody>
</table>

R= Required; N= Not required; R*= Required but taken at NSI.

p. Accreditation Status and Reporting. NSTC OD3 maintains records on the status of accreditation of Naval Science courses relative to university credit and acceptance for degree credit in various majors for all host institutions and cross-town agreement institutions.
(1) Each October, units shall review the previous year’s Course Credit Survey data; update the information indicating course credit, course sequencing, or other changes that have occurred, and forward the revised survey to NSTC OD3. Where no changes have occurred, negative reports are required.

(2) Unless a catalog is available on-line, annually, or upon revision, the PNS shall provide to NSTC OD5 one copy of the undergraduate college/university catalogs/bulletins from the host institutions and other colleges and universities which have written cross-enrollment agreements.

q. NJROTC Credit. The PNS may exempt students having satisfactorily completed at least three years of Naval Science course work in the NJROTC or Marine Corps JROTC (MCJROTC) from Introduction to Naval Science. The PNS is not authorized any exemptions for NJROTC students who completed less than three years of Naval Science in high school.

4-7 Curriculum Development and Revision

1. General. The Professional Core Competencies (PCCs) for Officer Accession Programs Manual states policy guidelines for the professional development of NROTC students. Using the guidance provided by the PCC Manual, NSTC OD prescribes course content, develops courses, and procures necessary texts, references, training aids, and support material.

2. Course Coordinators. NSTC OD designates specific NROTC units as Course Coordinators for each Naval Science course. The Course Coordinator role is a command responsibility. The PNS shall designate the unit instructor teaching the course the Course Coordinator Action Officer. Units so designated serve as a focal point of communication and information for NSTC OD in reviewing, evaluating, and revising the course(s) assigned. Course Coordinator Action Officers shall chair peer review and revision panels when assigned. Course Coordinator Action Officers shall also serve on the staff of the annual NROTC/SSO Instructor’s Seminar to train prospective instructors in course content and teaching competencies. Each Course Coordinator shall directly liaison with NSTC OD3 and NROTC units for matters pertaining to the curriculum assigned. Similarly, all NROTC units are authorized direct liaison with the Course Coordinators. NSTC expects an active exchange of information and ideas. Naval Science Instructors may submit course revision recommendations to the Course Coordinator at any time.
a. **Other Officer Accession Programs.** The Navy designates PCCs as the baseline for all Navy officer accession programs; hence, the basic core subjects are similar among these programs. This creates both the opportunity and the necessity for active interchange of thoughts and ideas and for the sharing of expertise. NSTC OD3 (and the Course Coordinators as NSTC OD representatives) shall maintain liaison with the USNA and other officer accession programs for this purpose.

b. **Navy Technical Activities.** As appropriate, the resources of the various technical activities throughout the Navy shall be used to develop Naval Science instruction. NSTC OD3 (and the Course Coordinators as NSTC OD representatives) shall determine requirements and establish required liaison.

c. **Process.** NSTC OD shall develop and revise all Naval Science courses used in the NROTC Program.

d. Although the courses are in a continuous state of review, NSTC OD shall complete a comprehensive review of each course no less than once every three years.

e. The development and revision processes, anchored on the PCCs, use a systems approach to instructional analysis, design, development, implementation, and evaluation. Using the PCCs as a baseline, NSTC OD develops and structures appropriate learning objectives and instructional strategies to achieve effective and efficient instruction.

f. NSTC OD3 manages curriculum updates and major changes with the assistance of appointed Course Coordinators.

g. In addition to the required triennial review, each Naval Science Course Coordinator shall continuously review their assigned course to identify needed updates and changes. Revisions may be made for any of the following reasons:


   2. Desirability or necessity based on Course Coordinator/unit interaction as approved by NSTC OD.

   3. Revisions of prescribed texts and references.

   4. Changes in technology, operational doctrine, command structure, etc.
(5) Direction from higher authority.

(6) Other appropriate indicators of the need for change.

h. The Course Coordinator Action Officer manages the curriculum development process for the assigned PNS, in accordance with course revision guidance provided in Appendix C. Changes to curriculum shall be reviewed by a select group of subject matter experts determined by NSTC OD3 and the course coordinator PNS in a peer review manner. NSTC OD3 directs the revision process but relies on the PNS of the unit assigned the Course Coordinator role to ensure content is relevant, up to date, and compliant with Navy policies.

3. Curricular Materials

a. Curricula and Lesson Guides. NSTC OD3 shall provide a curriculum guide for each Naval Science course and make available online at NROTC Instructor – Navy Knowledge On-Line website. Each curriculum guide contains course objectives, a listing of available instructional aids, the course bibliography, and lesson guides for each course topic. Each lesson guide provides applicable learning objectives, a listing of instructor references and student texts, identification of applicable instructional aids, method and procedures options, and a detailed outline of the presentation of content. The lesson guide is the primary resource for the instructor to prepare individual lesson plans. Because of differing circumstances among NROTC schools, it may not be possible to teach each lesson as a specific unit of instruction; however, instructors are accountable for meeting all learning objectives stated in the curriculum guides.

b. Lesson Plans. Lesson plans shall be prepared by NROTC instructors for each class in the course(s) they teach. The lesson plan is prepared using the appropriate lesson guide(s); references; and the officer's education, professional training, personal experience, and instructional style. Although personalized, the lesson plans shall be systematically prepared to include statements of applicable lesson objectives, specification of instructor and student resources, and a thorough and complete outline of the presentation. The basic format of the lesson guide is recommended for structuring lesson plans. Because teaching to the objectives defined in curriculum guides is a matter of accountability, instructional planning by instructors shall be reviewed during command self-inspections.
and by the NSTC OD assist visit team. Detailed and fully documented lesson planning is required to promote effective teaching and shall be provided to the relieving instructor as a part of the turnover from the departing instructor.

c. Text, Reference and Instructional Support. NSTC OD3 shall coordinate the distribution of student texts, instructor references, and instructional aids for each Naval Science course. Materials in the Navy supply system that are not provided by NSTC OD shall be ordered by stock number by the NROTC unit. Texts will be only provided to students enrolled in the NROTC Program. In cases where civilian students enroll in a Naval Science course, NROTC units may loan available texts to these students. However, this practice shall not create a demand for additional texts. The university will stock Naval Science textbooks required by civilian students for purchase at the university bookstore.

d. Additional Instructional Resources. NSTC OD shall provide and support only those texts, references and instructional aids identified in approved Naval Science curriculum guides and supporting special informational programs directed by higher authority. Units may supplement these course materials, if so desired, but such purchases must be from the unit’s OPTAR. These other materials of interest shall be brought to the attention of NSTC OD3 and the applicable Course Coordinator for possible inclusion in the official curriculum.

4-8 Evaluation of NROTC Instructional Effectiveness. The evaluation of instruction and instructional programs is imperative. In the NROTC Program, evaluation occurs at both the NROTC unit and program-wide.

1. NROTC Unit-Level Evaluation

   a. Measuring Achievement. The unit shall use achievement tests to assess subject matter mastery and student competency. Instructors shall become competent in test construction and make full use of the techniques of test construction and validation. Course syllabi prepared by instructors shall specify the methods used for measuring student achievement and the frequency of such testing. The testing process shall be reviewed during command self-inspections and by the NSTC OD assist visit team.

   b. Evaluating Instruction. The PNS shall establish a system to evaluate the instruction provided by NROTC instructors. The PNS and the XO shall each personally observe
and evaluate Naval Science classes at least once per term. Evaluation shall be in writing and maintained for the duration of the instructor's tour. Where the institution specifies a system of instructional evaluation, the PNS shall use, but not be limited by, that system.

2. **Test Security.** The PNS shall also develop clear-cut guidelines for security of Naval Science tests and other sensitive instructional material. Instructors shall avoid overuse of the same test questions. Such materials shall be afforded a level of security similar to that provided for an enlisted advancement exam. Test material maintenance and security shall be reviewed during command self-inspections and by the NSTC Unit Assist Visit team.

**4-9 NROTC Professional Training.** NROTC professional training includes the Naval Science Institute, Naval Science Laboratory, Command and Leadership Training (CALT), summer training, indoctrination field trips and visits and physical readiness. Each of these is detailed in paragraphs 4-10 through 4-17.

1. Some professional training is categorized as high risk training per NSTCINST 5100.1 Naval Service Training Command Occupational Safety and Health and High Risk Training Safety Policies and Procedures. Four specific training evolutions are considered high risk – swimming, small arms training, sailing, and physical readiness.

2. Other training evolutions, such as rappelling and firearms training other than .22 cal. are also high risk and do not take place on a regular basis. Because these evolutions are not routine or part of regular professional training, units must first conduct a Risk Assessment per OPNAVINST 1500.75B series and then receive specific written authorization from NSTC OD3 prior to taking place.

**4-10 Naval Science Institute.** Naval Science Institute (NSI) is an intensive professional, academic, and physical training program conducted by NSTC at Officer Training Command, Newport RI. For students entering 2-year NROTC Programs, academic work includes the following four Naval Science courses: Introduction to Naval Science, Seapower and Maritime Affairs, Naval Ships Systems I (Engineering) and Naval Ships Systems II (Weapons). (Nurse Corps candidates receive training in Leadership and Management and Leadership and Ethics in place of the Naval Ship Systems I and II courses). NSI provides STA-21 Officer Candidates a longer course of professional and academic training
each summer. STA-21 academic work includes the following six Naval Science courses: Introduction to Naval Science, Seapower and Maritime Affairs, Naval Ships Systems I (Engineering), Naval Ships Systems II (Weapons), Navigation, and Naval Operations and Seamanship.

4-11 Naval Science Laboratory. The NROTC unit shall schedule Naval Science Laboratory for a 2-hour period weekly each school term. Units may use the laboratory time to conduct close-order drill and professional education/training (covering a wide variety of Navy and Marine Corps requirements) not normally included in the curriculum of the Naval Science courses. Laboratory periods may also be used on an occasional basis to supplement the Naval Science courses and provide additional time for projects, such as navigation chart work, etc. The Naval Science Laboratory curriculum guide lists the topics for the laboratory periods.

4-12 Command and Leadership Training. In addition to the Naval Science courses listed, all NROTC midshipmen shall receive Command and Leadership Training (CALT). This training is structured to ensure midshipmen are able to demonstrate officer command and leadership qualities prior to commissioning. CALT places the midshipmen in settings that manifest conditions of stress, time management, personal accountability, management decision making, and command leadership. To the maximum extent practicable, CALT shall occur in settings that simulate an operational unit. All midshipmen shall receive a minimum of 20 hours of CALT training during each of their last two years prior to commissioning. This requirement is separate from participation in summer cruise and exposure to a range of CALT options is desirable. At a minimum, CALT shall include the options described below, with at least 10 hours per year being in one of the "preferred" options:

1. Drill team (preferred).
2. Inter/intra-unit competitive military exercises (preferred).
3. Battalion management and administration.
4. Planning and coordination of major battalion functions.

4-13 Sail Training

1. Units capable of conducting sail training shall ensure Navy option and STA-21 students complete qualifications as Skipper
“B” prior to the start of their First Class year. Units shall address waivers for sail training to NSTC OD3.

2. Each PNS shall designate one NROTC unit staff officer as sail training coordinator. The coordinator shall structure, conduct, and evaluate sail training and maintain appropriate records. Sail training is high-risk training and requires annual reviews by the Unit Training Safety Officer.

3. Requests to turn in sail equipment shall be addressed to NSTC OD3 and NSTC OD5.

4. Sail inventories shall be conducted annually and submitted to NSTC OD5 each December.

4-14 Summer Training

1. General. A summer training period is held annually to furnish NROTC midshipmen the opportunity to gain experience in the practical application of their studies in Naval Science. These training periods are normally three to four weeks in length. NROTC scholarship midshipmen are required to participate in summer training during each of the three summers between freshman and senior years. The first class cruise satisfies the USC 10 (Title 10) commissioning requirement for all NROTC midshipmen and shall not be waived.

   a. Third Class Summer Training. Conducted between the freshman and sophomore academic years for all scholarship students, Career Orientation and Training for Midshipmen (CORTRAMID) is a warfare community indoctrination. Nurse Corps Option midshipmen do not attend CORTRAMID. The Nurse Corps third class cruise is at-sea training with the midshipmen assigned to the Medical Department of the ship. (See Midshipman Summer Training Manual)

   b. Second Class Summer Training. Second Class Summer Training is conducted between the sophomore and junior academic years for all scholarship students. This at-sea training on surface ships and submarines, furnishes midshipmen with basic shipboard orientation and an introduction to enlisted life and the roles of the work center supervisor. Second class midshipmen who did not participate in CORTRAMID as third class
midshipmen may do so in lieu of second class cruise if billets are available. Nurse Corps Option midshipmen who did not attend third class cruise shall participate in the second class at-sea training. Marine Option Midshipmen with a PFT score of at least 245 and their PNS recommendation shall attend Mountain Warfare Training in Bridgeport, CA. Those Marine Option Midshipmen with PFT scores less than 245 or without PNS recommendation shall attend an amphibious surface cruise.

c. First Class Summer Training. First Class Summer Training is conducted between the junior and senior academic years for all first class NROTC midshipmen. First class cruise provides exposure to the officer and wardroom environment and is executed in an at-sea training environment aboard a ship. First class Navy Option midshipmen may also opt for aviation, submarine or foreign exchange (FOREXTRAMID). Marine Option first class midshipmen attend Officer Candidate School (OCS) in Quantico, VA. Marine Option midshipmen shall also be required to sign an OCS Statement of Understanding prior to reporting to OCS. The Nurse Corps Option first class cruise is at a Naval hospital, with the primary training objective to learn the organizational structure and functions of a Naval hospital and to gain appreciation for the concept of Navy healthcare.

2. Eligibility for Summer Training. An official PFA score of “Good” is required for summer training assignments; however, a midshipman that passes an official PFA with less than a “Good” score may attend CORTRAMID and second class cruise at the discretion of the PNS, provided all other requirements are met. Marine Option midshipmen must have an official PFT score of 200 in order to attend CORTRAMID and a 225 to attend OCS and MWTC. A score of 275 is highly recommended for successful completion of OCS, a score of 225-244 shall require PNS approval to attend MWTC and a score of 200-224 shall require PNS approval to attend CORTRAMID. Midshipmen on LOA status may not participate in summer training.
Table 4-3

<table>
<thead>
<tr>
<th>Cruise</th>
<th>USMC PFT SCORE</th>
<th>USN PFA Score</th>
<th>Attend Cruise</th>
</tr>
</thead>
<tbody>
<tr>
<td>CORTRAMID</td>
<td>225</td>
<td>Good</td>
<td>Yes</td>
</tr>
<tr>
<td>CORTRAMID</td>
<td>200 – 224</td>
<td>Satisfactory</td>
<td>With PNS Approval</td>
</tr>
<tr>
<td>CORTRAMID</td>
<td>&lt; 200</td>
<td>Failure</td>
<td>No</td>
</tr>
</tbody>
</table>

| 2nd Class   | 245            | Good          | Yes           |
| 2nd Class   | 225 – 244      | Satisfactory  | With PNS Approval |
| 2nd Class   | <225           | Failure       | No            |

| 1st Class   | 225            | Good          | Yes           |
| 1st Class   | N/A            | Satisfactory  | Postponed     |
| 1st Class   | <225           | Failure       | No            |

a. Scholarship Midshipmen. For Scholarship Program students, the timing of the appointment to scholarship status determines the requirement for participation in summer training. All 4-year NROTC scholarship students should participate each summer. Those appointed to Tweeddale, Leadership, or NSTC controlled scholarships are eligible to cruise following at least one semester on scholarship; however, spring-start scholarship midshipmen are space-available only in the first summer, by special request from the unit to NSTC OD3. Three-year scholarship recipients may participate in CORTRAMID or Second Class cruise and First Class summer training periods, or OCS for Marine Option midshipmen. Two-year scholarship recipients shall participate in first class summer training only.

b. College Program Midshipmen. Each NROTC College Program student is required to participate in the first class cruise. College Program students shall not participate in Third or Second Class summer training.

c. SSO Midshipmen. SSO midshipmen expressing a strong interest in active Naval Service following graduation are eligible to participate in at-sea cruises similar to those of
NROTC midshipmen. However, as they are not NROTC midshipmen, they are ineligible for NROTC Program funding and generally pay all expenses out-of-pocket, including transportation, lodging, and meals. SSO midshipmen shall request at-sea cruises via NSTC OD3.

d. STA-21. STA-21 Officer Candidates do not participate in summer training and are required to maintain full-time academic study during the summer term.

e. MECEP. MECEP Officer Candidates are required to complete Marine Officer Candidate School (OCS) at Quantico prior to commissioning but do not participate in any other summer training. Generally, MECEP candidates attend OCS following their first year of school. Except while attending OCS, they are required to maintain fulltime academic status during the summer term.

3. Waivers and Postponements. Summer training is required of all NROTC scholarship midshipmen during each summer between the freshman and senior years. NSTC OD3 may waive Third and Second Class cruises. Where CORTRAMID or Nurse afloat cruises are waived following the freshman year, they can be completed, as space permits, following the sophomore year. Since the First Class cruise satisfies the USC 10 (Title 10) commissioning requirement for all NROTC midshipmen, it can be postponed but not waived. Midshipmen postponing their First Class cruise may be required to complete their First Class cruise AFTER graduation but PRIOR to commissioning. Requests for waivers and/or postponements shall be considered by NSTC OD3 each January. Midshipmen with post-commissioning ship assignments shall not cruise on their assigned ship.

4. Academic Year Cruises. Academic year cruises are typically provided only for December graduates requiring a First Class cruise. As the December break timeframe is usually not sufficient for fleet training due to holiday stand-down, academic year cruises are available on a limited basis. PNS shall submit requests for academic year cruises to NSTC OD3 a minimum of four months prior to the requested cruise period. Submarine orientation cruises are available on a limited basis during the academic year for those individuals considering submarines for service selection and are coordinated by NSTC OD1.

5. Regulations. Summer cruise is required training. NROTC Scholarship and College Program midshipmen are on official
government orders while on required training. While on official government orders, all personnel are considered on active duty and are, therefore, subject to all laws and regulation of the U.S. Naval Service, including pertinent ship or station orders, special cruise or training regulations, and the Uniform Code of Military Justice (UCMJ).

6. Preparation of Midshipmen. The unit staff shall thoroughly prepare midshipmen for cruise. As this may be the first time these young adults have traveled outside their local regions, particular care must be paid to ensure their safety. Providing midshipmen with “life lines” (i.e., phone numbers and points of contact both at the destination and at the unit) is one key element of this preparation. The following summer training governing instructions are updated annually and are available on the NROTC website https://www.nrotc.navy.mil/:


   c. USMC OCS Manual.

   d. OPNAVNOTE 1530.8

   e. NSTCNOTE 1530.

4-15 Indoctrination Field Trips and Visits

1. General. The professional training of NROTC students extends beyond the study of Naval Science on campus and summer training. This important aspect of training takes on a variety of forms as allowed by available opportunities. Visits to Navy and Marine Corps bases, surface ships, submarines, and aviation indoctrination visits are typical events that contribute to motivating and preparing students for future Naval Service.

2. Scheduling of Field Trips and Visits. PNS requests shall be addressed to the appropriate fleet commander, training command, or designated representative, with information copies to NSTC OD3. Because of the time involved in coordination of unit travel, early submission of requests is essential.

   a. Aviation indoctrination visits shall be coordinated with the Chief of Naval Air Training (CNATRA (N3)) and the respective aviation type commander for visits involving any
flying activities. PNS shall provide information copies of all correspondence to NSTC OD3.

b. PNS shall provide requests for nuclear submarine indoctrination field trips to the appropriate commander geographically closest to the unit. PNS shall provide information copies of correspondence to the respective type commander and NSTC OD1.

c. Requests for surface ship indoctrination field trips shall be made by the PNS to the appropriate commander. PNS shall forward information copies of all correspondence to the respective surface force type commander and NSTC OD3.

d. The individual units coordinate visits to Marine Corps bases. Instructions for these visits are published in an annual Marine Administrative Message (MARADMIN).

3. Faculty Participation. In an effort to increase the value of indoctrination field trips and visits, cognizant commands are encouraged to authorize participation of a limited number of faculty members, in addition to NROTC midshipmen and staff. This participation shall be on a space-available basis and per OPNAVINST 4631.2D series (Management of Department of the Navy Airlift Assets), SECNAVINST 5720.44 series (Public Affairs Policy and Regulations), and guidance provided by NSTC and NETC. Release from claim of injury is required for each non-NROTC student not otherwise entitled to space-available travel under the provisions of OPNAVINST 4631.2D series.

4. Special Provisions. Indoctrination field trips and visits are separate and distinct from "annual training duty" and do not constitute "active duty for training" as defined in the Servicemen's and Veterans' "Survivor's Benefits Act," even though these may, in rare cases, be of more than 14 days duration. Such field trips and visits are provided for by Section 2109, USC 10 (Title 10). Section 8140, USC 5 extends the death and disability entitlement of Subchapter 1, Chapter 81, USC 5 to such persons with certain limitations.

5. NROTC Student Orders. To provide an official status, NROTC students participating in field trips, visits, orientation programs, and unit-sponsored events, including overnight sail training, the unit shall issue appropriate orders for each period of participation. These are normally unfunded (no-cost) orders, but may be funded at the unit’s discretion with NSTC OD approval. This shall only include orders to improve
professional knowledge. Funded orders shall be issued through Defense Travel System (DTS).

6. Operational Risk Management (ORM). PNSs must conduct a formal, written ORM assessment for any field trip, visit, orientation, etc. Training Time Out (TTO) may not be part of some visited units’ operational mind-set, so units must ensure, before the visit, that TTO procedures shall be followed for midshipmen.

4-16 Physical Readiness/Fitness Training

1. General

   a. Physical fitness is a crucial element of mission performance and must be part of every midshipman’s life. Mission readiness and operational effectiveness are built on the physical fitness of the individual; therefore, all NROTC students and military personnel shall maintain personal fitness by regular exercise and proper nutrition.

   b. The principal goal of the Physical Readiness/Fitness Program is to create a culture of fitness to enhance a member’s ability to complete tasks that support the command’s mission. The NROTC unit PNS shall safely and effectively integrate physical readiness activities into the training program.

   c. NROTC units shall develop and implement a Fitness Enhancement Program (FEP) that meets the needs of all personnel striving for improved fitness, not simply those who do not meet standards. The FEP must be designed to increase and maintain cardio respiratory fitness, muscular strength, endurance, and flexibility, reduce excess body fat, promote year-round fitness and health, and provide nutritional guidance. Units shall ensure all medically qualified personnel meet or surpass all physical readiness standards. Official unit testing of the physical fitness of all personnel is required twice annually. Additional physical fitness tests may be administered at the PNS’ discretion to facilitate the monitoring of students.

   d. The Physical Fitness Assessment/Physical Fitness Test (PFA/PFT) includes a Body Composition Assessment (BCA) and Physical Readiness Test (PRT). BCA is weight for height screening and body fat percentage (if required) estimation based on circumference measurements. The PRT consists of the sit-reach, curl-ups, push-ups, and either run or swim.
The Marine PFT consists of crunches, pull-ups/flex hang, and a three-mile run.

e. All personnel are required to meet the standards set forth in this instruction. Members who are unable to meet PFA/PFT standards shall be subject to administrative action. The PNS shall recognize members who make significant improvements in physical fitness or consistently score excellent or better through comments on fitness reports, evaluations, and other incentive awards.

2. Command Physical Fitness and Conditioning Administration

a. Background. As potential future Naval Officers, the unit must indoctrinate students in a healthy and fit lifestyle congruent with military service. The professional development of students assigned to NROTC units shall include physical conditioning to meet military requirements. (See Appendix B.)

   (1) Guidance from this chapter, along with OPNAVINST 6110.1J series and MCO P6100.13, shall assist to safely and effectively administer a unit’s physical training program.

   (2) Providing student guidance on elements of physical training programs (e.g., proper hydration, warm-up, cool-down, etc.), enhances the safety of physical training. Guidance does not negate the need to conduct an ORM assessment prior to each and every physical training session. The risk factors to be assessed shall include the particular requirements of the unit, with emphasis on location, climate, season, weather, and the purpose of the event being conducted.

b. Student Initial Physical Condition. When students report to the unit, little is known of their physical capabilities. Students may be reporting to a geographic or climatic region that is dramatically different from their home of record. For these reasons, a conditioning program based on sound physiological principles and tailored to meet individual needs from pre-entry through commissioning is required.

c. Responsibilities. Responsibilities are described in OPNAVINST 6110.1J series, MCO P6100.13, and in the Navy Environmental Health Command (NEHC) Pre-Entry Physical Conditioning Program. Additional responsibilities include:

   (1) NSTC OD responsibilities. Physical conditioning congruent with military service shall start at or before
selection for an NROTC scholarship. Selectees shall be notified of military height/weight and physical fitness standards. In addition, all selectees shall be directed to the NEHC pre-entry physical conditioning program, in preparation for meeting Navy or Marine Corps physical training requirements. The NEHC website contains a pre-entry plan with the up-to-date standards for the PFA. The NEHC pre-entry plan can also be found on the NROTC website https://www.nrotc.navy.mil/faq.aspx. For references to Marine Corps fitness standards, see MCO P6100.13.

(2) NROTC Unit. Unit welcome letters shall provide a second notification of Navy or Marine height/weight and physical fitness standards, along with information on the NEHC pre-entry physical conditioning program and the planned dates of the initial and official PFA/PFT.

(3) NROTC Commanding Officer (CO). The CO has overall responsibility for the physical training program. At a minimum, the CO shall:

(a) Brief the NROTC staff on the proper conduct of the Navy PFA and the Marine PFT, along with guidance outlined in the chapter;

(b) Monitor the overall physical fitness of students through the implementation of a safe and effective year-round physical conditioning program;

(c) Reinforce the importance of a year-round physical conditioning program for all students prior to extended periods of absence from the unit; and

(d) Appoint a Command Fitness Leader (CFL) to administer the physical conditioning program as outlined in Navy and Marine Corps directives.

(4) Command Fitness Leader. The CFL designs and directs unit physical training in accordance with Navy and Marine requirements. The CFL shall:

(a) Obtain prescribed training following assignment as CFL.

(b) Consider principles of acclimation, injury prevention, safety, and exercise physiology in designing physical training.
(c) Report physical training injuries requiring medical intervention (beyond immediate first aid) per OPNAVINST 6110.1J series and MCO P6100.13. Submit injury reports via email to NSTC OD4.

(d) Ensure midshipmen obtain a qualified or waived status on their Department of Defense Medical Examination Review Board (DoDMERB) physical. If not qualified or waived prior to the start of the first unit-directed physical conditioning session, the midshipmen must submit a recent (within 90 days) civilian sports physical to the CFL. **Under no circumstances shall unit-directed PT commence without proper documentation of a physical examination.** The unit requirements must meet or exceed academic institution requirements for physical training programs (e.g., intramural sports).

(5) **Academic Advisor.** The academic advisor shall incorporate a review of physical conditioning into the regularly scheduled professional development advising sessions. A review of the student’s progress on physical conditioning can be validated through personal logs, observed performance, and PFA results. These sessions provide an opportunity to document performance progression.

(6) **Students.** The NROTC Program shall provide information and activities to assist students in achieving physical fitness standards. It is the responsibility of students to:

(a) Participate in the unit physical conditioning program and maintain an adequate personal physical training (PT) program to meet physical fitness standards;

(b) Develop and maintain a lifestyle that promotes optimal health and physical conditioning; and

(c) Report injuries or illnesses that limit participation in physical conditioning or individual physical activities at the first available opportunity to the CFL or unit staff. Reporting mechanisms include verbal notification to a unit staff member, Physical Activity Risk Factor Questionnaire (PARFQ) NSTC 1533/138.

(7) **Action.** NROTC Unit PNSs/OICs shall ensure completion of the following in the execution of their physical training programs:
(a) Command Fitness Leader. The Command Fitness Leader (CFL) shall incorporate the following actions into unit physical training and unit physical training instructions for all students prior to initiation of physical training:

1. Have each student complete a yearly Physical Activity Risk Factor Questionnaire (PARFQ) NSTC 1533/138 prior to starting unit-directed PT. Any affirmative responses require evaluation by a licensed physician, nurse practitioner, or Navy Independent Duty Corpsman prior to initiating physical training, and the PNS’s signature.

2. Complete an Annual Certificate of Physical Condition NAVMED 6120/3. (Refer to paragraph 5-10.)

(b) Unit staff shall ensure completion of a DoDMERB physical indicating the status of physically “qualified (Q)” or “waived (W)” for each midshipman participating in physical training. If a midshipman does not have a DoDMERB status of waived or qualified, a civilian physical must be completed no earlier than 90 days prior to the first unit physical training session. Under no circumstances shall physical training commence without proper documentation of a physical examination.

(c) Conduct ORM prior to every physical training session. Risk factors to be assessed and mitigated shall include but not be limited to:

1. Safety;

2. Conditions, such as terrain and environment;

3. Weather. Care should be taken when running or training in wet or slippery conditions. Utilize heat and wind chill index charts (such as that found on the National Oceanic and Atmospheric Administration website at www.nws.noaa.gov/om/windchill/index.shtml); and

4. Emergency provisions and emergency action plan with contact phone numbers.

(d) Units shall conduct training on wellness and safety topics per OPNAVINST 6110.1J series, to include, but not limited to the following topics. Lessons and resources on these topics can be found in NROTC’s Naval Science Laboratory Curriculum Guide, OPNAVINST 6110.1J series, and the Navy

1. **Training Time Out (TTO).** Ensure a TTO brief is conducted prior to every unit-directed PT session. This brief shall include demonstrations of the standard signals used to identify a person in trouble or who requests a TTO.

2. **Physical Distress.** Signs of impending distress and the need for honest self-reporting shall be reviewed with all students and staff semi-annually.

3. **PFA/PFT standards.** PFA/PFT standards shall be reviewed with all students and staff at the beginning of each term and prior to official PFA.

4. **Acclimation/hydration.** Training on acclimation/hydration shall be conducted annually, at the beginning of the Fall term.

5. **First aid.** First aid procedures shall be reviewed semi-annually.

6. **Nutrition.** Nutrition shall be discussed annually.

(e) An assessment of a new student’s physical condition shall be conducted at matriculation or freshman orientation by:

1. Assessing stated pre-entry level of conditioning (running) using NEHC program stages.

2. Conducting a pressure-free, off-record trial PFA/PFT.

3. Comparing the NEHC stage and trial PFA/PFT run time to develop an individual conditioning program utilizing the NEHC Pre-Entry Physical Training Plan as a general guide. The NEHC website contains a pre-entry plan with the up-to-date standards for the PFA. The NEHC pre-entry plan can now also be found on the NROTC website.

(5) **Physical Training Sessions Shall Incorporate:**

(a) Direct staff supervision at all unit-sponsored/directed physical training sessions, to include swim
qualifications. Personnel other than unit staff are prohibited from independently conducting or supervising unit physical training. Unit physical training is for officer candidates and midshipmen students (Navy Baccalaureate Degree Completion Program (BDCP) and Marine Platoon Leaders Course (PLC) members may participate with signed approval from OD4 and completion of required hold harmless documentation);

(b) Adequate hydration through replenishment of lost fluids;

(c) A 5-minute warm-up, 5-10 minutes of stretching, aerobic or muscular endurance activity appropriate to the level of the individual, 5 minutes of cool down and 5-10 minutes of stretching;

(d) Up to three unit-directed training sessions per week as part of an individual fitness plan to ensure an appropriate fitness level. In special circumstances, when approved by the CFL, an individualized training plan may include more than three unit-directed PT sessions, such as FEP.

(e) FEP shall be separate from general unit-directed PT.

(f) Sound ORM and physical training practices at all times.

(6) Conducting the Inventory/Official PFA/PFT

(a) Units shall conduct at least one inventory PFA/PFT per term. The inventory PFA/PFT provides the individual students the opportunity to gauge their level of conditioning and physical readiness prior to an official PFA/PFT. The inventory PFA/PFT may also indicate a need to assign to FEP a student who is not within the NROTC minimum commissioning standard of “Good” or Marine Corps standard of 225, or is outside the height/weight/BCA standards outlined in OPNAVINST 6110.1J series and MCO P6100.12. Note: PFA/PFTs conducted at CORTRAMID are not official and, therefore, may not be used for placing students on LOA.

(b) Units shall conduct an official PFA/PFT once per term in compliance with OPNAVINST 6110.1J series and MCO P6100.13.
(c) Unit-directed swimming events associated with the PFA/PFT and the 2nd and 3rd Class swim tests require at least one staff member and qualified lifeguards to ensure adequate student-to-lifeguard ratio, per OPNAVINST 6110.1J series.

(7) Fitness Enhancement Program. All students are encouraged to participate in the Fitness Enhancement Program (FEP) to improve their physical conditioning and maintain established standards. If needed, and approved by the CFL, an individualized training plan may include more than three unit-directed PT sessions.

(a) Participation in FEP is required when a student is outside of height/weight/BCA standards or scores below the Navy commissioning minimum standard of “Good” or the Marine commissioning minimum standard of 225 during the official PFA.

(b) Students shall not be released from required participation in the FEP until they meet both the required height/weight or BCA and the NROTC minimum commissioning standard of “Good” (USN) or 225 (USMC) for two consecutive monthly mock PFA’s as stated in the OPNAVINST 6110.1J series and/or two official PFA cycles.

c. Physical Fitness Standards

(1) To commission, Navy Option midshipmen and STA-21 OCs must attain minimum performance level in a "Good" category in all events on a single Navy Physical Fitness Assessment in accordance with OPNAVINST 6110.1J series during First Class year. Failure to do so shall result in failure to commission.

(a) A swim or elliptical trainer may be substituted for a run in special cases; however, a run (in the "Good" category) shall be completed during the First Class year. If a run is not completed in the First Class year, approval is required from NSTC OD4.

(b) All students are expected to improve their level of physical readiness achievement as they progress through the program. The effort and initiative shown in this regard is a direct measure of aptitude for service as a commissioned officer. If the member is not within standards, the PNS must have a medical evaluation conducted prior to assigning the student to remedial FEP.
(2) Marine Option students shall qualify to equivalent standards as outlined in the MCO P6100.13. Although not required, it is recommended that Marine Option students maintain a PFT score of 275 or higher to successfully complete the 6-week OCS course. All Marine Option/MECEP students must score a First Class PFT (225 or better) at the start of their senior year to be commissioned.

d. Weight Control Standards. NROTC students whose height/weight and/or body fat percentage exceed established standards contained in OPNAVINST 6110.1J series or MCO P6100.13 shall participate in FEP physical conditioning and are encouraged to obtain professional dietary assistance, if necessary, to maintain an acceptable standard. If out of standards, Midshipmen, STA-21 OCs, and MECEPs shall not be commissioned.

(1) OPNAVINST 6110.1J series, Navy midshipmen are not allowed to have body fat percentages in excess of 22% for males and 33% for females. PNS or NSTC OD may not waive.

(2) MCO P6100.13, Marine Option students are not allowed to have body fat percentages greater than 18% for males and 26% for females. PNS or NSTC OD shall not waive.

e. Swimming Standards. Midshipmen shall qualify as Swimmer, 3rd Class MILPERSMAN 1414-010, by the end of the first year in the program. Midshipmen qualifying as Swimmer, 2nd Class, are exempt from further testing. Students qualified at lower than Swimmer, 2nd Class, shall re-qualify annually. Units shall verify STA-21 OC and MECEP meet service swim qualifications.

f. Physical Fitness Deficiencies (see Appendix B chart)

(1) All students must strive for their highest levels of excellence in physical fitness and swimming skills. The amount of personal effort and improvement shall be used to determine aptitude for the service. The standards regarding physical fitness and weight control are addressed in applicable Navy and Marine Corps directives OPNAV INST 6110.1J series or MCO P6100.13.

(2) A physical fitness/aptitude deficiency exists when any of the following apply:
(a) Failure to achieve minimum performance levels and/or height/weight standards on any official Navy BCA/PFA or Marine PFT during the freshman through junior years.

(b) Failure to achieve a minimum of "Good" in each event and/or height/weight standards during senior year and/or immediately prior to commissioning (Navy Option). If not achieved, a PRB is required and the student shall be placed on LOA.

(c) Failure to achieve a “First Class” score of 225 immediately prior to OCS (Marine Option).

(d) Failure to achieve a "First Class" score of 225 and/or height/weight standards during senior year and/or immediately prior to commissioning (Marine Option).

(3) College Program midshipmen shall not be admitted to advanced standing unless these standards are met.

(4) Students who do not meet the "Good" category but do meet a “Satisfactory” category may remain in the program, but the PNS must place the student in a remedial FEP program to improve their performance. In the event students do not achieve scores in the "Good" category on an official PFA/PFT, the PNS shall provide an aptitude warning for the first occurrence and place the student on probation for any second occurrence.

(5) In the event students score lower than the "Satisfactory" category, the unit will consider them Physical Fitness Assessment (PFA) failures that warrant a remedial FEP program (tailored to their individual needs). PNSs shall place students on probation. In the event of a second failure, students shall be placed on LOA, unless the PNS grants a second term of probation due to sufficiently demonstrated improvement by the student.

g. Administration and Testing

(1) Physical Fitness Testing

(a) COs shall organize the unit's program to ensure compliance with OPNAVINST 6110.1J series, MCO P6100.13 and this instruction. Each student shall be required to take the Navy
PFA or Marine Corps PFT twice each academic year, with the tests at least four months apart. Failure and retesting procedures are detailed in OPNAVINST 6110.1J series and MCO P6100.13; in addition, units shall document each PFA/PFT test on the evaluation and counseling reports. The results of each test shall be noted in block 7a of the Evaluation Report and Counseling Record and the OPMIS student file.

(b) Units are encouraged to provide suitable recognition to students who demonstrate exceptional progress or achievement in the physical fitness program (e.g., for those achieving an Outstanding; 285 for Marines).

(c) The unit shall ensure that all scholarship midshipmen have an OPMIS physical status code of "Q" (Qualified) or "W" (Waiverable) prior to the PFA/PFT. Any midshipman with a physical status code of "D" (Disqualified) or "R" (Remedial) cannot take the PFA without a sports physical stating the student is physically qualified to participate. College Program (Basic Standing) students must provide a sports physical stating the student is physically qualified to participate in the PFA/PFT.

(d) The PNS must refer to medical students with positive answers on the Risk Factor Screening Questionnaire.

(2) Swimming

(a) Swimming Standards. Students shall qualify as swimmer, 3\textsuperscript{rd} class MILPERSMAN 1414-010, by the end of their first year in the program. Students qualifying as swimmer, 2\textsuperscript{nd} class, are exempt from further testing. Students qualified at lower than swimmer 2\textsuperscript{nd} class, shall re-qualify annually.

(b) Swim tests shall be given to all first-term NROTC students. Entering students failing to qualify as swimmer 3\textsuperscript{rd} class shall be required to participate in remedial instruction. The results of the swimming test shall be noted in their Fitness Report and Counseling Record.

(c) Units without university swimming facilities available shall arrange for suitable public or private facilities. If necessary, funds shall be requested from NSTC OD5 in the annual budget call.

(d) Any unit-directed swimming events, including those associated with the PFA and with 2\textsuperscript{nd} and 3\textsuperscript{rd} class swim
tests, require at least one staff member and as many qualified
lifeguards as necessary to ensure a safe student-to-lifeguard
ratio, as outlined in OPNAVINST 6110.1j series.

4-17 NROTC Small Arms Training

1. Small Arms Training Policy

   a. Purpose. Small arms training is not required for
      midshipmen per NSTC MFR of 01 MAR 07. However, familiarization
      firing of .22-caliber weapons is required. POCs are NSTC OD3
      and NSTC ODM.

   b. Guidance. Several Navy instructions cover the
      administration, security, and safety of small arms programs and
      must be complied with in administering this professional
      training, including:

      (1) OPNAVINST 3591.1 series - Small Arms Training and
          Qualification.

      (2) OPNAVINST 5530.13C series - Department of the Navy
          Physical Security Instruction for Conventional Arms, Ammunition,
          and Explosives (AA&E).


      (4) CNETCINST 5100.1A series - Safety and Occupational

2. Small Arms Training Requirements

   a. Resource limitations, campus security considerations,
      and other risk factors limit small arms training within the
      NROTC program to the use of .22 caliber rifles and pistols.

   b. Use of the M-14, M-16, .45 caliber pistol, 9mm pistol,
      shotgun, or other high-powered weapons for marksmanship training
      shall not be used for meeting this familiarization requirement,
      unless specifically authorized.

   c. Due to varied campus situations and the
      availability/adequacy of firing facilities, some NROTC units may
      be unable to conduct small arms training. Units without the
      capability to conduct training shall request a waiver of the
      small arms training requirement on a case-by-case basis through
      OD3.
3. Supplementary Small Arms Training. NROTC midshipmen shall be permitted to take advantage of additional training opportunities that include the firing of weapons greater than .22-caliber, as long as such training occurs aboard a military installation using military weapons and ammunition and is conducted by qualified active duty military personnel in accordance with installation range regulations. NSTC is not obligated to reimburse for ammunition. Such training, when available, shall focus on achieving a service pistol weapons qualification in accordance with OPNAVINST 3591.1F series for all Navy students.

4. Command Responsibilities. The PNS is responsible for the safe conduct of small arms training and adherence to Navy safety and security regulations and requirements. Specifically, the PNS shall:

   a. Ensure risk is minimized through the use of ORM prior to and during the conduct of all small arms training.

   b. Ensure all training is conducted in accordance with OPNAVINST 3591.1F series with ample supervision and safeguards.

   c. Ensure the proper storage, issue, and maintenance of ammunition and small arms in accordance with OPNAVINST 3591.1F series, OPNAVINST 5530.13C series, and OPNAVINST 5530.14E series.

   d. Along with the unit XO, be personally involved in training to the maximum extent possible and ensure the instructors and safety personnel who conduct small arms training are properly qualified in accordance with OPNAVINST 3591.1F series. Steps shall be taken to ensure the instructors have thorough familiarity with the curriculum, training plan, and details of training to include the possible risks and all safety considerations.

   e. Appoint, in writing, a Range Safety Officer (RSO) and alternate, as required for periods when the RSO is not present.

5. The RSO or Alternate RSO shall:

   a. Make certain all personnel involved in firing are knowledgeable of range safety procedures and requirements and the safe handling of weapons before allowing them to take part in live fire training. Primary and Alternate RSOs and all small
arms training instructors shall meet the qualifications and requirements set forth in reference OPNAVINST 3591.1F series.

b. Ensure that all range safety regulations are enforced during small arms training and that the instructor-to-student ratios are in accordance with OPNAVINST 3591.1F series.

6. Small Arms Policy Requirements

a. All NROTC units are required to have a small arms training SOP that is in accordance with the current regulations as noted above. This SOP shall be reviewed during command self-inspections and by the NSTC Unit Assist Visit team.

b. All NROTC units shall adhere to all applicable safety regulations included in OPNAVINST 3591.1F series, which shall be supplemented by additional regulations required due to the specifics of the individual units’ range and program. Both the applicable safety regulations from OPNAVINST 3591.1F series and any supplementary safety regulations shall be included in the unit small arms training SOP.

c. The specific minimum firing requirements and recommended firing commands for small arms familiarization firing are provided in OPNAVINST 3591.1F series.

(1) All additional small arms training, to include competitive and recreational shooting performed by NROTC units, shall also be conducted in accordance with the regulations noted above and the unit’s small arms training SOP.

(2) Range Safety Officers (RSOs) and alternate RSOs shall ensure shooters demonstrate proficiency in firearm safety, shooting techniques, and proper weapons handling techniques prior to live fire.

7. NROTC Small Arms Training Procedures. The following course of fire shall be used to familiarize individuals with firearm safety procedures and basic marksmanship techniques in accordance with OPNAVINST 3591.1F series.

a. Pistol

(1) Course of Fire
(2) Scoring. This is a non-scored event. Instructors shall provide guidance and students shall be debriefed on their techniques and procedures.

(3) Suggested Range Commands. The RSO shall provide the commands to commence and cease-fire as follows:

**Dry Fire:**

"On the line, with an empty magazine load. Ready on the left, ready on the right? Is the line ready? The line *is/is not* ready."

"Dry fire sequence, with no time limit -- on my command, Fire. FIRE. Whistle. CEASE FIRE. Make safe condition 4."

**Live Fire:**

"On the line, with a magazine of 5 rounds load. Ready on the left, ready on the right? Is the line ready? The line *is/is not* ready."

"5 round sequence, with a 5 minute time limit -- on my command, Fire. FIRE. Whistle. CEASE FIRE. Make safe condition 4."

(1) **Rifle**

(a) **Course of Fire**

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<td>25 yards</td>
<td>5</td>
<td>slow</td>
<td>5 min</td>
<td>prone</td>
<td>Rifle</td>
</tr>
</tbody>
</table>
(b) **Scoring.** This is a non-scored event. Instructors shall provide guidance and debrief to students on their techniques and procedures.

(c) **Suggested Range Commands.** The commands to commence and cease-fire shall be given by the Range Safety Officer as follows.

**Dry Fire:**

“On the line, with an empty magazine load. Ready on the left, ready on the right? Is the line ready? The line is/is not ready.”

“Dry fire sequence from the prone position, with no time limit –-- on my command, Fire. FIRE. Whistle. CEASE FIRE. Make safe condition 4.”

**Live Fire:**

“On the line, with a magazine of 5 rounds load. Ready on the left, ready on the right? Is the line ready? The line is/is not ready.”

“5 round sequence from the prone position, with a 5 minute time limit -- on my command, Fire. FIRE. Whistle. CEASE FIRE. Make safe condition 4.”

**4-18 Standards of Midshipmen Performance**

1. **General.** PNSs shall encourage their midshipmen to work to the limits of their individual potential in whatever endeavors they may undertake, including academics. Sound academic foundations are necessary to ensure that NROTC graduates can satisfactorily complete Navy post-accession training and be professionally competitive in the various warfare communities. To maximize the opportunity to achieve these important standards, the PNS may direct mandatory study, not to exceed 15 hours per week.

   a. **Academic Standards.** To ensure NROTC graduates are properly prepared academically, each student shall meet the following standards:

   (1) Have no failing grade in any subject required for completion of degree or commissioning requirements and maintain:
(2) A cumulative grade point average on a 4.0 scale of 2.0 for midshipmen and MECEPs, 2.5 for STA-21, or 3.0 for STA-21 Nuclear and CEC Options.

(3) A term grade point average of 2.5 for midshipmen, MECEP, and STA-21, or 3.0 for STA-21 Nuclear and CEC Options.

(4) For Nurse Option midshipmen, a GPA consistent with the university’s School of Nursing admission standards, but not less than 2.0 on a 4.0 scale.

(5) Maintain satisfactory overall progress toward completion of degree requirements within established program time limits while maintaining full-time student status per institution regulations.

(6) **Keep their NROTC class advisors fully informed about all matters pertaining to performance, degree progress, and changes to degree completion program.**

b. **Waivers.** NSTC OD3 may waive specific courses or requirements when academic or professional work has been achieved that substantially and clearly meets all course requirements for which a waiver is requested.

c. **Academic Deficiencies.**

(1) Academic deficiency is defined as failure to comply with one or more of the standards addressed in this chapter. Administrative procedures for academic deficiencies are addressed in Chapter 8.

(2) An academic deficiency exists when any of the following apply:

(a) Failed or incomplete courses.

(b) Failure to meet standards identified under paragraph 4-18b(1).

(c) Term credit less than 12 credits (excluding Naval Science credits) without PNS approval for midshipmen or term credit less than 15 credits for STA-21 OCs, and less than term credit equal to full time student status for MECEPs.

(d) Calculus or physics requirement not met as scheduled without a time-of-completion waiver.
4-19 Standards of Conduct/Aptitude for Service Deficiencies

1. An aptitude deficiency is defined as failure to comply with one or more of the standards addressed in this chapter. An aptitude deficiency exists when any of the following apply:

   a. Unauthorized absence from prescribed Naval Science classes or activities.

   b. Improper grooming or personal appearance.

   c. Poor display of leadership or personal conduct.

   d. Term aptitude mark less than 2.5.

   e. Successive or average term aptitude mark less than 2.5.

2. Students are expected to conduct themselves per the standards of socially acceptable behavior and display military officer bearing and demeanor at all times. Inappropriate standards of conduct are categorized as major and minor offenses.

   a. Major offenses. Major offenses are those offenses which violate the NROTC Honor Code or indicate moral turpitude, a serious breach of discipline, disregard or contempt for authority, lack of energy and purpose, lack of a sense of responsibility, or actions which bring discredit upon the Naval service. Major offenses include but are not limited to the following:

      (1) Falsehoods of any nature, including fraud, cheating, and plagiarism.

      (2) Theft.

      (3) Moral offenses.

      (4) Alcohol/drug abuse.

      (5) Insubordination.

      (6) Assault.

      (7) Hazing.

      (8) Destroying or defacing property.
(9) Irresponsibility in contracting debt.

(10) Aggravated or repeated unauthorized absence.

(11) Sexual harassment/assault.

(12) Pattern of conduct unbecoming an officer.

b. Minor offenses. Minor offenses are those offenses of a less serious nature that involve comparatively minor infractions of instructions, orders, regulations, or grooming standards. Repeated infractions of a minor nature may be treated as a major offense.

(1) All standards previously addressed outline areas of student performance which, when evaluated together, provide a measure of aptitude for commissioned service. Delineation between satisfactory and substandard aptitude is a responsibility of the PNS. A student’s conduct or aptitude is deficient whenever he or she commits an offense. The PNS shall determine aptitude performance based on personal observation, analysis of fitness reports and counseling records, and discussions with unit personnel.

(2) All students who receive a term aptitude grade of 2.5 or below shall receive, at a minimum, an "Aptitude Warning" letter from the PNS. The Aptitude Warning letter shall provide the student a formal PNS evaluation detailing both general and specific deficiencies in aptitude. The PNS will also outline in the letter the recommended procedures, methods, and assistance available, so the student will be able to take the necessary steps to improve their aptitude grades. The PNS may also choose to place the student on probation. Marginal performers who are retained in the NROTC Program are to be regularly supervised and counseled by the responsible class advisor and the PNS. If improvement to full acceptability is not noted, PNS will consider disenrollment.

4-20 Midshipmen Military Organization

1. General. This section provides general guidance in structuring the military organization of NROTC midshipmen. The actual military organization of the students of any particular NROTC unit shall conform to unit requirements and abide by host institution policies, as long as they are not inconsistent with NROTC goals. For example, approximately 200 midshipmen will
form one battalion and three companies that will be organized, in general, as follows:

a. Each company containing three platoons.

b. Each platoon containing three or four squads.

c. Each squad containing 6-10 midshipmen.

b. NROTC student officers shall be as follows:

(1) Battalion Staff

(a) Commander: Midshipman Commander

(b) Executive Officer: Midshipman Lieutenant

(c) Operations Officer: Midshipman Lieutenant

(d) Academic Officer: Midshipman Lieutenant

(e) Administrative Officer: Midshipman Ensign

(f) Supply Officer: Midshipman Ensign

(g) Chief Petty Officer: Midshipman Chief Petty Officer

(2) Color Guard

(a) National Color Bearer: Midshipman Chief Petty Officer

(b) Battalion Color Bearer: Midshipman Chief Petty Officer

(c) Color Escort: Midshipman Petty Officer First Class

(d) Color Escort: Midshipman Petty Officer First Class

(3) Drum and Bugle Corps or Band

(a) Commander: Midshipman Lieutenant Junior Grade
(b) Executive Officer: Midshipman Ensign

(c) Chief Petty Officer: Midshipman Chief Petty Officer

(d) Mustering Petty Officer: Midshipman Mustering Petty Officer

(4) Company Staff (three companies)

(a) Commander: Midshipman Lieutenant

(b) Executive Officer: Midshipman Lieutenant Junior Grade

(c) Chief Petty Officer: Midshipman Chief Petty Officer

(5) Platoon Organization

(a) Commander: Midshipman Ensign

(b) Mustering Petty Officer: Midshipman Mustering Petty Officer

(c) Guide: Midshipman Petty Officer First Class

(d) Squad Leaders: Midshipman Petty Officer First Class

c. The PNS is authorized to deviate from this structure in cases where such action is appropriate, normally for reasons of unit size. Where this is done, the basic intent of establishing a military structure and promoting midshipmen professional growth shall be observed. Whenever possible, the PNS shall use the battalion organizational concept.

d. At some institutions where ROTC units of the Army or Air Force are established, the PNS may consider it advantageous to have the NROTC Battalion Commander appointed to the rank of midshipman Captain, with other ranks adjusted accordingly to achieve comparability.

e. The size of battalion, company and platoon staffs shown above shall not be increased. Instead, collateral duties shall be assigned to provide for other areas of responsibility.
f. Units having drill teams may integrate students into regular battalion companies or constitute drill companies as distinct entities within the overall battalion structure. At no time shall NROTC unit drill teams use fixed bayonets, either in practice or during exhibition.

g. The intent of the battalion organization is to promote the military development of NROTC students. Each student must be assigned to a position of responsibility prior to commissioning in order to develop leadership skills. Units shall make assignments to students as early as possible and not reserve assignments for seniors. Special attention shall be given to those students whose development indicates a need for both guidance and the opportunity for experience.

h. The battalion shall seek academic excellence. The battalion shall exercise considerable responsibility in organizing and conducting tutoring, remedial instruction, and other forms of academic assistance. The battalion academic officer shall coordinate these programs.

i. Although the military organization outlined makes provision for a drum and bugle corps or band, the Navy will not provide or fund such items as musical instruments, music, and musical supplies for such activities.

j. NROTC Unit staff shall constantly monitor safety procedures when allowing midshipmen to supervise other midshipmen. All functions and operations must incorporate ORM. NROTC unit staff shall implement a formal ORM study with recurring events of even moderate risk where midshipmen leadership is relied heavily upon. Under no circumstances shall midshipmen be the sole supervision of high risk unit functions.

4-21 New Student Orientation Programs

a. New Student Orientation. Indoctrination programs for new midshipmen are traditionally conducted at NROTC units. Typically, these programs range from 2 to 7 days in duration and are used to impart the basics of military orientation and drill. This training is not mandatory; however, PNS’s are encouraged to conduct new student orientation programs at the beginning of the school year. These programs also provide excellent leadership training for upper-class NROTC students who are assigned to serve as orientation staff. Programs may be conducted at nearby military facilities, as arranged by the NROTC unit, or on campus, as permitted by the institution. Student status at
military facilities shall be the same as when participating in field trips and indoctrination visits (see paragraph 4-15).

b. Objectives. When conducting an orientation program for new midshipmen, the following objectives shall be considered:

(1) Enrollment processing;

(2) Issuing uniforms and conducting basic indoctrination in Navy uniform regulations and grooming standards;

(3) Instruction in the basics of military customs, courtesies, traditions, and organization, including unit regulations and chain of command;

(4) Acquiring basic competency in military drill and ceremony;

(5) Physical fitness and swim testing to determine readiness to meet NROTC Program and Navy/Marine Corps requirements;

(6) Instruction in the privileges and benefits of participation in the NROTC Program and individual responsibilities;

(7) Indoctrination in such other subject matter as desired by the unit and/or the university (e.g., how to use the library, availability of tutoring services, university rules and regulations); and

(8) The importance of team work and how the Naval Services function as a team.

c. Military Indoctrination Limitations. Active duty staff members must be present at all evolutions during orientation, to include movement between events. Orientation programs for new midshipmen are not the equivalent of boot camp. These programs shall not be a "weeding out" process, a hurdle, or an ordeal for newcomers to the program. Overzealous application of military training shall not be permitted during orientation. Hazing is never acceptable. The indoctrination staff shall demonstrate excellence through leadership and introduce new members of the Naval service to a military environment. The four years which follow shall provide ample opportunity for identification of those who do not measure up to the standards of the Navy and Marine Corps.
d. Planning. Active duty staff members shall develop a detailed plan for the student orientation program utilizing the objectives as a general framework. The university shall be fully involved in this phase and in agreement with the particulars of the training. Daily itineraries for midshipmen shall incorporate ample time for meals and rest, including an uninterrupted full night’s sleep of at least eight hours. Planning for drill and physical readiness training shall reflect a graduated conditioning program of increasing difficulty. OPNAVINST 6110.1J series and paragraph 4-16b of this instruction provide guidelines for warm-up and conditioning exercises. New midshipmen will demonstrate the minimum passing standards of performance in the Navy Physical Fitness Assessment (PFA) program. Program plans shall clearly define the duties, responsibilities, and scope of authority of all staff personnel and midshipmen who shall supervise and assist with the program. Training plans shall go beyond the preparation of a daily itinerary. NROTC staff shall prepare, review, and approve lesson plans for each lecture or evolution contemplated. The Unit CO shall approve orientation programs, ensuring a risk assessment of each training evolution is conducted and procedures/safeguards are developed and implemented to eliminate or minimize the hazards involved. TTO procedures shall be incorporated into all curricula where hazards cannot be eliminated or reduced to an acceptable level of risk.

e. Execution. Staff supervision is the key to a successful orientation program. The CO shall be directly involved with the orientation program. Officers, other staff, and upper-class midshipmen who are selected for leadership positions must be fully cognizant of the purpose of the program, safety considerations, and the CO’s directions regarding their responsibilities and authority. Fully qualified instructors shall conduct all evolutions. NROTC staff will select upper-class midshipmen among the top performers in their respective classes to assist officers or staff members in charge of events. Upper class midshipmen shall not be placed in charge of any group of new students without frequent oversight by a staff officer. The PNS shall assign a staff officer as Duty Officer, on scene during each night of orientation, unless students are residing in university-operated residence halls.

f. Orientation Program Responsibilities.

(1) The NROTC Unit Commanding Officer shall:
(a) Review and approve, in consultation with his Training Safety Officer and with appropriate university authorities, all orientation program plans and curriculum;

(b) Ensure that physical exams have been completed on all new midshipmen prior to participating in any high risk training, to include physical fitness training, and that risk screenings are completed in compliance with the Navy Physical Fitness Assessment;

(c) Ensure that new students who are identified as possible risks on the screening forms are cleared by medical authority before participating in any demanding exercise or other high-risk training;

(d) Assign only instructors qualified to conduct scheduled training evolutions;

(e) Select only top performing upperclass midshipmen for orientation duty and ensure they are fully cognizant of the goals of the program and scope of their authority;

(f) Ensure maximum commanding officer/executive officer on-scene oversight of orientation evolutions and progress;

(g) Provide direction to orientation staff regarding safety, risk reduction, TTO procedures, and deviation from planned schedules or evolutions to reduce risk (e.g., very hot/humid conditions). Ensure Emergency Action Plans (EAP) are developed and briefed for reasonable contingencies that may occur during high risk training evolutions;

(h) Ensure new students receive adequate fluids, food, and rest;

(i) Require a fire and evacuation drill from the quarters used by new students during the first day of training. (Not required if students are residing in a university-operated residence hall); and

(j) Ensure availability of ambulance/medical facilities for physical readiness testing as required by OPNAVINST 6110.1J series and MCO P6100.13.

(2) Staff Personnel in Charge of Training Evolutions shall:
(a) Provide leadership-by-example, exercising firm, fair control over the new students;

(b) Direct and guide upperclassmen assisting with the program;

(c) Be thoroughly familiar with the curriculum, training plan and details of the evolution, including all inherent risks and safety considerations;

(d) Establish an atmosphere where midshipmen are challenged, yet unafraid to call a TTO for any reason;

(e) Be familiar with indications that a participant is experiencing potentially harmful physical stress;

(f) Be alert to emerging hazards;

(g) Modify or cancel scheduled PT evolutions when environmental conditions pose a risk to those who participate;

(h) Be thoroughly familiar with evacuation routes from quarters assigned to new students as part of duty officer responsibilities; and

(i) Ensure the presence of one or more personnel qualified to administer cardiopulmonary resuscitation (CPR) during high-risk training evolutions, including PFA/PFT and other evolutions that are based on risk assessment.

(3) Orientation Midshipmen Student Staff. Upper class midshipmen participating in orientation training shall:

(a) Carry out the directions and orders of officers conducting training evolutions;

(b) Provide leadership-by-example by demonstrating the highest standards in military appearance and physical fitness;

(c) Be familiar with training plans of evolutions in which they shall assist and be fully cognizant of risk and safety precautions;

(d) Assist officers in charge by encouraging an atmosphere where new students are unafraid to call a TTO for any reason;
(e) Be familiar with indications that a participant is experiencing potentially harmful physical stress;

(f) Be alert to emerging hazards; and

(g) When assigned temporary responsibility for leadership of new students, exercise firm, fair control within the scope of the guidance of the commanding officer and staff officers in charge of indoctrination evolutions.

g. **Safety.** NROTC unit staff shall not schedule unnecessarily hazardous activities. The required medical screening that precedes physical readiness testing and strenuous physical activity applies to orientation programs as well. ORM must be applied at all stages of planning to reduce risks. Strict adherence to safety considerations during execution cannot be over-emphasized during orientation programs.

h. **Resources.** NSTC OD5 will not authorize augmentation of unit operating funds for new student orientation. In exceptional circumstances, NSTC OD5 will consider requests for Operating Target augmentation on a case-by-case basis. Active duty for training pay and/or midshipmen subsistence pay is not authorized for midshipmen participating in orientation training, including those assigned to assist instructors. New students participating in orientation programs shall not be charged any fees or required to purchase any items in connection with the training.
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Chapter 5

MEDICAL

5-1 General

The requirements for physical examinations and the maintenance of health records for midshipmen (MIDN) and officer candidates (OCs) are contained in the Physical Standards for Enlistment, Appointment and Induction DoD Directive 6130.03 and the Manual of the Medical Department (MANMED) NAVMED P117, Chapters 15 and 16. The Department of Defense Medical Examination Review Board (DoDMERB) is the Department of Defense agency responsible for the determination of medical acceptability of applicants for appointment to a United States Service Academy, the Uniformed Services University of the Health Sciences, and the Reserve Officers Training Corps (ROTC) programs of the United States Armed Forces. DoDMERB determines medical acceptability for Naval Reserve Officers Training Corps (NROTC) Scholarship, College Program (Advanced Standing), Strategic Sealift Officer (SSO)/United States Navy Reserve (USNR) MIDN Program.

5-2 Medical Examinations

1. General. The DoDMERB accession physical is valid for two years. Additionally, it may be used for commissioning purposes for up to five years (does not apply to some specialized programs). Report of Medical Examination DD 2351 documents physical examination results for civilian applicants.

2. National Four-Year Scholarship Recipients

a. An annual Officer Program Management Information System (OPMIS) message requires units to identify which students have reported to the unit. NSTC OD2 then forwards the DD 2351 to the unit for filing in the MIDN’s health record.

b. National Four-Year Scholarship MIDN are notified of their selection in writing by NSTC OD2 (Navy Option) or Marine Corps Recruiting Command (MCRC) (ON/E) (Marine Option). The letter contains instructions for scheduling a medical examination. Data, including zip code, contained in OPMIS is used by DoDMERB to assign applicants to DoDMERB-approved civilian doctors or Military Treatment Facilities (MTF), assigning a physician or medical care provider near the applicant's home of record. The applicant is responsible for completing the DoDMERB medical examination.

5-1
The applicant is also responsible for obtaining any additional tests or clinical abstracts that DoDMERB may direct. In most cases, DoDMERB may fund the cost of requested additional testing. Applicants should have their DoDMERB medical examination completed as soon as possible.

c. After reviewing the DoDMERB Report of Medical Examination DD 2351, DoDMERB will post the applicant’s physical status on the DoDMERB secure website. Applicants deemed physically qualified will receive a “Q” code if physically qualified. Applicants deemed physically disqualified receive a “D” code. The applicant’s physical status is then downloaded into the OPMIS placement file and is maintained there until NSTC OD2 purges the file in October. The physical status of MIDN determined to be physically qualified after the placement files are purged will not be automatically updated in OPMIS and the unit must manually enter their medical status.

d. DoDMERB will identify the medical examinations for applicants deemed not physically qualified. OD2A will forward those applicants medical information to Chief, Bureau of Medicine and Surgery (BUMED) for review and waiver recommendation. BUMED reviews requests for waivers of physical standards for all applicants seeking entry into the U.S. Navy or Marine Corps and uploads waiver recommendations into WebWave. NSTC OD reviews the recommendation from BUMED and makes a recommendation to Commander, Naval Service Training Command (CNSTC) as the waiver authority. NSTC OD2A will update the DoDMERB website to reflect the waiver authority decision. NSTC OD2A informs the applicant and the unit via letter of the waiver authority decision. Applicants deemed not physically qualified but waiverable receive a waiver granted code of “W” in OPMIS. Applicants deemed not physically qualified and not waivered receive a “Z” OPMIS code.

e. MIDN candidates disqualified or pending physical qualification at the start of class may not sign any scholarship agreement or receive any benefits. However, they may participate in the physical training aspects of the program with clearance from a physician. MIDN waived or deemed physically qualified after the commencement of the academic term may be entitled to retroactive benefits, except subsistence.

3. Other Scholarship and College Program (Advanced Standing)

MIDN. Students nominated for other scholarships (2-year National, NSTC Controlled, etc.) or College Program (Advanced Standing) must be found medically qualified or waived prior to
receiving benefits. The basic procedures addressed for Four-Year National Scholarship recipients apply. However, the NROTC unit is responsible for contacting the local MTF or DoDMERB authorized physicians to schedule the DoDMERB medical examination. To avoid any delay in benefits, the medical examination should be completed as soon as the applicant applies for a scholarship or advanced standing. Once the medical examination is completed, the units review the DoDMERB website to determine status, and enter the appropriate physical qualification codes in OPMIS. If deemed not physically qualified by DoDMERB, the unit will notify NSTC OD2A that a waiver review is required. NSTC OD2A is responsible for requesting a waiver review from BUMED. BUMED will review the medical examination, determine if a waiver is recommended, and will inform OD2A of the waiver recommendation. NSTC OD2A will send the BUMED letter to the unit and will inform them of the waiver authority determination.

4. SSO, USNR MIDN
   
   a. United States Merchant Marine Academy (USMMA). The Head Surgeon at the service academy performs the medical examination and forwards to DoDMERB the Report of Medical Examination DD Form 2808, which documents physical examination results for those already in the military. DoDMERB will then post the applicant's physical status on the DoDMERB secure website and respond back to the applicant and the USMMA. Medical examinations for applicants deemed not physically qualified are forwarded to BUMED. Applicants deemed not physically qualified or who have their waiver denied shall not be allowed to sign any service agreements.

   b. State Maritime Academies. DoDMERB medical examinations for SSO, USNR (obligated) MIDN at the state and regional maritime academies are initiated by the unit on an individual basis. SSO, USNR MIDN will obtain their DoDMERB medical examination through an authorized DoDMERB physician. Medical examinations for applicant's deemed not physically qualified are forwarded to BUMED. Applicants deemed not physically qualified or waiver denied shall not be allowed to sign any service agreements. SSO, USNR (non-obligated) MIDN are not required to have a DoDMERB exam. They must receive a sports physical and complete a Report of Medical History DD Form 2807-1 before participating in unit physical training.
5. **College Program MIDN (Basic Standing).** College Program (Basic Standing) MIDN are not required to complete a DoDMERB medical examination. They must receive a sports physical and complete a DoDMERB Report of Medical History DD Form 2492. The Professor of Naval Science (PNS) must review the Report of Medical History and determine if the MIDN is physically qualified to participate. If the MIDN indicates past or present injuries or illnesses that may be physically disqualifying in nature, the PNS should consult with BUMED to assess future eligibility. MIDN should not be allowed to participate in the College Program if there is a strong possibility they may be determined physically disqualified for Advanced Standing.

6. **Seaman to Admiral-21 (STA-21) and Marine Enlisted Commissioning Education Program (MECEP) OCs.** STA-21 and MECEP OCs receive a complete physical examination before reporting to the NROTC unit. While participating in their respective commissioning programs, transportation costs involved in the conduct of routine medical examinations and/or pre-commissioning physicals are funded by the NROTC unit. Prior to commissioning, all STA-21 and MECEP OCs must have their most recent medical examination reviewed by BUMED.

5-3 **Physical Readiness**

1. **OPNAVINST 6110.1J** series requires all students be medically screened before participating in any physical readiness training. NROTC Scholarship, College Program (Advanced Standing), STA-21 and MECEP, and obligated SSO, USNR MIDN are medically screened by DoDMERB. College Program (Basic Standing) students must receive a medical screening equivalent to the university sports physical exam. The purpose of the sports exam is to identify MIDN at risk of cardio-respiratory illnesses, orthopedic injuries, or other injuries/illnesses that may be aggravated by required exercises. The DoDMERB Report of Medical History DD Form 2492 is utilized to document the student’s past and present medical condition.

2. To ensure all incoming MIDN are fit to participate in orientation, the Command Fitness Leader (CFL) or designated representative shall verify the student’s physical status in OPMIS. If the student’s Personal/Program Information Form contains a “Q” (qualified) or “W” (waiver granted), the student is physically qualified to participate. If the student’s Personal/Program Information Form contains an “R” (remedial) or “D” (disqualified), the student is not physically qualified to
participate, unless a sports physical is provided to the unit, allowing for Physical Training (PT) activities.

3. All students will comply with the Physical Readiness Program as directed in OPNAVINST 6110.1H series or Marine Corps Physical Fitness Standards MCO P6100.12. The designated CFL is responsible for ensuring all Navy students complete a Physical Activity Risk Factor Questionnaire (PARFQ) NSTC 1533/138 prior to their first unit directed physical training activity. Blank PARFQs are available on the Physical Readiness Information Management Systems (PRIMS) website. The CFL will review and sign the form if all questions on the PARFQ are answered in the negative. The PNS will review and sign all PARFQs with any “Yes” responses. Medical evaluations must be conducted in cases of positive responses to any questions on the PARFQ or if the student is out-of-standards per OPNAVINST 6110.1H series before the student can participate in the Physical Readiness Test/Physical Fitness Test (PRT/PFT) or unit fitness training. The completed PARFQ is filed in the student's health record. In the case of College Program (Basic Standing) students, the form is filed in the NROTC Student File until the health record is opened.

4. The PNS must ensure all students complete Annual Certificate of Physical Condition NAVMED 6120/3 prior to the first PRT/PFT each fall. Graduating seniors and 5th year students will also complete this form at the beginning of their last term just prior to graduation. The PNS should carefully review the student's response to all questions. Sometimes injuries, accidents, or illnesses that should have been reported earlier may not have been reported. If there is any doubt whether the injury, illness, or prescription could be a physically disqualifying factor, the PNS must immediately notify OD2A orBUMED, Physical Qualifications and Standards (M3/5 WII 1).

5. MIDN shall be given at least a 10-week notice prior to a Physical Fitness Assessment (PFA). The 10-week notice may be waived for Freshmen taking the PFA during orientation. However, the welcome aboard letter will notify students that they will be participating in an orientation PFA.

5-4 Immunizations

1. All students are required to receive immunizations as specified below in paragraph c. Immunization waivers will only be granted in accordance with SECNAVINST 1730.8B series.
2. The PNS is responsible for establishing procedures to ensure that scholarship and College Program (Advanced Standing) MIDN receive immunizations prior to summer training. The PNS should use local MTFs for satisfying immunization requirements. When MTFs do not exist locally, the inoculations may be ordered and administered by military health professionals, local university health professionals, or other personnel qualified to administer inoculations. Immunizations for MECEP students are completed at Marine Corps Officer Candidate School.

3. Immunization recommendations and requirements are contained in BUMED Notice 6230, dated 21 December 2004. MIDN should provide proof of immunization or receive all immunizations. MIDN should receive the Measles, Mumps, Rubella (MMR) vaccine or appropriate serological testing as early as possible. MIDN should also receive the Varicella vaccine (if there is no known history of chickenpox or evidence of serological immunity). MIDN shall receive two doses of the Hepatitis A virus (HAV) vaccine before graduation (one dose before executing summer assignments orders to the operating force). Upon graduation or commissioning, the MIDN or OCs must have been immunized or received the following inoculations:

   a. HAV vaccine (first and second dose).

   b. Influenza vaccine.

   c. Measles/Mumps/Rubella vaccine (one dose).

   d. Inactive poliovirus vaccine (IPV) (one dose).

   e. Tetanus-diphtheria toxoid (one dose).

   f. Varicella vaccine (two dose primary series).

4. Further guidance may be obtained in the Summer Training Manual NSTC M-1533.5A. Instructions for completing the Immunization Record SF 601 and the International Certificate of Vaccination PHS 731 are contained in the Manual of the Medical Department NAVMED P-117, Chapter 16, Article 16-59. These forms must be used to record all immunizations and retained in the student's health record.

5-5 Medical Leave of Absence. Medical Leave of Absence is discussed in Chapter 6.
5-6 Travel in Connection with Program Required Medical Examination. The PNS is authorized to transport students to required medical examinations and physical consultations. The PNS may utilize unit funds to authorize travel and per diem in accordance with the Joint Federal Travel Regulations, Chapter 7.

5-7 Medical and Dental Care

1. STA-21 and MECEP students are covered by TRICARE. MIDN should be advised to remain under their own insurance plan or participate in the university’s health care plan. NROTC Scholarship and College Program (Advanced Standing) MIDN are eligible to receive care at military medical facilities under the following circumstances:

   a. Required medical examinations and immunizations.

   b. While on summer training, routine medical and dental care or examinations on a space available basis.

   c. Emergency treatment and continued hospitalization or medical care for injuries incurred while en route to/from or during field training or summer cruise. Elective surgery or remedial treatment for pre-existing conditions is not authorized without prior approval from BUMED.

2. In the case of a medical emergency while on active duty for training, MIDN should be treated at the nearest military or civilian hospital, or medical treatment facility. Authorized expenses will be covered by TRICARE. Contact the TRICARE region office for assistance with claims: TRICARE North 1-877-TRICARE, TRICARE South 1-800-444-5445, TRICARE West 1-888-874-9378, or their respective websites. The MIDN may be responsible for initial payment for medical care prior to reimbursement by TRICARE. If it is determined that an injured MIDN will require extended care, immediately notify NSTC OD2A for guidance.

3. NROTC Scholarship and College Program (Advanced Standing and Basic) MIDN injured while in an official status may seek civilian care. Official status includes travel to/from special duties authorized by the PNS, duties performed on a voluntary basis in connection with prescribed training, and maintenance activities of the unit. Additional guidance for filing claims for MIDN injured while in the line of duty is addressed in paragraph 5-8.
4. NSTC OD/OD2A should be notified immediately of any MIDN serious injury or emergency. See Chapter 2 for additional reporting requirements.

5-8 Medical Claims and Compensation

1. Effective 1 October 1988, Title 10, 2109 and Title 5, 8140 of the U.S. Code were revised to extend injury, disability, and death compensation coverage for NROTC MIDN injured in the line of duty during practical military, as well as field training and practice cruises.

2. A MIDN or designated applicant from the NROTC Program who is injured while not under orders or while participating in unit related activities may receive civilian care paid for by the Office of Workers’ Compensation Program (OWCP). A Notice of Injury Form (CA-1) must be prepared immediately. An Authorization of Treatment (CA-16) must be completed prior to treatment or surgery unless it is an emergency. All OWCP claims must be sent to the U.S. Department of Labor, Office of Workers’ Compensation Programs, ATTN: Special Claims 800 North Capitol Street, NW Suite 800, Washington, DC 20211. The Special Claims Department’s telephone number is (202)565-9770.

3. The CA-1 and CA-16 forms require supporting documentation, such as medical documents, line of duty statement, and cover letter stating what the claimant is seeking. The CA-1 form requires certain codes: OWCP Agency Code is 65400U, and the Occupational Safety and Health Administration (OSHA) Site Code is the unit’s Unit Identification Code (UIC).

4. CA-1 or CA-16 forms and information can be accessed at: http://webapps.dol.gov/libraryforms/

5-9 Pre-commissioning Physicals

1. A pre-commissioning, specialty designator physical should be scheduled no earlier than 24 months prior to the NROTC, STA-21, or MECEP student’s estimated commissioning date, if required. Pre-commissioning physicals may be conducted at a MTF, Military Entrance Processing Station (MEPS), or during the MIDN's First Class Cruise. If conducted during summer cruise, orders should be endorsed to reflect type of exam (i.e., SPECOPS/SPECWAR, nuclear, and aviation). Pre-commissioning physical qualification determination for Marine Option MIDN and MECEP OCs should be accomplished before they begin Officer Candidate School (OCS). The extent of the pre-commissioning physical is
determined by the community. See the pre-commissioning package checklist (See Appendix D). Individuals may not be commissioned without a completed and approved physical for their assigned community. The first step in the pre-commissioning physical qualification process is submission of the package to BUMED. The BUMED pre-commissioning physical package consists of:

a. Pre-commissioning Physical Cover Letter to include what designator the MIDN is pursuing and a POC;

b. DoDMERB/BUMED original approved Report of Medical History DD Form 2492 or DD Form 2807-1 and Report of Medical Examination (Report of Medical Examination DD Form 2351 or DD Form 2808 or special duty physical examination;

c. All Annual Certificate’s of Physical Condition NAVMED 6120/3;

d. Human Immunodeficiency Virus (HIV) test (within 2 years);

e. Dental examination (within 1 year of submission); and

f. Pap exam (female only) (within 2 years).

2. Students diagnosed with HIV are not eligible for commissioning. As a result, all students shall be tested as part of their pre-commissioning physical requirements. The test should be completed within two years of commissioning. Students determined to be HIV-positive must be disenrolled for physical reasons. STA-21 and MECEPs may return to the fleet to complete their enlistment, if they do not exhibit symptoms of Auto-Immune Deficiency Syndrome (AIDS). HIV test results must be treated with the highest degree of confidentiality and released to no one without a need to know. Strict compliance with the provisions of SECNAVINST 5211.5E series is required. Additional guidance concerning HIV-testing is contained in SECNAVINST 5530.30D series.

3. BUMED will determine if the member is physically qualified for commissioning in the Unrestricted Line (URL). If BUMED determines the applicant is unqualified for the URL, the pre-commissioning physical will be returned to the unit and the student must choose a Restricted Line (RL) or Staff Corps (SC) designator. If BUMED determines the student is qualified for the URL, the pre-commissioning package will be forwarded as appropriate.
4. Pre-Commissioning Physicals are reviewed by the BUMED, Qualifications and Standards (M3B2), via the medical waiver system, WebWave. To access WebWave, members must first register at https://nmo.med.navy.mil/WEBWAVE/Login2.aspx. Registration applications are electronically sent to the WebWave system administrator, who will approve them and set the appropriate permission level. The administrator will e-mail the member when access has been granted. Once the NROTC unit has access to WebWave, all Pre-Commissioning physical (or medical waiver/disqualification) packages should be submitted for BUMED review via WebWave only. Once BUMED has completed reviewing the package, the NROTC Unit can view and print the finished BUMED qualification/disqualification letter directly from the WebWave portal.

a. Surface Warfare Officers, Marine Ground, and Nurse Corps (Conventional). Pre-commissioning physical packages are forwarded per standard Naval letter format to BUMED, Qualifications and Standards via WebWave. The pre-commissioning physical cover letter must be signed by the PNS and state “Pre-commissioning Physical ICO MIDN____, SSN last four, and designator.” Surface Warfare Officer, Marine Ground, and Nurse Corps pre-commissioning physicals consist of:

(1) DoDMERB/BUMED original approved/waived Report of Medical History DD Form 2492 or DD Form 2807-1;

(2) DoDMERB/BUMED original approved/waived Report of Medical Examination DD Form 2351 or DD Form 2808;

(3) Annual Certificate of Physical Condition NAVMED 6120/3;

(4) HIV test (within 2 years)

(5) Dental examination (within 1 year of submission);

(6) Pap test (female only) (within 2 years);

(7) Copies of treatment records for significant medical conditions that have developed since enrollment; and

b. Nuclear Officers. Nuclear pre-commissioning physicals are forwarded per standard Naval letter format to Undersea and Radiation Medicine (URM) or Dive and Undersea via BUMED, Qualifications and Standards, via WebWave. The pre-commissioning
physical cover letter must be signed by the PNS and state “Pre-
commissioning Physical ICO MIDN____, SSN last four, Surface
Warfare (Nuclear) (1160N)/Submarine Warfare (1170)/Naval
Reactors (1220).” Nuclear Officer pre-commissioning physicals
consists of:

(1) The Basic BUMED pre-commissioning letter

(2) Report of Medical Examination DD Form 2351 or DD
Form 2808 completed by an Undersea Medical Officer;

(3) Report of Medical History DD Form 2492 or DD Form
2807-1 completed by an Undersea Medical Officer;

(4) Ionizing Radiation Medical Examination (NAVMED
6470/13);

(5) Dental examination (within 1 year);

(6) Pap test (female only) (within 2 years);

(7) Annual Certificate of Physical Condition NAVMED
6120/3;

(8) Ancillary studies per MANMED Chapter 15;

(9) Health Records Privacy Statement DD Form 2005; and

(10) HIV test (within 2 years)

c. Aviation: Pilot or Naval Flight Officer. Aviation pre-
commissioning physicals are forwarded per standard Naval letter
format to the Naval Operational Medicine Institute (NOMI) via
BUMED, Qualifications and Standards via WebWave.
The pre-commissioning physical cover letter must be signed by
the PNS and state “Pre-commissioning Physical ICO MIDN____, SSN
last four, Student Naval Aviator (1390)/Student Naval Flight
Officer (1370)/Marine Student Naval Aviator/Marine Student Naval
Flight Officer”. Aviation (1390/1370) pre-commissioning
physical consists of:

(1) The basic BUMED pre-commissioning letter

(2) Report of Medical Examination (within 24 months) DD
Form 2351 or DD Form 2808) (original) completed by a Flight
Surgeon;
(3) Report of Medical History (within 24 months) DD Form 2807-1 or DD Form 2492 (original, by a Flight Surgeon);

(4) Dental Examination (within 1 year);

(5) Electrocardiography (EKG) Tracing;

(6) Annual Certificate of Physical Condition NAVMED 6120/3;

(7) Anthropometric Data Record NAVMED 6410/9;

(9) Ancillary studies per MANMED Chapter 15;

(10) Health Records Privacy Statement DD Form 2005;

(11) HIV test (within 2 year); and

(12) Pap test (female only) (within 2 years);

d. Naval Special Warfare or Explosive Ordnance Disposal.
Naval Special Warfare or Explosive Ordnance Disposal pre-commissioning physicals are forwarded per standard Naval letter format to the URM or Dive and Undersea via BUMED, Qualifications and Standards, via WebWave. The pre-commissioning physical cover letter must be signed by the PNS and state “Pre-commissioning Physical ICO MIDN_____, SSN last four, Naval Special Warfare(1XXX)/Explosive Ordnance Disposal(1XXX)”. Naval Special Warfare/Explosive Ordnance Disposal pre-commissioning physicals consists of:

(1) The Basic BUMED pre-commissioning letter

(2) Report of Medical Examination DD Form 2351 or DD Form 2808 completed by an Undersea Medical Officer;

(3) Report of Medical History DD Form 2807-1 or DD Form 2492 completed by an Undersea Medical Officer;

(4) Diver/Basic Underwater Demolition/SEAL (BUDS/S) Medical Screening Questionnaire;

(5) Dental examination (within 1 year);

(6) Pap test (female only) (within 2 years);

(7) Ancillary studies per MANMED Chapter 15;
(8) Health Records Privacy Statement DD Form 2005,

(9) Annual Certificate of Physical Condition NAVMED 6120/3; and

(10) HIV test (within 2 years).

g. Once NOMI, URM, Dive and Undersea, or BUMED determine the student’s physical qualification for their respective community, the unit will be notified. If the applicant is physically qualified and does not require a waiver, BUMED will provide a stamped-approved return endorsement to student’s pre-commissioning physical package. If the pre-commissioning package requires a waiver, BUMED will send a recommendation and physical qualification letter to NSTC OD2A or MCRC (ON/E) with a copy to the unit. NSTC OD2A or MCRC (ON/E) will endorse the letter “approved” or “disapproved” and forward it to the unit. Upon receipt, the unit shall enter the appropriate pre-commissioning physical code in the Personal/Program Information Form and the Service Selection Form.

h. No student shall be commissioned unless physically qualified for their respective designator.

5-10 Health and Dental Records

1. General. Detailed instruction for the maintenance of Health and Dental Records is provided in the MANMED NAVMED P-117, Chapter 16. Scholarship and College Program (Advanced Standing) MIDN, and MMR/USNR (obligated) MIDN will have Health and Dental Records opened and maintained at the unit. If dental records are available, a Dental Record should be established. Although not required, a Health and/or Dental Record may be established for College Program (Basic Standing) students if sufficient documents exist. STA-21 and MECEP OCs will continue to use their previously established Health and Dental Records; however, these files shall be maintained at the NROTC unit. Health and Dental records contain Privacy Act information and shall be secured accordingly.

2. Contents of the Health Record. The Health Record is a four partition folder. The medical documents or forms listed below shall be filed in the health record in the appropriate section.
Each section is numbered left to right and documents listed top to bottom, with each form arranged with newest on top within each group.

a. **Section 1**

   (1) Record of Preventive Medicine and Occupational Health.

   (2) Immunization Record, **SF 601**, PHS-731, or civilian equivalent).

   (3) Record of Occupational Exposure to Ionizing Radiation **NAVMED 6470/10** (Only required if student has been exposed to ionizing radiation).

   (4) Audiology.

   (5) Eye Exams.

b. **Section 2**

   (1) Annual Certificate of Physical Condition **NAVMED 6120/3**.

   (2) Chronological Record of Medical Care **SF 600**, (if applicable).

c. **Section 3**

   (1) Report of Medical Examination **DD Form 2351** or **DD Form 2808**, Report of Medical History **DD Form 2492** or **DD Form 2807-1** (original).

   (2) Anthropometric Data Record **NAVMED 6410/9** Statement of Wearing Contact Lenses **NSTC 1533/103** or Cycloplegic Eye Exam, etc., as applicable.

   (3) BUMED Waiver Letters and any additional medical documents such as hospital records, consults, etc. (if applicable).

   (4) Privacy Act Statement - Health Care Records DD Form 2005 (signed).

   (5) Record of Disclosure **OPNAV 5211/9**.

d. **Section 4**
3. Contents of the Dental Record (if applicable)
   a. Left Side of Folder
      (1) Unmounted radiographs in envelopes.
      (2) Sequential bite wing radiograph mounts.
      (3) Panographic or full mouth radiographs.
      (4) Report of Dental Exam, DD Form 2480.
      (6) Record of Disclosure OPNAV 5211/9.
   b. Right Side of Folder. SF 603 or civilian equivalent Record of Dental Care.

4. Health and Dental Record Annual Reviews. The Health and Dental Records shall be reviewed annually by NROTC unit custodian. Health record access shall be limited to the custodian, CO, and XO only. Whenever practicable, verification of the Health and Dental Record shall be completed prior to active duty training periods. At the very minimum, the person conducting the review shall first verify the completeness, accuracy, legibility of all identifying information (NAME, SSN, DOB, place of birth, and gender). A log page shall be kept recording annual verification on the top left hand side of the record.

5. Disposition Instructions. Prior to summer training, the Health and Dental Record shall be delivered to the student along with summer training orders. Upon completion of summer training, the health record will be returned to unit custodian.
Upon disenrollment or commissioning, the disposition instructions are as follows:

a. **Disenrollment.** As previously addressed, Health and Dental Records are only required for Scholarship and College Program (Advanced Standing) Students. In cases of Disenrollment, Health and Dental Records will be disposed of in accordance with Chapter 6.

b. **Commissioning.** When any MIDN, STA-21, or MECEP is commissioned, all Service Records, Health and Dental Records shall be issued to the newly appointed officer.
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6-1 Student Classification

1. Students are classified by their standing in the NROTC program, not by the number of completed college credits or standing in the University. Classification is as follows:

   a. **Basic Course**

      (1) **Freshman.** First-year students who are enrolled in or have completed the first two Naval Science courses and laboratory periods.

      (2) **Sophomore.** Second-year students who are enrolled in or have completed three or four Naval Science courses and laboratory periods. A Scholarship Program student incurs obligated service, and is subject to recoupment, upon initial acceptance of program benefits at the beginning of the sophomore academic year or second year in the program, whichever comes first. Those receiving Maritime Administration Student Incentive Payments (SIP), USNR, and Strategic Sealift Officer Program (SSOP) MIDN must sign a Maritime Administration (MARAD) Service Agreement.

   b. **Advanced Standing**

      (1) **Junior.** Third-year students who are enrolled in or have completed five or six Naval Science courses and laboratory periods. A College Program student must sign an enlistment contract (DD Form 4/1) upon beginning the advanced course and incurs obligated service at that time.

      (2) **Senior.** Fourth-year students who are enrolled in or have completed seven or eight Naval Science courses and laboratory periods.

6-2 **Date of Appointment.** Except where a student reports late or is selected during the school year, the effective date of appointment into the NROTC Program is the date of the first day of orientation, the first day of classes at their respective academic institution or the date authorized by OD and meeting all requirements. This date is the student’s Date of Initial Entry into Military Service.
6-3 Enrollment

1. Each candidate for enrollment in any NROTC Program must meet the following qualifications:

   a. Be a citizen of the United States and present official certified proof of citizenship, except as noted in Paragraph 6-10.

   b. MIDN must be at least 17 years of age for scholarship and 16 for College Program on or before 1 September of the year of enrollment and be less than 27 years of age on 31 December of the calendar year in which commissioned. Applicants with prior active enlisted service may be granted an age waiver by CNSTC, via NSTC OD4, equal to the period the member served on active duty up to 48 months by NSTC.

   c. Be morally qualified and possess officer-like qualities and character. Their appearance, scholarship, extracurricular activities, and record in their home community shall be exemplary. Candidates convicted of any crime involving moral turpitude will not normally be accepted into any officer accession program. The unit shall initiate a National Agency Check with Local Agency and Credit Checks (NACLC) upon student check-in. This check is only done for Scholarship students and students entering Advanced Standing status.

   d. Be accepted for admission as a full-time student at a participating NROTC academic institution or maritime academy. NROTC students may enroll at schools near host NROTC universities and colleges provided both the institution and the NROTC unit have an NSTC-approved cross-enrollment agreement.

   e. Be medically qualified in accordance with Chapter 5.

   f. Meet requirements concerning prior use of drugs or alcohol per OPNAVINST 5350.4D series.

   g. Agree to the conditions for reimbursement of educational assistance prescribed per specific service agreements.

   h. Agree to complete prescribed Naval Science courses, program specific university course requirements, and applicable summer training requirements.

   i. Develop a degree plan with the host NROTC unit and university.
j. Sign the Concept of Honor NSTC 1533/121 and NROTC Acceptance and Oath of Office NSTC 1533/126.

2. There are no restrictions as to the marital status of a candidate. However, single parents who have custody of dependent children must present a Family Care Plan Arrangements Form NAVPERS 1740/7 to the unit that allows full attention to service responsibilities during initial training periods and subsequent duty assignments. Single parents must be advised that individuals accepted for Naval Service must maintain a high degree of commitment to professional responsibilities, and exemptions from personnel policies or preferential treatment for duty assignments should not be expected.

3. NROTC applicants who have been nominated for an NROTC scholarship but choose to enroll in a service academy, state maritime academy, Army or Air Force ROTC program, or any other officer-type training program, forfeit the NROTC scholarship. They may reapply the following year. College Program candidates who have previously enrolled in a service academy, a state maritime academy, an Army or Air Force ROTC program, or any other officer-type training program, may not enroll in the NROTC College Program without written approval from NSTC OD. The above individuals are not eligible for immediate scholarship benefits through programs such as the Alternative Scholarship Reservation (ASR), Tweeddale, or Minority Serving Institution Scholarship Reservations (MSISR) Scholarship programs. They may be nominated for an NSTC Controlled Scholarship after all nomination requirements have been met. A written request endorsed by the PNS with a Record of Disenrollment from OC-Type Training (DD Form 785) from the previous officer-training program is required. Applicants disenrolled for aptitude or disciplinary reasons, or “not recommended for future officer programs” from any service officer training program may not be accepted without prior authorization from NSTC OD.

4. Any person receiving compensation from the Veterans Administration (VA) for disability incurred in military service of the United States, or who has any claim pending under that administration on account of such disability is not eligible for enrollment or re-enrollment in NROTC. Veterans who have previously filed such claims may become eligible for enrollment if:

   a. The VA has disallowed the claim.
b. The veteran has withdrawn the claim under procedures set forth in current VA directives. Withdrawal of any pending claim is voluntary.

5. A former NROTC Scholarship or College Program student who desires re-enrollment as a College Program student must submit a written request to NSTC OD. Re-enrollment of students must be approved by NSTC OD.

6-4 Foreign Students

1. Foreign students are ineligible for Scholarship Program or Advanced Training Participation. Section 2103(b) of Title 10 authorizes persons from foreign countries to enroll in the NROTC Program under criteria approved by the Secretary of State. For this instruction, “persons from foreign countries” means persons who are not citizens of the United States of America. Foreign persons are ineligible for:

   a. Advanced training under Section 2104 of Title 10 of the U.S. Code.

   b. Scholarships under Section 2107 of Title 10, of the U.S. Code.

2. College Program Basic Course Participation. Persons from foreign countries can enroll in the NROTC College Program’s basic course. However, enrollment by such persons in the basic course is limited to:

   a. Persons who, although not yet eligible for naturalization, can produce written evidence that they have filed a Declaration of Intention (ICE Form N-300 or any successor form thereto) to become a citizen of the U.S. However, such persons must become naturalized U.S. citizens before they will be allowed to participate in Advanced Training, execute an enlistment contract, or receive any subsistence payments. Failure to obtain U.S. citizenship before the first day of Advanced Training requires the student to be disenrolled from the College Program.

   b. Persons who are qualifying for commissions in the service of certain foreign governments.

   c. Persons who are foreign Navy students. These students may participate in the NROTC basic course as special Naval Science students through arrangement with the U.S. Government.
and the foreign country's government. Except in special instances as determined by CNSTC, not more than five students from any one foreign country may be newly enrolled in any one NROTC unit each year.

3. Active recruitment of foreign persons to participate in NROTC should be avoided.

6-5 Welcome Aboard Letters

1. The PNS/OIC shall send the student a Welcome Aboard Letter as soon as the unit becomes aware of the prospective student. The letter shall include the following forms (Items (c) through (e) are for review only and will be completed once the student arrives at the unit):


   b. Record of Emergency Data DD Form 93.

   c. Drug and Alcohol Abuse Statement of Understanding OPNAV 5350/1.

   d. Acceptance and Oath of Office NSTC 1533/126.

   e. NROTC Scholarship Service Agreement NSTC 1533/135 or other service agreements as applicable.

   f. Direct deposit form, Treasury Department Standard 1199A. The account type, account number, and nine-position routing number (RTN) must be entered in OPMIS. In doing so, the Defense Finance and Accounting Service (DFAS) will affect all payments through the Direct Deposit/ Electronic Funds Transfer (EFT) Program.

   g. Self-addressed return envelope and any forms unique to the unit.

6-6 Officer Program Management Information System (OPMIS)

1. OPMIS supports the NROTC Program by providing a computer link between NSTC OD, each NROTC unit, DFAS, Navy Personnel Command (NPC), Naval Recruiting Command (NRC), and Department of Defense Medical Examining Review Board (DoDMERB). An OPMIS Student Record must be established by the unit for all Scholarship, College Program, and OCs.
2. Due to the relationship between OPMIS and the various other DoD systems that derive information from it, it is imperative that every student file is complete and accurate. OPMIS must include student information, such as the type of NROTC program in which the student is enrolled, subsistence, grades, foreign language testing, swim, PFT/PFA, Leave of Absence (LOA), probation, estimated commissioning dates, and security clearance.

3. OPMIS is also used to activate scholarships and other program benefits. All students who report to the unit shall be “reported-in” via OPMIS but benefits will not be activated until the student is found to be fully qualified and has executed all applicable agreements.

4. On 1 October each year, the NROTC unit shall ensure all records are updated in OPMIS and that "Student Reported" procedures have been followed. Any student, who has not reported, except those students with an approved deferment or leave of absence, will be coded as “declined” in OPMIS by this date.

5. For further information regarding OPMIS at the unit level, consult the OPMIS NROTC Training Manual available from Naval Education and Training Professional Development and Technology Center (NETPDT). 

6-7 Navy College Management Information System (NCMIS)

1. NCMIS supports the NROTC Program by providing a computer link to facilitate administration of STA-21 OCs. The information that may be stored in NCMIS includes personal data, university class schedules, and funding available to each student. At the NROTC unit level, NCMIS is used to forecast funding requirements and manage each STA-21 OC’s available funding.

2. The PNS shall assign an NROTC/university staff member to be responsible for maintaining personal information and syllabus data in NCMIS. The staff member must be provided with Privacy Act training before undertaking these duties. The staff member shall produce a STA-21 Tuition Authorization Form indicating the fees directly charged by the university each semester the STA-21 OC is enrolled. Once the form is signed by both the OC and the staff member, the OC must take the form to the bursar’s office and request that the academic institution invoice the Government. Copies of the STA-21 Tuition Authorization Forms
and all reimbursable expenses shall be maintained in the Student File.

6-8 Reporting Incoming Students

1. NROTC Scholarship MIDN. The NROTC unit is informed of all incoming Four-Year National Scholarship students via an OPMIS GF20R61 Report or Placement Table. The unit will enter students listed on the GF20R61 report as “accepted” into OPMIS upon verification of their acceptance by the educational institution. Prior to enrollment in OPMIS, the NROTC unit must ensure the appropriate physical qualification code is reflected in OPMIS. **If the student is not physically qualified, the unit shall not activate the scholarship or allow the student to sign any documents (See Chapter 5 for more guidance).** Although the student may attend class, the scholarship and stipend must not be activated by the unit, and the student shall be advised that any costs incurred are at the student’s risk and ultimately may not be paid by NROTC. Once the student is found physically qualified (PQ), the documents will be dated on or after the day the student is found PQ or waiverable. **Do not back-date documents.** The tuition is retroactive to the beginning of the term (semester/quarter), but subsistence starts the day the individual is found PQ. The NROTC scholarship agreement and enlistment document can only be executed on or after the actual date the student is found physically qualified or waived. If NSTC OD concludes that the delay in determining the student’s physical qualification is no fault of their own, retroactive payment of tuition, fees and books is authorized. However, subsistence cannot be back-dated, because it falls under Military Personnel, Naval (MPN) funds, and the student is not officially on the Navy roster until they sign the Enlistment/Reenlistment Document Armed Forces of the United States **DD Form 4/1.** NSTC OD4 shall address retroactive payment requests.

2. NROTC College Program MIDN. Upon request to NSTC OD2, the NROTC unit will receive the application files of students not selected for a scholarship who may become College Program (Basic) students. Those interested in becoming College Program (Basic) students must complete an NROTC College Program Application, **NSTC 1533/133.**

3. STA-21 OCs. The NROTC unit is informed of all incoming STA-21 OCs via an OPMIS GF20R61 Report or an Official Mail Message published by NSTC OD2.
a. PERS 4010 cannot issue orders to STA-21 selectees until they have been accepted into a college or university and have undergone medical screening and received favorable results. STA-21(N) OCs shall attend the earliest possible Naval Science Institute (NSI) class.

4. STA-21 Nuclear Option OCs

a. Upon completion of the STA-21 selection process, NSTC OD1 will forward the list of nuclear option selectees and alternates, with their top three school choices, to the appropriate NROTC units. The PNS shall provide information to the nuclear option selectees on housing, tuition, enrollment procedures, and other useful relocation information. The Nuclear Power Officer (NPO) may be needed to assist in expediting the admissions process for these students.

b. The NPO shall verify that each OC has six years of obligated service as of the date that he or she reports to the NROTC unit.

5. Marine Enlisted Commissioning Education Program (MECEP)/Meritorious Commissioning Program (MCP) OCs. The NROTC unit is informed of all incoming MECEP/MCP OCs by MCRC (ON/E). All MECEP and MCP OCs student data must be entered in OPMIS when they join the unit.

6. SSOP/USNR MIDN. Departments of Naval Science at the USMMA and state maritime academies are informed of incoming students through the respective academic institution’s registrar’s office. Those interested in participating in the SSOP, USNR MIDN Program complete an SSOP/USNR MIDN application. Processing of MIDN applications must be completed at USMMA within the first month of the student's arrival at the academy. Processing of MIDN applicants at the state academies shall be completed once the student indicates a desire to enter the program.

6-9 Student File

1. The unit will maintain a Student File for each student. The Student File must be reviewed annually by a reviewing authority other than the unit staff member charged with maintaining the file. The review shall be annotated on the Student File Index NSTC 1533/128. Electronically generated documents are allowed but their use does not eliminate the requirement for original signatures. The contents of each file will vary depending on
type of program (e.g., college program basic, college program advanced standing, scholarship, SSOP/USNR, OC).

a. The following documents will be maintained on the left side of the Student File:

(1) Student File Index NSTC 1533/128

(2) The Concept of Honor NSTC 1533/121 Need revision

(3) Acceptance and Oath of Office (NSTC 1533/126) (Scholarship and CP advanced standing only)

(4) Dependency Application/Record of Emergency Data NAVPERS 1070/602. Attach 2 copies of the Servicemembers’ Group Life Insurance (SGLI) Election and Certification Statement SGLV 8286 to this form (Not applicable to College Program students in the basic course).

(5) Copies of official correspondence originated at the unit (e.g., change of option, transfer requests, Performance Review Board (PRB) reports, warnings, probations and LOA letters). The class advisor may maintain an additional copy of correspondence in the Student Performance File.

(6) NROTC Scholarship or College Program Application.

(7) Document, letter, or official mail message awarding a scholarship or advanced standing, if any.

(8) Drug and Alcohol Abuse Statement of Understanding OPNAV 5350/1.

(9) NROTC Scholarship Service Agreement NSTC 1533/135, NROTC College Program Advanced Standing Service Agreement NSTC 1533/127 or other applicable service agreements.

(10) Enlistment/Reenlistment Document, Armed Forces of the United States DD Form 4/1 and any extensions NAVPERS 1070/621 for those received from active or reserve duty.

(11) Copy of Certificate of Release or Discharge from Active Duty DD Form 214 for those MIDN reporting from active duty or a Request for Conditional Release DD Form 368 for MIDN reporting from reserve duty.
(12) General Purpose Privacy Act Statement (OPNAV 5211/12).

b. The following documents will be maintained on the right side of the Student File:

   (1) Disclosure Accounting Form OPNAV 5211/9

   (2) Individual NROTC Education Program Costs NSTC 1533/113, STA-21 Tuition Authorization and Claim for Reimbursement for Expenditures on Official Business SF 1164 or any other education cost forms that may apply. MIDN and STA-21 OCs must sign forms acknowledging payment of tuition and fees for each term that tuition and fees are paid on their behalf.

   (3) Photocopy of Birth Certificate or other proof of citizenship and/or naturalization (such as a passport), with endorsement indicating that the original or a certified true copy was presented to the unit.

   (4) Tattoo screening form (USN or USMC as appropriate) and copies of any waivers.

   (5) IRR Notification statement (1C MIDN only)

   (6) OCS, Six Week Course, Statement of Understanding (USMC option only).

   (7) Copies of any other documents that would otherwise be maintained in a service record (for STA-21 and MECEP OCs).

   (8) Other documentation generated by higher authority or deemed appropriate by the unit, such as waivers and awards.

c. The NROTC Student File shall be maintained at the unit until the student is commissioned or disenrolled. The disposition of the Student File upon commissioning is addressed in paragraph 6-38. The disposition of the Student File upon disenrollment is addressed in Chapter 8.

6-10 Evidence of Citizenship

1. With the exception of foreign persons permitted to participate in the NROTC College Program in accordance with Paragraph 6-4, applicants for all officer programs shall be citizens of the United States and shall submit positive proof of citizenship at the time of application. Permissible forms of
proof of citizenship include original or certified copy of the birth certificate, Passport (cannot be used for applicants born abroad of U.S. parents because the passport does not prove if the applicant is a Naturalized citizen or a U.S. citizen at birth), Office of Vital Statistics issued DD Form 372 (Request for Verification of Birth), Department of State issued FS 240 (Report of Birth Abroad of a U.S. citizen, Department of State issued DS 1350 (Certification of Report of Birth Abroad, or a U.S. foreign service post issued FS 545 (Certification of Birth Abroad). Documents provided by the student as evidence of citizenship shall be maintained in the Student File until commissioning.

2. Birth certificates must meet all of the following criteria to be considered valid: Full Name (birth records must have complete first and last names, birth records without a middle name or where the middle name is represented by an initial are acceptable), birth date, birth place (must list at least the state of birth), birth record validation (the birth record must bear appropriate validation markings such a original or machine-produced signatures or raised, impressed, embossed, multicolored seals or stamps, or a combination of these, any one combination is acceptable). A birth certificate with “Baby Boy” or “Baby Girl” instead of a given name is not valid for evidence of citizenship purposes. Puerto Rico birth Certificate Law 191 of 2009 invalidated all birth certificates issued prior to 1 July 2010. All persons born in Puerto Rico using a birth certificate to establish citizenship must possess a valid birth certificate issued on or after 1 July 2010 by the Puerto Rico Vital Statistics Record Office.

3. U.S. Citizenship and Immigration Services (USCIS), formerly known as Immigration and Naturalization Services (INS), issues USCIS N-550/N-551/N-570 (naturalization certificate) or USCIS N-560/N-561 (certificate of citizenship) which establishes eligibility as a naturalized citizen of the United States. A current (unexpired) U.S. passport issued by the Secretary of State can also be used to establish eligibility as a naturalized citizen.

4. The unit shall photocopy the proof of citizenship documentation and maintain it in the Student File. The Armed Forces are authorized to copy documents used for eligibility determinations, to include documents which state that it is unlawful to reproduce or copying is not authorized. The copy(s) shall have “Original document sighted and reproduced for military records and reference purposes only,” written or typed
in a conspicuous location to ensure that the original was sighted. Originals will be immediately returned to the student.

6-11 Physical Exam/BUMED Waiver. Prior to receiving benefits, MIDN are required to pass a DoDMERB Physical Exam or receive a BUMED waiver. Sports physicals are only acceptable for College Program (Basic) students. STA-21 and MECEP OCs are required to be medically qualified by their respective service before reporting to an NROTC unit. Additional guidance is contained Chapter 5.

6-12 Drug and Alcohol Abuse Statement of Understanding

1. All students are required to meet the standards regarding drugs or alcohol in accordance with OPNAVINST 5350.4D series. All students shall sign and have explained to them by the unit representative the Drug and Alcohol Abuse Statement of Understanding OPNAV 5350/1 prior to executing the Enlistment Contract and Acceptance and Oath of Office.

2. Evidence of wrongful drug or alcohol use requires a PRB. Wrongful drug use mandates disenrollment on the first offense and prohibits active enlisted service. OCs will be processed for administrative separation. OCs may be subject to recoupment of all educational assistance received.

6-13 Acceptance and Oath of Office. All MIDN must execute "The Acceptance and Oath of Office" NSTC 1533/126 prior to scholarship activation, witnessed by a commissioned officer. Except in the case where a student reports late or is selected during the school year, the effective date of appointment into the NROTC Program will be the date of the first day of classes at their respective academic institution. Any student who refuses to execute such an oath or affirmation shall be denied enrollment.

6-14 The Concept of Honor

1. All students shall execute “The Concept of Honor” NSTC 1533/121 at the time of enrollment in the program. The original will be filed in the Student File and a copy provided to the student. The original must contain the commanding or executive officer’s original signature.

6-15 Service Agreements
1. Applicants are required to sign program-specific service agreements upon reporting to the NROTC unit. The following agreements apply:

   a. **NROTC Scholarship Service Agreement.**

      (1) **Signing the Agreement.** In order to be eligible to receive financial assistance, NROTC Scholarship students must execute an NROTC Scholarship Service Agreement NSTC 1533/135 at the time of their appointment.

      (2) **Retaining Scholarship – 45-Day Requirement.** The Service Agreement provides that the Navy will not pay tuition or fees for any MIDN unless active scholarship status is demonstrated during the first 45 days after the start of each Fall term by:

         (a) Being enrolled in an academic institution associated with their assigned NROTC unit.

         (b) Actively participating in all aspects of the NROTC program, including, but not limited to university courses, Naval Science courses, and drill.

      1. If the MIDN complies with the 45-day requirement, scholarship monies will be paid to the academic institution retroactive to the beginning of the fall term but no earlier than 1 October.

      2. If the MIDN fails to comply with the 45-day requirement, the MIDN will be liable to the institution for tuition and fees assessed for that term.

   b. **NROTC College Program Service Agreement.** All NROTC College Program students who attain Advanced Standing and wish to take the advanced course must execute an NROTC College
Program Service Agreement (NSTC 1533/3) at the time of their appointment. College Program Students in the advanced course who do not complete the course of instruction, or who complete the advanced course and all other NROTC College Program requirements but decline to accept a commission when offered, may be ordered to active duty in an enlisted status by the Secretary of the Navy for such period of time as the Secretary may prescribe.

c. STA-21 OC Service Agreement

(1) Upon receipt of PCS orders detaching the OCs from the fleet, all OCs are required to sign a 6-year active duty service obligation by extending or reenlisting. The PNS shall ensure that each STA OC arrives with a reenlistment contract or extension of enlistment with no less than 6 years remaining.

(2) All STA-21 OCs will have no less than 5 years of active enlisted service remaining on their contracts at any given time while enrolled in the STA-21 Program. Each OC will execute a reenlistment contract or extension, as necessary to fulfill this requirement. Any student refusing to enlist or execute a reenlistment or extension in order to incur 5 years of obligated service upon their projected date of commissioning will be disenrolled immediately.

(3) If disenrolled, the OC will be issued PCS orders to return to enlisted duty. As provided in OPNAVINST 1420.1B series, the service member is required to complete 5 years of enlisted service from the date of program disenrollment or the remainder of their current enlistment, whichever is longer. Moreover, as per Title 10, Section 2005, OCs who cannot perform active duty may be subject to recoupment. As a result, the unit shall make the following entry in their service record, Page 13 NAVPERS 1070/613:

"Service Agreement: Education (STA-21). I understand that, as a condition to receiving financial assistance from the federal government, I may be obligated to reimburse the government for any tuition/fees paid for on my behalf. Normally, recoupment is pursued when OCs are disenrolled from the program and no longer suitable for active duty."

d. MECEP OC Service Agreement

(1) MECEPs are required to complete all application requirements as set forth in the current Marine Corps Marine Administrative Message (MARADMIN).
e. SSOP /USNR MIDN Program Service Agreement. All SSOP/USNR MIDN are required to meet the same basic enrollment requirements as NROTC MIDN. All MIDN attending the USMMA must sign and execute a Service Obligation Contract for the USMMA at the time of appointment/enlistment. All MIDN receiving Student Incentive Payments (SIP) from the Maritime Administration must sign and execute a Service Obligation Contract for State Maritime Academy. The unit will provide the forms. This agreement shall be signed before the start of the student's sophomore year. Students not receiving SIP may participate in the SSOP MMR/USNR MIDN Program. These students may be appointed and enlisted in the same manner as SIP recipients.

6-16 Enlistment/Reenlistment

1. All MIDN, except those in the College Program Basic Course, must execute an Enlistment/Reenlistment Agreement DD Form 4/1 to serve in the USN/USMC Reserve for a period of eight years concurrently with being appointed a MIDN, as required by Title 10, USC, Sections 2103a, 2104 and 2107. In some cases, the unit must ensure the student maintains at least four years beyond their estimated commissioning date by virtue of an Agreement to Extend Enlistment NAVPERS 1070/621.

2. Active duty scholarship recipients (MIDN only) will remain on active duty and be released the day prior to their first day of class. On the first day of class, they will be appointed MIDN, provided they are fully qualified and have been accepted to the institution they are authorized to attend. NROTC Four Year Scholarship Program MIDN who enter the program from active or inactive enlisted duty are conditionally released from their enlistment contract and must terminate their affiliation with drilling reserve units. However, College Program MIDN in the basic course may continue their affiliation with a drilling reserve unit until they enter into a contract with the Navy. Members of reserve components must be conditionally released DD Form 368 from their present enlistment contract and enlisted under the provisions of the NROTC Program to facilitate Scholarship or College Program (Advanced Standing) enrollment. They may be enrolled as Naval Science students pending discharge from such status. NROTC MIDN appointed from enlisted status shall be entitled to NROTC related compensation and entitlements that are normally provided to other NROTC MIDN. The period of time served in the NROTC Scholarship or College Program shall not be counted in computing longevity, retirement eligibility, retired pay, or for any purpose in computing the length of
service of any officer of the Armed Forces. NROTC Scholarship or College Program MIDN in advanced standing may not simultaneously be a member of another officer accession program as set forth in Section 12209, Title 10, United States Code.

3. Reservists must be released from their present enlistment contract and enlist under the provisions of the NROTC Program to facilitate Scholarship or College Program (Advanced Standing) enrollment. They may be enrolled as Naval Science students pending release from their reserve obligation, provided they are fully qualified and have been accepted to the institution they are authorized to attend. The NROTC unit shall obtain a Request for Conditional Release DD Form 368 prior to allowing the student to sign an Enlistment/Reenlistment Agreement DD Form 4/1.

4. SSO, USNR MIDN shall enlist in the U.S. Navy Reserve upon their appointment to the USMMA or upon receipt of SIP.

5. STA-21 and MECEP OCs shall agree to extend their service obligations when applying for or accepting their respective programs, as appropriate.

6-17 Dependency Application/Record of Emergency Data. The unit will prepare a Dependency Application/Record of Emergency Data NAVPERS 1070/602 for all incoming students. The unit will maintain a copy in the Student File. This form must be verified annually by the student by an annotation on the back of the form. The Record of Emergency Data is the only required form for Basic Standing College Program students.

6-18 Servicemember’s Group Life Insurance (SGLI). NROTC MIDN (except College Program students in the basic course) and OCs are eligible for SGLI coverage. NROTC MIDN are only covered when assigned to active duty training. If any student desires less than maximum coverage, they may elect a lesser amount on SGLI Election and Certification Form SGLV-8286. Once completed, attach two copies to the Dependency Application/Record of Emergency Data, place them in the Student File and provide a copy to the student.

6-19 Personnel Security Investigations (PSI)

1. Entrance Investigation. Per DoD 5200.2-R and SECNAVINST 5510.30B series, each service member must undergo a PSI prior to appointment. Additional guidance is provided by COMNAVCRUITCOMINST 5510.62, Submissions of Background Investigations for Officer and Enlisted Accessions. A PSI must
be conducted on all MIDN who have signed a DoD enlistment form. All PSI requests will be prepared by the unit using guidance found at: www.navysecurity.navy.mil. PSIs require the student to submit two items:

a. A completed Questionnaire for National Security Positions SF 86, which must be completed and submitted by the student on the Office of Personnel Management website at www.opm.gov/e-QIP/.

b. A Fingerprint Card (SF 87) contains basic instructions for obtaining classifiable fingerprints and must be carefully followed. Only trained personnel shall take the fingerprints. Assistance of Naval Criminal Investigative Service offices or local law enforcement agencies may be requested when necessary. When completing the SF 87, the student shall indicate "OC-Navy" or "OC-Marine" in the "Reason Fingerprinted" block. The SF 87 must be mailed to the Office of Personnel Management at the following address:

<table>
<thead>
<tr>
<th>via U.S. Postal Service</th>
<th>via FEDEX</th>
</tr>
</thead>
<tbody>
<tr>
<td>OPM-FIPC</td>
<td>OPM-FIPC</td>
</tr>
<tr>
<td>PO Box 618</td>
<td>1137 Branchton Road</td>
</tr>
<tr>
<td>Boyers, PA 16018</td>
<td>Boyers, PA 16018</td>
</tr>
</tbody>
</table>

A signed copy of the Questionnaire for National Security Positions SF 86 must be maintained by the command until the security clearance is finalized. If the student has been absent from the NROTC Program or from military service for two years or more, the student must submit a new SF 86. The most current copy of the Joint Personnel Adjudication System (JPAS) Personal Summary sheet that indicates clearance eligibility shall be retained.

2. Summer Training. Individual security clearances must be obtained prior to the first Summer Training period. Since many security clearances take over a year to complete, all MIDN and OCs shall have their security clearance initiated within 30 days of reporting to the unit. Some students may be selected for programs that require a Single Scope Background Investigation (SSBI) for access to Top Secret (TS) or Sensitive Compartmented Information (SCI). Specifically, students designated Submarine Warfare Officer (1170); Special Operations Officer (1190), Intelligence Officer (1630), and Information Warfare Officer (1640) require an SSBI. A personal interview is not required for 1170 but is mandatory for 1630 and 1640.
3. Security Clearance Required for Commissioning. NROTC students shall not be commissioned without a security clearance. Because the authority to take action to deny acceptance or retention in the Navy and Marine Corps is vested in the Commander, NPC and the Commandant of the Marine Corps, the PNS shall immediately notify NSTC OD4 or MCRC (ON/E), as appropriate, in all cases where a student is scheduled to be commissioned but has not obtained a proper security clearance. Each January, NSTC OD4 will verify via OPMIS report that all First Class MIDN are reported to have clearances.

4. Misconduct/Security Issues. The PNS must report any serious student misconduct or security issue that has the potential to affect the student’s security clearance. The PNS must submit any such report as soon as possible in writing to the Department of the Navy, Central Adjudication Facility (DONCAF), with a copy to Office of Personnel Management (OPM) (if the PSI has not been closed), and NSTC. The report shall set forth all relevant facts with a course of action.

6-20 Selective Service Registration. The Military Selective Service Act (MSSA) of 2003 and the Presidential Proclamation issued of 2 July 1980 requires male citizens between 18 and 26 years of age to register. Young men serving in the military on full-time active duty and those attending the service academies do not have to register. While paragraph 12 of the DD Form 4/1 states that, “Completion of this form constitutes registration with the Selective Service,” this paragraph does not pertain to the NROTC Program.

6-21 Tattoo and Piercing Policies. NAVADMIN 110/06 established policy regarding tattoos, body mutilation, and dental ornamentation. While tattoos should be identified and reported by the student during the program entrance physical exam, all NROTC units shall screen incoming students for offensive or inappropriate tattoos. Units shall also periodically screen MIDN during their enrollment in the NROTC Program to ensure compliance with the Navy’s tattoo policies. Tattoos shall conform to the current Navy policy. Standard guidance is that tattoos should not be visible while in military uniform; however, if visible, they must not convey any racial epithets, gang symbols, drug preferences, political statements, or other statements contrary to good order and discipline. Tattoos will not be positioned on the body as to detract from military appearance, i.e., on the face, ears, or head. The number and size of tattoos shall conform to appropriate Navy and Marine Corps regulations. NSTC OD has the authority under the above
cited guidance to waive certain tattoos for Navy students. Marine Option and MECEP tattoo waivers shall be forwarded to MCRC (ON/E). Piercing policies for NROTC students are governed by the piercing provisions of the Navy and Marine Corps Uniform Regulations.

6-22 Student Identification Cards. All MIDN, except those enrolled in the College Program basic course, shall be issued an Armed Forces Identification Card, DD Form 2N (Navy Option). OCs shall use their previously issued identification card. The local Personnel Support Detachment (PSD) or Navy Operational Support Center (NOSC) is responsible for preparing identification cards.

6-23 Status Reports. In addition to OPMIS input, units are responsible for additional status reports:

1. Marine Option/MECEP Status Report. The Commanding General, MCRC has directed that units must submit monthly reports on the status of Marine Option MIDN, MECEP OCs, and Marine Officer Instructors to enable the updating of the Marine Corps Recruiting Information Support System (MCRISS). The report must address the status of each Marine Option student (noting the student’s race, ethnic code, PFT, graduation date, Height/Weight (HT/WT), and Grade Point Average (GPA)), Marine Officer Instructor performance, enrollments, and disenrollments. Additional Marine Option/MECEP reporting requirements are listed in the MCRC Year-In-Sight Calendar. Questions concerning any of these reports can be addressed to MCRC (ON/E) at (703)-784-9446/7/8.

2. Semi-annual SSOP/USNR Roster and Commissioning Report. Due to OPMIS unavailability to Maritime Academies, each unit with SSOP/USNR MIDN must submit a SSOP/USNR Roster and Commissioning Report to NSTC OD4 for the periods of 1 January through 30 June and 1 July through 31 December. This report must be submitted no later than 15 days after each reporting period.

3. STA-21 (Nuclear Option) Grade Reports and Degree Plans. These requirements apply only to STA-21 Nuclear Option (STA-21(N)) schools:

   a. Initial Degree Completion Plan (DCP). STA-21(N) OCs are required to follow an approved DCP. During their first term, the OC and NPO shall prepare an executable DCP for submission to Chief of Naval Operations (OPNAV) N133. The initial DCP shall
be submitted by the PNS to OPNAV N133 via NSTC OD1 for approval with the routine end-of-term grade report following the first summer or first fall semester. The DCP shall contain:

(1) Name, major, and graduation date of OC.

(2) All courses planned for completion of degree. Non-technical courses and all electives may be generalized (e.g. Humanities – 3 credits). Course grading and credit requirements are set forth in Chapter 4 and apply to all courses for which the STA-21(N) OC is enrolled.

(3) Minimum of two semesters (3 quarters) each of calculus and calculus-based physics. Advanced Placement transfer credit is acceptable, but at least one semester of each subject shall be taken in a classroom environment.

(4) Full-time enrollment each semester, typically a minimum of 15 hours per term.

(5) Minimum 15 hours per week study monitored by class advisor. Experience has proven that some students have difficulty with the initial transition to the college academic environment following the accelerated pace of the nuclear propulsion pipeline. PNS may remove OCs in good academic standing per program requirements after the first fall term.

(6) For students with previous college credit, report STA-21(N) program GPA only.

b. DCP Revisions. Once approved, the DCP must be followed explicitly. Proposed changes to DCPs may be submitted independently or as a part of the routine end-of-term grade report. Requests for changes must be submitted in a timely manner to facilitate term registration. Use of telephone or electronic means for initial notification is encouraged, but formal written notification and approval will follow in all cases. Prior approval for changes must be obtained as follows:

(1) PNS may approve changes to non-technical electives, changes in the timing of technical courses, or swapping one technical elective for another that fulfills the same requirement.

(2) OPNAV N133, via NSTC OD1, must approve in advance all changes which result in decrease in the number of technical credits, change in major, change in graduation date, or less than full-time enrollment status. Students must not act on
changes to DCPs until they have been approved by NSTC OD1 and OPNAV N133.

c. End-of-term Grade Report. At the end of each academic term, including summer sessions, the PNS shall submit an End-of-Term Grade Report to OPNAV N133 via NSTC OD1. This report is due within two weeks of the start of the next academic term and shall include:

(1) Updated DCPs for each OC.

(2) Descriptions of any changes to the DCPs which were authorized by the PNS and any which require OPNAV N133 approval along with justification.

(3) Details of actions taken for any OC who failed to meet program standards, as discussed in Chapter 4, including any issues not requiring a PRB.

(4) Grade Summary Report listing term and cumulative GPAs for each student to include a remarks column summarizing corrective actions if applicable.

(5) Reports of any PRB with PNS endorsement. For issues requiring a PRB, see Chapter 8. PRBs that result in disenrollment must contain a recommendation regarding the OCs suitability for duty in the Naval Nuclear Propulsion Program (NNPP) as an enlisted member. If a PRB has not been conducted by the submission deadline, the grade report shall indicate when the PRB is scheduled. The PRB report, as described in Chapter 8, must be submitted to OPNAV N133 via NSTC OD1 within one month of the commencement of the next academic term.

(6) Copies of any letters of warning or probation.

(7) Any non-academic issues that might preclude normal academic participation or commissioning in the unrestricted line shall be addressed in the grade report. Copies of medical reports concerning disabling diseases or injuries must be forwarded to NSTC OD1. Inability to maintain physical qualifications may result in disenrollment and a return to enlisted status.

d. Withdrawals. Prior to withdrawing from any technical course, approval must be obtained from OPNAV N133, via NSTC OD1. Justification for withdrawal must be provided as part of this request. Withdrawal from a course to prevent a poor grade is
not considered adequate justification. Withdrawal from non-technical courses may be approved by the PNS provided the student maintains a full-time enrollment status.

e. **Disenrollment.** Disenrollment is discussed in Chapter 8. The following guidelines are specific to STA-21(N) OCs. Disenrollment is within the purview of NSTC OD1, CNSTC, and OPNAV N133, who may direct such action for academic reasons, disciplinary and civil problems, or PNS recommendation.

(1) Should issues arise which the PNS feels might warrant disenrollment, the PNS shall immediately provide details and recommendations to NSTC OD1. If disenrollment is recommended, the PNS shall make a specific recommendation regarding the OC’s suitability for duty in the Nuclear Propulsion Program as an enlisted member.

(2) STA-21(N) OCs who are disenrolled for any reason may not remain in the core program and are not eligible for transfer or acceptance to any other commissioning program. They will be screened for return to the enlisted nuclear propulsion training program, or assignment to the fleet in either a nuclear or non-nuclear assignment, as determined by OPNAV N133.

(3) In the case of a recommendation for disenrollment, pending OPNAV N133 approval, the OC shall enroll in the next academic term, unless this is prohibited by university regulations. The PNS shall discuss future enrollment intentions with NSTC OD1 immediately following a recommendation for disenrollment.

(4) Upon receipt of disenrollment authorization from OPNAV N133, either in writing or by phone, the PNS will immediately issue an Availability Report. This report will be in message format as described by MILPERSMAN 1300-800. NSTC shall be an information addressee.

(5) Upon receipt of authorization to disenroll the OC from the STA-21(N) program, NSTC OD4 shall initiate administrative processing and disenrollment from STA-21 as described in Chapter 8.

**6-24 MIDN Subsistence and Training Pay**
1. NROTC Scholarship and College Program (Advanced Standing) MIDN are entitled to subsistence throughout the school year and active duty pay while participating in summer cruise.

2. The period of subsistence is established in OPMIS for all eligible students. The "from" and "through" dates for all eligible students shall reflect the host university's official first and last day of class, however they may reflect the freshman orientation date for those students who attend. MIDN summer pay, subsistence and book stipends are processed through the Student Manager Program, Pay and Account Information.

3. MIDN are authorized 80% advance pay for summer training. The unit must input the initial training dates at least three weeks prior to travel in order for MIDN to receive advance pay. The final training dates must be entered into OPMIS manually upon completion of summer training. Failure to process the final training dates will result in a debt owed to the Government. When a student is in an overpaid status, the student's subsistence and book stipend will be adjusted or stopped by the unit Supply Technician.

4. Normally, the NROTC unit can reconcile MIDN pay problems by reviewing the monthly DFAS Defense Joint Military Pay Systems - Reserve Component (DJMS-RC) ROTC Monthly Status and Payment Report and the MIDN’s Leave and Earnings Statement. To resolve pay problems, the unit shall contact DFAS-Cleveland, Reserve Pay Matters, Code PMMD. In the event the pay problem cannot be corrected through DFAS, the unit shall contact NSTC OD4. Additional guidance may be found in the OPMIS Reporting Requirements and Considerations, NROTC Training Manual, and the OPMIS NROTC Training Manual.

6-25 Summer School Tuition

1. Authorization. OCs are required to attend school on a year-round basis. However, MIDN do not normally attend summer school because this time is normally reserved for Summer Training. Provided that the MIDN’s advisor has recommended in writing that the MIDN’s attendance at summer school to fulfill the MIDN’s degree plan, the PNS has the authority to approve MIDN attendance at summer school under one of the following circumstances:

   a. When specific courses are an integral part of the major field of study required for the baccalaureate degree but are not offered during the regular academic year.
b. When attendance at summer school will advance the normal commissioning date and will not preclude meeting Naval Science and cruise requirements. Normal commissioning date means finishing a prescribed curriculum in the time prescribed by the institution. For example, the MIDN is in a normal eight semesters/twelve quarter curriculum and they take summer school, the MIDN can be commissioned in seven semesters/eleven quarters.

c. If courses are required to be repeated due to injury or illness which prevented completion of the scheduled courses during the regular academic year, provided such absence was approved by school officials and the PNS.

d. When schools have attendance at a summer session as a degree requirement. At institutions operating an accelerated program or cooperative study programs, students who register and participate in NROTC unit training during summer terms may be paid subsistence allowance during such period subject to the limitations for the basic and advanced courses.

2. No Authorization. Summer school tuition is not authorized for a MIDN who needs to make up credits to graduate on schedule because he or she dropped or failed courses, lost credit due to change of major, did not carry a large enough class load, or has a substandard GPA.

3. Payment of summer school tuition does not extend a MIDN’s obligation to the government.

6-26 STA-21 Tuition Authorization and Reimbursable Expenses

1. STA-21 OCs are authorized to receive up to $10,000 each calendar year in advanced educational assistance. All tuition authorized must be recorded by the unit Supply technician on a STA-21 Tuition Authorization Form. STA-21 OCs are also eligible to claim reimbursement for books and consumable supplies necessary for their education by completing a Claim for Reimbursement for Expenditures on Official Business (SF 1164).

2. When claiming books and miscellaneous expenses, the OC must provide receipts indicating the costs incurred to the designated NROTC/university staff member. The staff member will then prepare the SF 1164 via the FastSite (WAWF), which requires the OC’s signature and an authorizing official’s signature. Once the SF 1164 is processed by NETPDTC N811, DFAS will make an EFT payment directly to the OC. Specific requirements and
allowances for reimbursement are listed in OPNAVINST 1420.1 series. STA-21 tuition, books, and fee payment questions may be directed to NSTC OD8 or NETPDTC Code N811.

6-27 Foreign Language Testing and Registry

1. NROTC units are required to screen all students for language skills. Those who self-identify skills in a language listed on the Navy Critical Language list and for which a test exists must be tested prior to commissioning.

2. Screening and testing for MIDN shall take place at the beginning of the senior year, to include STA-21 and MECEPs who have not already been tested. This timeline ensures that the Defense Language Proficiency Test (DLPT) scores (which are valid for one year) remain valid through the commissioning date.

3. All screening and testing shall be conducted by the unit Test Control Officer (TCO). Once the screening and testing has been completed, the results shall be entered in OPMIS.

4. Maritime academies may submit SSOP /USNR foreign language screening and test results using a spreadsheet. This report shall be maintained as current as possible.

5. Students may be eligible for Foreign Language Proficiency Pay, provided they meet the requirements in current SECNAV instructions.

6-28 Leave of Absence (LOA). A LOA is, in effect, a suspension of NROTC benefits and obligations. While it may be requested in some situations, it may be required in others.

1. Typically, LOAs do not apply to MECEP and STA-21 OCs because of their active duty status. However, they may make requests for overseas study, internships, personal hardship, or medical reasons. Requests shall be by standard Naval letter from the OC to CNSTC via the PNS and NSTC OD4. For STA-21(N) OCs, requests shall be approved by OPNAV N133 via NSTC OD1 prior to forwarding documentation to NSTC OD4. MECEPs forward their request to MCRC via NSTC OD.

2. In the event a MECEP requires longer than four years to complete their degree, an extension will be requested by standard Naval letter, with justification, from the MECEP to MCRC via NSTC OD.
3. OCs may only pursue an internship if it is a degree requirement and does not delay commissioning. All internships for STA-21 OCs shall be conducted near the host institution. MECEP OCs approved for overseas study will be considered to be on unofficial travel status.

4. Types of LOA. There are several types of LOA. Each type may require additional consideration, supporting documentation or endorsements.

   a. Academic. Immediately following a PRB, the PNS has the authority to place a MIDN on LOA for reasons such as unsatisfactory academic performance, non-completion of calculus or physics, or having less than full-time student status. Academic LOA will result in forfeiture of benefits for the term of the LOA and are not eligible to be paid retroactively.

   b. Disciplinary. Disciplinary LOAs may be assigned to address issues such as a breach of NROTC core values or misconduct. Disciplinary LOA will result in forfeiture of benefits for the term of the LOA and are not eligible to be paid retroactively.

   c. Aptitude. An Aptitude LOA may be assigned to address issues such as substandard professional performance, physical fitness, or weight control. Aptitude LOA will result in forfeiture of benefits for the term of the LOA and are not eligible to be paid retroactively.

   d. Overseas Study and Internships. Students may request LOA to participate in professional programs overseas. LOA for overseas study, with and without benefits, is discussed in Chapter 7.

   e. Personal. Upon the student's request, the PNS has the authority to assign an LOA for personal hardship or other reasons found compelling by the PNS in his/her reasonable discretion.

   f. To Fulfill Program Requirements. Some students may require additional time in order to fulfill specific program or professional requirements such as, calculus, physics, Good-Low PRT, U.S. Coast Guard License, or Summer Training. If the LOA extends the graduation date or the commissioning date, the PNS shall notify NSTC OD by mail or email as soon as the requirement is known and update the OPMIS graduation date.
g. Medical. Students who are unable to meet program requirements due to medical conditions may be granted a Medical Leave of Absence (MLOA).

(1) MLOA may be initiated by the student or the PNS. If initiated by the PNS, the PNS will provide the student written notice of initiation of the MLOA process requiring the student to submit written medical information within 30 days of receipt that either supports or contests granting an MLOA.

(2) The PNS shall provide BUMED via WebWave all medical information and the non-medical assessment of the student.

(3) The PNS shall seek to obtain BUMED’s recommendation on the need for an MLOA no later than the date when the next tuition payment for that student is due. The PNS may consult with BUMED at any point in the MLOA process but shall not request a written recommendation from BUMED until the student’s 30-day window for providing information has expired or the student has provided complete medical information, whichever comes first. BUMED shall consider all relevant information, including that provided by the student and provide its recommendation on a Statement of Physical Qualification for Continuation.

(4) Upon receipt of BUMED’s recommendation, the PNS shall immediately assign an MLOA to any MIDN who BUMED has determined does not meet the required physical standards for retention.

(5) The PNS may not extend or remove a student from an MLOA without first consulting BUMED and NSTC OD4. When the PNS requests removal of a student from an MLOA, a clinical abstract of treatment and any special report requested shall be forwarded to BUMED. If the student participated in all assigned classes and laboratory work while on MLOA, the PNS may request retroactive tuition payments from NSTC OD4.

(6) Pregnant students do not need to be placed on MLOA. Students who become pregnant may request to be placed on MLOA per the procedures outlined above. Upon delivery or termination of the pregnancy, the student must send their physical exam to BUMED only if the student was assigned MLOA. The guidance contained in Guidelines Concerning Pregnant Servicewomen, OPNAVINST 6000.1 series, governs participation by pregnant students in unit activities. Normally, the MLOA period will be discontinued approximately 6 to 8 weeks after pregnancy. Students desiring to discontinue officer training due to
pregnancy or birth of a child may request disenrollment per Chapter 7.

h. Graduate Study. Navy Option MIDN may request LOA from NSTC OD4 to participate in professional programs that lead to a graduate degree. LOA for the purpose of graduate study is addressed in Chapter 7.

i. Failure to complete USMC OCS. Should a Marine Option MIDN fail to complete OCS (except for injury), they will be placed on interim LOA until a PRB can be convened. If OCS recommends that the MIDN return and the PRB concurs, then the MIDN will be placed on LOA for one term at which they will be evaluated by MOI/AMOI to determine their progress towards successfully completing OCS. If sufficient progress has been made, the student may be removed from LOA status. If sufficient progress has not been made, the student should remain on LOA. Should OCS not recommend that the MIDN return or the PRB recommends disenrollment, then the MIDN will remain on LOA until the disenrollment has been processed.

5. Duration of LOA; Authority to Grant LOA. The PNS is authorized to grant an LOA (non-medical) of up to one full academic term. LOA requests for more than one academic term can only be granted by NSTC OD. Any extensions to the original authorized term of the LOA must be processed by NSTC OD via NSTC OD4, who shall issue a determination in writing. No LOA may be extended to more than two years. An already authorized LOA may be extended in writing by NSTC OD to permit the student to engage in charitable and humanitarian work.

6. Processing LOA Authorizations

a. LOA Request. LOA requests and requests for extension of an LOA shall be made using standard Naval letter format. If made by the student, the LOA request shall be processed via the PNS. The PNS shall include a statement of facts, a justification for why the LOA is requested and a current transcript and DCP.

b. Time for Decision. The PNS shall process all LOA requests as soon as possible and make a determination on each request no later than when the next tuition payment is due.

c. Notice to Student. The PNS shall notify the student of the terms and conditions of the LOA in a written notice that states the duration and requirements of the LOA and actions,
including disenrollment that may be taken if the MIDN fails to comply with the LOA’s provisions. The notice shall state that the MIDN will be personally responsible for payment of any tuition, fees, and other educational expenses incurred during any academic term on which the student is on LOA and that, except as noted in Chapter 9, such expenses are not payable by NROTC.

d. **LOA Terms and Conditions.** The LOA may require the MIDN to attend Naval Science classes and participate in unit activities at their own expense while on LOA, if the PNS so directs. The PNS may require MIDN who have been placed on LOA for reasons of aptitude to participate in NROTC activities so they can continue to observe the MIDN’s performance.

e. **End of LOA.** The PNS will notify the MIDN by letter when they are removed from LOA status.

f. **OPMIS Entries.** If the LOA is approved, the PNS shall ensure that following actions are taken as soon as possible:

1. The unit enters the LOA code and begin date in the OPMIS Miscellaneous Form, which will also change the Subsistence Form.

2. DFAS is notified of the student’s LOA status. Similarly, the PNS shall ensure that the unit timely enters the LOA end date in the OPMIS Miscellaneous form and timely notifies DFAS of the return of the student from LOA.

7. **Effect of LOA on NROTC Program Benefits.**

   a. NROTC shall not pay or be obligated to pay any financial benefits, including tuition, fees, stipend or subsistence payments for any MIDN during the time he or she is on LOA. Payments for such benefits shall be suspended as of the effective date of the LOA.

   b. MIDN on LOA status are responsible for all tuition and fees and will not receive subsistence payment.

   c. NSTC OD in their reasonable discretion may authorize retroactive payments of tuition benefits for students placed on LOA because:

     1. Transitory physical conditions render them temporarily physically disqualified (MLOA).
(2) Extraordinary circumstances beyond the student’s control, as reasonably determined. A condition of making such payments shall be that the MIDN attends class and meets all other program requirements.

d. MIDN on LOA do not incur any additional service obligation.

e. The PNS shall ensure that MIDN on LOA are notified of any recommendation for commissioning and all other administrative actions at the same time and in the same manner as for all other NROTC students.

6-29 Student Awards

1. PNS shall recognize outstanding performance. Awards and formal recognition to NROTC students shall be initiated by unit awards boards or similar staff bodies and given for excellence of performance in these categories:

   a. Academic excellence.

   b. Performance of duty/military aptitude.

2. The PNS shall present the majority of NROTC awards at an annual formal ceremony to which parents and relatives of MIDN and OCs, distinguished members of the local university, civilian, and military communities, and representatives of presenting organizations are invited to attend. Representatives of presenting organizations shall be invited to attend and participate in the ceremonies.

3. A list of awards for which students may be nominated is contained in Appendix E of this manual.

6-30 Service Assignment Survey

1. The Service Assignment Survey is normally conducted during April of each year. It is used by NSTC, OPNAV N13, and NPC to anticipate Navy Option MIDN and STA-21 OC graduation dates and determine designator production goals for service assignment. This survey is required for Freshman, Sophomore and Junior classes.

2. When conducting the Service Assignment Survey, each NROTC unit is required to update or validate the “Naval Science year” and “date of commission” as listed in the OPMIS Student Data
File. In addition, each unit must enter the “first choice designator” for each student in the Service Assignment Form. Although Aviation Selection Test Battery (ASTB) scores and other program requirements may not be completed yet, the unit shall not allow a student to choose a community for which they have already been disqualified.

6-31 Pre-Service Selection/ Military Occupational Specialty (MOS) Assignment Requirements

1. Student Naval Aviator (SNA) (1390) and Student Naval Flight Officers (SNFO) (1370). The following considerations apply to SNA (1390) and SNFO (1370). These requirements are subject to change based on the most recent Program Authorization. Additional information for ASTB and pre-commissioning physicals can be found at the NOMI website.

   a. Age. Navy applicants must not have passed their 27th birthday when commissioned. SNA (1390) may be granted an age waiver up to their 29th birthday, and SNFO (1370) may be granted an age waiver up to their 31st birthday for active duty prior service. Marine Corps applicants must not have passed 27 1/2 at the time of commissioning. An age waiver may be granted up to their 29th birthday for all Marine Corps applicants including prior service.

   b. Pre-commissioning physical. The PNS must ensure the applicant has an approved NOMI pre-commissioning physical.

   c. Education. A cumulative 2.5 GPA is required on a 4.0 scale.

   d. ASTB Scores

      (1) For Navy applicants, the following scores are required:

         (a) Pilot: AQR 4/PFAR 5

         (b) Naval Flight Officer (NFO): AQR 4/FOFAR 5

      (2) For Marine Corps applicants, the following scores are required:

         (a) Pilot: AQR 4/PFAR 6

         (b) NFO: AQR 4/FOFAR 6
The ASTB exam can be retaken as long as the first retest occurs no sooner than the 31st day following the first administration and the second and final retest occurs no sooner than the 91st day following the second administration (test may only be taken 3 times). The most recent score is used.

e. Introductory Flight Screening (IFS). An IFS is required, post-commissioning, by both USN and USMC. IFS is managed by Naval Aviation Schools Command (NASC) and conducted at Quantico, Annapolis, and Pensacola.

f. Special Operations (SPECOPS) (1190) and Special Warfare (SPECWAR) (1180). The following considerations apply to SPECOPS (1190) and SPECWAR (1180). These requirements are subject to change based on the most recent program authorization. Applications for SPECOPS and SPECWAR are due to NSTC OD4 IAW the annual Service Assignment OPMIS message.

   (1) Physical Fitness. Applicants must pass a physical fitness test established by the SPECOPS/SPECWAR community and administered according to their policy.

   (2) Pre-commissioning Physical. Applicants must be physically qualified by BUMED with no history of back or knee problems.

   (3) Unofficial Transcript. Applicants must submit a current transcript with their application.

   (4) Letters of Recommendations. Applicants should obtain letters of recommendation from current or former SPECOPS or SPECWAR officers to be included in the application.

   (5) Top Secret Security Clearance. Because SPECWAR/SPECOPS require eligibility for a top secret security clearance, applicants shall have a SSBI initiated before the start of their junior year.

   (6) Personal Essay. Applicants must submit a personal essay that addresses their work history, athletic achievements, extracurricular activities, leadership potential, and interest in either SPECOPS or SPECWAR.

   (7) PNS Interview. Application shall be strongly endorsed by the PNS, ranking the applicant in the top 25 percent of their class. The PNS must screen the applicant for physical
fitness and comment on the applicant's suitability for either designator.

(8) Choices. Sea/Air/Land (SEAL) community manager shall only consider those students listing 1180 as their first choice. Explosive Ordinance Disposal (EOD) community manager shall only consider those students listing 1190 as their first choice and 1190 as their second choice only if 1180 was their first choice. A duplicate package is required for those students listing 1180 as the first choice and 1190 as the second choice, so one may be forwarded to each community for consideration. NSTC OD4 will forward applications to appropriate community managers.

g. Information Warfare (1810). This designator applies only to those MIDN assigned RL and found not physically qualified (NPQ) for the URL or STA-21 Target Option. Because this designator requires eligibility for a top secret security clearance, applicants shall have an SSBI initiated before the start of their junior year.

h. Civil Engineering Corps (CEC) (5100). This designator applies only to those MIDN found not physically qualified for the URL or STA-21 Target Option.

i. Medical Officer (1970/5) and Dental Officer (1980/5). These designators apply to officers attending medical school on active or reserve duty. Officers designated as 1970 or 1980 will attend USUHS while on active duty. Officers designated as 1975 and 1985 will participate in the AFHPS program as reserve officers. During service assignment, MIDN wanting to be considered for medical or dental officer must choose the respective designator as their RL choice. The NSTC Service Assignment Panel will consider the medical board recommendations when assigning designators. Applicants not accepted for Armed Forces Health Professions Scholarship (AFHPS) or Uniformed Services University of the Health Sciences (USUHS) no later than 1 June of their senior year must accept an URL designator. Those selected for 1970 or 1980 are commissioned as regular officers. Those selected for 1975 or 1985 are commissioned as reserve officers. Units must notify NSTC OD4 immediately upon notification of acceptance to either USUHS or AFHPS or in the case of non acceptance to either program.

6-32 Service Assignment
1. Service Assignment is the process by which Navy Option MIDN, STA-21 OCs, and SSOP/USNR MIDN are assigned community designators. Marine Option MIDN and MECEPs do not receive a MOS until they complete The Basic School unless Marine Guaranteed Flight Option. Commissioning scrolls, as discussed in Section 650, are required before any regular or reserve officer is commissioned.

2. Service Assignment is conducted by NSTC OD based on Navy needs and input provided by the student and the unit. The information used to assign a designator will come from the OPMIS Service Assignment Form and the Service Assignment Packages. NSTC OD conducts a Service Assignment Panel that recommends designator assignment to CNSTC for approval.

3. The OPMIS Service Assignment Form is the primary source of information for the actual assignment of designators for NROTC Navy Option MIDN and STA-21 OCs and is derived directly from the student data file in OPMIS. Although some changes may be made at a later date, it is imperative that all student information such as Designator Choices, actual Date of Graduation/Commissioning, ASTB scores, and Physical Qualification Status be as accurate as possible prior to pulling the verification report. When reviewing and updating the student information contained in OPMIS, the following considerations apply:

   a. Units shall verify completion and accuracy of race, sex, ethnicity, dependents, marital status, home of record, required university and Naval Science courses, Summer Training, degree major, cumulative GPA including Naval Science courses, last term aptitude scores, ASTB scores, security clearance status, status of pre-commissioning physical, commissioning date, graduation date, and PNS/Board points.

   b. Units shall verify that PNS/Board points have been assigned. All students MUST be ranked. PNS/Board points are assigned by the PNS and shall reflect the MIDN’s or OC’s overall aptitude, performance, or potential. When assigning PNS/Board points, the PNS shall consider 100-95 as outstanding, 94-90 as excellent, 89-85 as above average, 84-75 average, 74-65 as below average, etc. MIDN and STA-21 OCs are ranked separately (Nurse Options shall also be ranked separately). Only one MIDN or OC within the same category may be awarded 100 points. If the PNS points are missing, the student's name may fall to the bottom the list.
c. Each student must select five URL designators and one RL or SC designator. The URL must include 1160, 1370 or 1390 and 1160N or 1170. The RL or SC choice is required in case the student is found NPQ for the URL at a later date. If the student has been notified by BUMED that they are NPQ for the URL prior to service assignment then the student may choose three designators, from the RL or SC. Ensure the reason for NPQ has been entered (e.g., color blind, knee problem, vision not correctable to 20/20, etc). The **PNS must certify the applicant is physically qualified for their first choice designator**.

d. The deadline for final update of the OPMIS Service Assignment Form will be provided annually by OPMIS Official Mail Message.

e. After the deadlines established by NSTC OD4 have passed, all service assignment data is transferred to the master Service Assignment File maintained by NSTC OD4. Any future changes must be emailed to NSTC OD4.

4. Service Assignment Packages

A Service Assignment Package is required for all NROTC Navy Option MIDN and STA-21 OCS. SSOP/USNR MIDN who request active duty shall follow guidance in Chapter 7. Additionally, a Service assignment package is required for all MECEP students that have been approved to commission into the Navy. Once the Service Assignment File has been updated, a Service Assignment Package must assembled per the below guidance.

a. Content of Individual Packages

All Service Assignment Packages shall include the following documents:

1. OPMIS Service Assignment Unit Verification Report (GF3OR17). This report is available as soon as the file is transferred to NSTC OD.

2. Service Assignment/Duty Preference Form Transcript (Official, if at all possible).

3. Proof of pre-commissioning physical, (i.e., DoDMERB-approved [DD-2808](#) or BUMED/NOMI waiver). If not approved by the date of mailing, the PNS shall indicate physical status in the remarks section of the Service Assignment Duty Preference Form. For example:

   (a) "Pre-commissioning physical taken 21 May XXXX and submitted to BUMED. No indication of disqualifying physical defects."
(b) "Pre-commissioning physical scheduled 21 September XXXX. Entry physical and subsequent annual certifications of physical status indicate no disqualifying defects will be found."

(4) Any application or amplifying documents required for certain requested designators.

b. Administrative Processing by the Unit. All Service Assignment Packages shall be consolidated at the unit and forwarded to NSTC OD4 per the guidance below:

(1) Print an OPMIS Service Assignment Unit Verification Report, GF30R17 for each participating student and attach it to the top of each Service Assignment Package.

(2) Prepare one cover letter listing the name and SSN for each service assignment package. Ensure that each package beneath the cover letter is stapled separately. NROTC units with SSOP/USNR MIDN may be required to prepare two or three separate cover letters. One cover letter shall address all NROTC MIDN. The second cover letter shall address all STA-21 OCs (target and core option/MECEP approvals). The third cover letter shall address SSOP/USNR MIDN who request active duty.

(3) IRR Hardship Waiver and Volunteer Requests as well as all Graduate Study LOA Request Notifications should be submitted with the service assignment package.

(4) The deadline for final update of the OPMIS Service Assignment Form will be provided annually by OPMIS Official Mail Message.

c. Students will normally be assigned a URL designator upon commissioning. Specific goals and policy decisions regarding assignments to URL and RL/SC designators are promulgated annually by NPC based on the needs of the Navy. PERS-8 will provide the unit the commissioning documents needed for officer appointment, provided the student's name appears on an approved regular or reserve commissioning scroll provided by OD4.

6-33 Individual Ready Reserve (IRR). Some Navy Option NROTC commissionees may be required to commission into the Individual Ready Reserve (IRR) prior to beginning active duty and community-specific post-accession training. NSTC will provide information regarding the IRR, including the policies, processes, and schedule for that year-group. CNSTC will review
and be the approval authority for hardship waivers requesting exemption from the IRR.

6-34 Marine Guaranteed Flight Option

1. Service assignment or duty assignment for MECEPs and Marine Option MIDN is normally conducted at The Basic School. However, MECEPs and Marine Option MIDN may apply for guaranteed assignment to an aviation MOS after they have completed one year in the NROTC program. The goal of this program is to pre-designate students for aviation service a few years out from their commissioning in order to best control and anticipate recruiting requirements.

2. Marine Option MIDN previously awarded an aviation guarantee through another source (e.g. PLC, OCC) must reapply. The application package must be endorsed by the Marine Officer Instructor (MOI) and PNS and forwarded directly to MCRC (ON/E) no later than 30 September.

3. Application packages shall include:
   a. Minimum ASTB score of 4/6/6. A one-point waiver may be granted by MCRC on request.
   b. Student Naval Aviation Training Agreement
   c. NROTC Information Sheet.
   d. Official Photograph NAVPERS 1070/884.
   e. Information Sheet (NSTC 1533/62).
   f. DoDMERB physical (unless a senior).
   g. Eye refraction with depth perception and color blind testing.
   h. Report of Medical Examination DD 2808.
   i. Anthropometric Data Record, NAVMED 6410/9.
   j. Contact Lens Statement.

4. Typically, aviation guarantees shall be granted freshman through junior year. However, the opportunity to commission with an aviation MOS is not completely closed to Marine seniors. If a senior desires an aviation MOS, they need to have a NOMI
approved flight physical with their Request For Appointment (RFA) or commissioning package and meet all other aviation requirements. If billets are available for that Fiscal Year and the student has requested aviation on their RFA, they may be selected for a commission with an aviation MOS. However, the RFA is the final point to request commissioning with an aviation MOS, unless the needs of the Marine Corps dictate otherwise.

6-35 Nuclear Screening and Application

1. The Navy is highly interested in students who want to pursue a career either as a nuclear trained officer in the fleet or as an engineer at Naval Reactors (NR). To increase each student’s opportunity to enter these fields, the PNS shall encourage them to pursue technical majors within Tier 1 and Tier 2 categories, complete calculus and physics requirements early, participate in applicable Summer Training, and apply for an interview at the earliest opportunity.

2. The screening of applicants for nuclear service is in addition to the service assignment procedures. Interested students can obtain a NNPP Application from NSTC OD1 once all calculus and physics requirements are met and the student is within two years of graduation.

3. The following additional documents shall be included with the application upon submission:

   a. Transcript. Each application must include complete transcripts, showing course numbers, course names, and full letter grades. This applies to every collegiate academic institution attended, through the most recently completed term. An updated list of courses will be required prior to attending an interview if the student has not yet completed the current term. Unofficial transcripts are acceptable if verified by a member of the unit's chain of command.

   b. Drug Abuse Statement. A Pre-service Drug Abuse Statement must be signed by the student and a witness, in accordance with OPNAVINST 5355.3B series.

4. Nuclear Propulsion interviews are held in the fall and spring semesters at the NR Headquarters in Washington, D.C. Those students selected to interview for duty will travel to Washington, D.C. for a one-day event consisting of two or three technical interviews and a final interview with the Director of Naval Nuclear Propulsion.
5. Students selected to the Nuclear Propulsion Program as a submarine or surface warfare officer are eligible for the Nuclear Officer Accession Bonus as authorized by OPNAVINST 7220.11C series. The bonus is normally paid within two months of their interview. Those selected to work as a NR Engineer are not eligible for the bonus.

6. Any STA-21(N) OC who is not selected for the Nuclear Propulsion Program, but who is maintaining minimum program academic standards, will be allowed to complete their degree and be commissioned as an unrestricted line officer.

7. A radiation exposure medical examination in accordance with NAVMED P-5055 shall be conducted at the earliest opportunity. To the extent possible, the PNS shall ensure students are physically qualified for nuclear duty prior to interviewing. NR Engineer applicants need not be physically qualified for URL commissions, but must be qualified for the RL.

6-36 Service Assignment for SSOP/USNR MIDN. Most SSOP/USNR MIDN are service assigned for reserve commissions at their unit (based on the education, training and licensing they received), however a limited number of active duty URL designator quotas are often available for them, if desired.

1. Active Duty Requests

   a. All SSOP/USNR MIDN who request active duty must submit a service assignment package to OPNAV N132 via NSTC OD4 per the service assignment timelines in this paragraph. In doing so, each maritime academy shall rank order their MIDN per first choice designator. For example: SWO 1-4. etc. OPNAV N132 finalizes the SSOP/USNR MIDN active duty service assignment list. As a result, these packages are required to be submitted no later than 10 January to NSTC OD4 for the spring graduation. Service assignment packages received after that date may jeopardize the student’s opportunity for active duty assignment. Upon receipt of the service assignment package, OPNAV N132 will liaison with each community manager to determine service assignment. The results will be passed to NSTC OD4 and each maritime academy.

   b. Active duty quotas for SSOP/USNR MIDN are established annually by NPC. Selection is not guaranteed and is dependent on qualification, class ranking, and Navy needs.
c. When preparing commissioning scrolls, SSOP/USNR MIDN who request active duty must appear on both the regular and reserve commissioning scrolls. This is required because not all SSOP/USNR MIDN who request active duty are approved for active duty when commissioning scrolls are due.

d. The commissioning package shall include:

   (1) Application Control and Processing Record (NAVCRUIT 1100/14).

   (2) Application for Commission or Warrant Rank (NAVCRUIT 1100/11).

   (3) Certificate of Release or Discharge from Active Duty DD Form 214.

   (4) Statement of Contingent Release (required if applicant is a member of a reserve component other than the SSOP/USNR MIDN Program).

e. The following documents must be included in all SSOP/USNR active duty service assignment packages:

   (1) Application for Recall to Extended Active Duty NAVPERS 1331/5.

   (2) Service Assignment Duty Preference Form.

   (3) Unofficial Transcript.

   (4) Proof of pre-commissioning physical, i.e., DoDMERB-approved DD 2808 or BUMED/NOMI waiver.

   (5) A personal essay that addresses work history, personal achievements, extracurricular activities, leadership potential, and interest in the community.

   (6) An application or any documents required for certain designators.

f. SSOP/USNR MIDN who seeking an appointment as a commissioned officer in another uniformed service must be accepted by the other service and conditionally released from the SSOP/USNR MIDN Program. If approved, the MIDN shall be disenrolled and discharged from the Naval Service the day preceding appointment in the other service. If disapproved, the
MIDN remains obligated to accept a USNR/SSOP commission if qualified for active duty assignment.

2. Reserve Duty Requests

   a. SSOP/USNR MIDN are service-selected depending on their maritime training and Navy needs. This assignment is non-competitive and depends solely on the MIDN’s education and training.

   b. All documents required for commissioning shall be forwarded to PERS-8 at least six months prior to the MIDN’s commissioning date. At the same time, all reserve commissioning scrolls shall be prepared by the unit and forwarded to NSTC OD4.

   c. The commissioning package shall include an Application Control and Processing Record (NAVCRUIT 1100/14).

   d. Upon receipt of the commissioning package, PERS-8 shall mail the maritime units the commissioning documents required for officer appointment.

6-37 Commissioning Scrolls

1. Initial accessions are commissioned as regular or reserve officers. All officer accession sources must prepare commissioning scrolls for Secretary of Defense (SECDEF) approval. This requirement applies to officers of the armed forces.

2. Navy Option MIDN and STA-21 OCs/approved MECEP students are added to the regular and/or reserve officer commissioning scroll based on their estimated commissioning date, as reflected in OPMIS and verified by the NROTC unit. NSTC OD4 pulls this information from OPMIS during Service Assignment, prepares the initial scroll, and sends it to each NROTC unit for verification. Verification and notice of any changes must be e-mailed to NSTC OD4 by the PNS followed by receipt acknowledgment. When verifying commissioning scrolls, the PNS must include those Navy Option pursuing service assignment as medical or dental officer (1970/5 or 1980/5) on both the regular and reserve officer scrolls (as they may be selected to either community). Note: **PNS shall verify every MIDN or OC listed on the scroll.** NSTC OD4 will forward the scroll to OSD via CNSTC and CNP. MIDN and OCs who do not receive SECDEF approval prior to graduation will not commission on time.
3. Since SSOP/USNR MIDN data are not maintained in OPMIS, their names are added to the regular and/or reserve commissioning scrolls based on the maritime academies’ input to NSTC OD4. The PNS/OIC of NROTC and SSOP/USNR MIDN may be responsible for preparing and verifying as many as four distinct commissioning scrolls: NROTC (regular and reserve), and SSOP/USNR (regular and reserve). The PNS/OIC is responsible for providing scroll input to NSTC OD4 during service assignment. Shortly thereafter, NSTC OD4 will return the commissioning to the PNS/OIC for verification. Verification and notice of any changes must be emailed to NSTC OD4 followed by receipt acknowledgment. NSTC OD4 shall forward the scroll to the Office of The Secretary of Defense (OSD) via CNSTC and CNP. When preparing or verifying commissioning scrolls, the PNS/OIC shall include those MIDN pursuing Recall to Active Duty on both the regular and reserve officer commissioning scrolls, as they may be selected to one or another community. An SSOP/USNR MIDN who does not receive SECDEF approval prior to graduation will not commission on time.

4. Marine Option and MECEP are added to the regular officer commissioning scroll by MCRC based on information contained in Marine Corps Recruiting Information Support System (MCRISS). Annually in October, NSTC OD4 pulls the estimated commissioning dates for the next two fiscal years contained in OPMIS and forwards it to MCRC (ON/E). MCRC ensures all members on the OPMIS pull are scrolled. MCRC (ON/E) shall forward the scroll to SECDEF.

5. Once PERS-8 or MCRC (ON/E) receives the SECDEF approved scrolls, commissioning packages are mailed to the NROTC units or maritime academies.

6-38 Commissioning Procedures

1. The Officer Appointment Acceptance and Oath of Office (NAVPERS 1000/4) or USMC Appointment Acceptance and Record (NAVMC 763), is mailed to each NROTC unit and/or maritime academy by PERS-8 or MCRC (ON/E). Upon receipt, the PNS/OIC shall verify the name, commissioning date, and designator listed on each document. The commissioning document is prepared for the requested date of appointment and may not be used for any other date. PNS/OIC shall report any errors found on the commissioning document, to include misspelled words to NSTC OD4 or MCRC (ON/E) immediately. Signatures must match the typed name on the commissioning document.
2. The PNS/OIC is responsible for certifying that the student is fully qualified to be commissioned. Under no circumstance shall a student be commissioned while awaiting trial, on probation, or serving a suspended sentence without concurrence from NSTC OD or MCRC (ON/E). If the student cannot be commissioned on the date printed on the commissioning document, the PNS/OIC must return the NAVPERS 1000/4 or NAVMC 763 to PERS-8 or MCRC (ON/E), as appropriate and notify NSTC OD4.

3. Graduating students and advisors must review the MIDN’s aptitude evaluations during the pre-commissioning counseling session.

4. NROTC MIDN, OCs, and SSO/USNR MIDN may be commissioned upon successful completion of the following requirements:

   a. Prescribed university and Naval Science courses.

   b. Naval Science Laboratory and Command and Leadership Training (CALT).

   c. Summer Training/OCS.

   d. Approved baccalaureate degree.

   e. Proof of pre-commissioning physical (i.e., DoDMERB DD 2808 or BUMED/NOMI waiver).

   f. Department of Navy Central Adjudicating Facility (DONCAF) approved secret security clearance.

   g. United States Merchant Marine Officer (USMMO) License or United States Coast Guard (USCG) License as applicable (SSOPs).

   h. Under no circumstances will a student selected for aviation duty (1370/1390) be commissioned without final approval from NOMI.

5. Commissioning ceremonies are an important and serious occasion. The PNS/OIC shall ensure propriety of the ceremony and ensure all MIDN and OCs meet applicable requirements. U.S. Code, Title 10, Article 1031, requires the administration of the oath of office by a commissioned officer or other person designated by DoD regulations to all persons enlisting in, or appointed to, the U.S. Armed Forces. There can only be one commissioning ceremony. To preserve the dignity of the occasion and to avoid possible legal repercussions, all commissioning
ceremonies must be conducted by a commissioned officer or other authorized designee in accordance with such regulations.

6. The date of rank for NROTC MIDN commissioned as Ensigns or Second Lieutenants between 1 May and 30 June shall be the date of graduation of MIDN from the United States Naval Academy (USNA) that year. Therefore, blocks 14 and 16 of the NAVPERS 1000/4 shall reflect the Naval Academy's commissioning date. The date of rank for STA-21 and MECEP OCs is the date they are found fully qualified for commissioning. The date of rank for NROTC MIDN, MMR SSOP/USNR MIDN commissioned any other time is the date upon which they become fully qualified for commissioning. The PNS/OIC shall ensure the student and witnessing officer sign the NAVPERS 1000/4, thereby acknowledging the actual date of commissioning and commencement of active or inactive duty.

7. In computing the basic pay for a commissioned officer, service as an NROTC MIDN or College Program student is not counted. Moreover, an officer may not be credited with enlisted service performed for the period during which they were a member of the NROTC Program. The time spent on active duty while conducting Summer Training does count toward retirement and retirement pay. However, it is not applicable towards pay or seniority while serving out a commission.

8. The unit must perform final actions in OPMIS:
   a. Enter the appropriate attrition code and date in the Miscellaneous Form.
   b. Enter the student's "Overall Cumulative GPA" (less Naval Science), "Overall Naval Science Grade," and "Total Credit Hours." This data determines the newly commissioned officer’s lineal number.

9. The local PSD or NOSC is responsible for preparing a new Armed Forces Identification Card. Upon commissioning:
   a. Newly appointed Ensigns and MECEP Second Lieutenants shall be issued an active duty Armed Forces Identification Card (unless initial assignment is in the IRR).
   b. Newly appointed Marine Second Lieutenants (non-MECEP) shall be issued a reserve Armed Forces Identification Card upon commissioning.
c. Prior Service. 0-1E pay status shall be established if the service member has four years of continuous active duty service prior to commissioning.

6-39 Disposition of Student File. The Student File will be closed upon commissioning and maintained at the unit for a minimum of two years.

1. Newly Appointed Active Duty Ensigns

   a. The following original or first copy documents, as applicable, are used to create the permanent personnel record. These documents shall be prepared or removed from the Student File and sent to PERS-8. Once received, PERS-8 will forward these documents to PERS-312C to create the officer permanent record:

      (1) The Officer Appointment Acceptance and Oath of Office (NAVPERS 1000/4) (original).

      (2) College Transcript(s). The unit shall provide a copy to PERS-45E. PERS-45E (Vice Registrar, NPS) is now responsible for getting degree and subspecialty data into the officer's permanent record.

      (3) U.S. Coast Guard License (SSOP, USNR only).

      (4) Program Service Agreements (Nuclear, Air, Nurse) as applicable (original).

      (5) NROTC Scholarship/Non-Scholarship Service Agreement, or other applicable service agreements (original).

      (6) Enlistment/Reenlistment Document Armed Forces of the United States (DD Form 4/1) (plus any annexes).

      (7) Administrative Remarks Discharge, NAVPERS 1070/613 (original).

      (8) Certificate of Release or Discharge from Active Duty DD Form 214 or 215, if any).

      (9) History of Assignments, NAVPERS 1070/605 (if applicable).

      (10) Montgomery GI Bill DD Form 2366.
(11) Drug and Alcohol Abuse Statement of Understanding OPNAV 5350/1.

(12) Dependency Application/Record of Emergency Data NAVPERS 1070/602. This form shall be updated upon commissioning.

(13) SGLI SGLV 8286. This form shall be updated upon commissioning.

(14) DONCAF Security Determination.

(15) Classified Information Non-Disclosure Agreement SF 312.

(16) Officer’s Report of Home of Record and Place from Which Ordered to a Tour of Active Duty, NAVPERS 1070/74 (original). Please refer to the JFTR U5345 for guidance on shipment of household goods.

(17) Report of Medical Examination (copy).

(18) Report of Medical History (copy).

(19) NROTC Education Cost Record.

(20) Official Photo. A new full-length photograph wearing Summer Khaki is required for all Navy officers upon commissioning. Detailed guidance is contained in MILPERSMAN 1070-180.

b. All documents shall be securely fastened and mailed to PERS-8. Documents for several officers may be sent in a single mailer "Officer Appointment-do not open in the mailroom.” The following addresses for type of shipment apply:

(1) FEDEX or UPS: Commander, Navy Personnel Command, PERS-8, Bldg. 768, Room N206, 5660 Ticonderoga Loop, Millington, TN 38054.

(2) General Mail: Commander, Navy Personnel Command, PERS-8, 5720 Integrity Drive, Millington, TN 38055-8450.

2. Newly Appointed Reserve Duty Ensigns. The following original or first copy documents, as applicable, are used to create the permanent personnel record. Documents are sorted by destination activity:
a. PERS-8.

   (1) NAVPERS 1000/4, Oath of Office (original).

   (2) Official Transcript of School.

   (3) NSTC 1534/3, Training and Service Agreement (original).

   (4) NAVPERS 1070/613, Discharge to accept Commission.

b. Commander, Naval Reserve Forces Command (COMNAVRESFORCOM) (N14).

   (1) NAVPERS 1070/602.

   (2) NAVPERS 1000/4 (pink copy).

   (3) Copy of U.S. Coast Guard license.

   (4) NAVPERS 1070/74, Officer’s Home of Record.

c. Naval Reserve Personnel Center (NRPC) (N3).

   (1) Medical and dental records.

   (2) NAVPERS 1070/613.

   (3) NAVPERS 1301/4, Officer Qualifications Questionnaire.

   (4) NAVPERS 5720/1, Officer Biography Sheet.

   (5) OPNAV 5350/1, Drug and Alcohol Abuse Statement of Understanding.

3. Newly Appointed Second Lieutenants

   a. Upon commissioning, the Appointment Acceptance Record (NAVMC 763) with proof of degree must be returned to MCRC (ON/E). Also, all newly appointed Second Lieutenants will be discharged from enlisted status to accept a commission in the USMC. The unit shall ensure a NAVMC 763, Proof of Graduation, or DD Form 214, if applicable, is mailed to MCRC (ON/E).

   b. A copy of each document shall be provided to the newly appointed officer. In addition, the newly appointed officer
shall receive all other miscellaneous documents such as travel orders, training certificates, Letters of Commendation, birth certificates, Naval correspondence, completion certificates, cruise orders, and other documents which may be of value. They shall be advised to maintain them for their own personal use and hand-carry them to their first duty station.

c. Newly commissioned Second Lieutenants are eligible to receive TRICARE benefits while they wait (in an inactive-reserve status) to go to The Basic School (TBS). In order to be eligible for TRICARE benefits, the service member must enroll in Defense Enrollment Eligibility Reporting System (DEERS) (coded in DEERS as 001) through their personnel office. Family members are not eligible for TRICARE until the service member reports for active duty (normally when they report to TBS).
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Chapter 7

STUDENT REQUESTS

7-1 Transfers between NROTC Colleges/Universities

1. MIDN may request transfer to other NROTC academic institutions under certain conditions. Due to Permanent Change of Station (PCS) costs and other factors, OCs may not transfer to other institutions unless applying to a cross-town school within the NROTC unit's consortium. Requests are sent to NSTC OD. A MIDN requesting a transfer to any other NROTC institution must:

   a. Be in good standing (e.g., cannot be on academic/aptitude probation or LOA.

   b. Be accepted by the academic institution to which they are requesting transfer. A copy of an acceptance letter must be included in the transfer request.

   c. Provide a written statement of the reason they are requesting a transfer and whether it concerns a proposed course of study (e.g., difficulty of obtaining courses required for degree).

   d. The tuition cost at the next institution should not be significantly higher than the current institution.

   e. The transfer shall not result in a significant loss of credit or delay graduation or commissioning, as evidenced by a Degree Completion Plan (DCP) from the gaining unit. The student must be briefed that requests for extended benefits resulting from the approval of a transfer will not be approved.

   f. Secure a favorable endorsement by the PNSs at both NROTC units. Endorsements should address the above requirements.

2. The gaining unit shall forward the request to NSTC OD via the PNSs at both NROTC units.

3. If NSTC OD approves the request, the transferring NROTC unit shall:

   a. Forward the complete NROTC Student File, Performance File, Medical/Dental Record, and an official transcript to the receiving unit.
b. Transfer the OPMIS Student File by selecting "School Transfer" from the OPMIS menu and entering the new school code. Ensure that all data is current and that all grades are entered. Once the school code is changed, only the receiving unit can access the Student File.

7-2 Fifth-Year Benefits

1. Academic programs which require more than four academic years for completion of baccalaureate degree requirements, including elective requirements of the Senior ROTC course, may be approved. Financial assistance under this section may provide extended benefits during a fifth academic year for programs requiring more than four academic years for completion. This may include regular semesters/quarters or summer sessions beyond Naval Science year four. Fifth-year benefits may be applied only after the student has used all normal benefits.

2. NSTC OD convenes fifth year benefit boards periodically throughout the year. All applications for extended benefit entitlements will be considered on a case-by-case basis. All requests for fifth-year benefits must be endorsed by the PNS and submitted to NSTC OD4. The PNS shall document the school’s specific requirements for degree completion and completion averages for students enrolled in that program. The PNS shall validate all credit figures present in the student’s request. Following the board, NSTC OD shall submit to CNSTC a roster of MIDN who have applied for extended benefit entitlements. The roster will include the MIDN’s name, academic major, institution, host unit, number of months of required extended benefit entitlements, NROTC Option, and NSTC OD’s recommendations. Midshipmen denied fifth-year benefits should be placed on Special LOA as soon as practicable upon expiration of benefits. It is important they receive senior year Naval Science instruction just prior to commissioning. During the intervening time, the student is not required to take Naval Science classes, but should participate in other NROTC activities.

3. NSTC OD will consider academic credits necessary for the academic major, mandatory NROTC credits, and overlapping requirements in determining the necessity for extended entitlements. In general, the board will consider if:

   a. Credits necessary for the academic major
      + mandatory NROTC credits
      - overlapping requirements (i.e. math/physics)
b. Baccalaureate degree requirements necessitate the need for extended entitlements and will not be specific to a school or an individual.

c. PNS should validate all credit figures present in the student’s request. Requests are considered according to the following conditions:

(1) Student must not be enrolled in a dual degree academic program.

(2) Average length of enrollment required by all students to complete a specified program at a specified institution.

(3) Amount of credit Naval Science courses are granted toward degree requirements in a specified program at a specified institution.

(4) Quality of previous academic work.

d. Extended benefits will not be granted in cases of academic deficiency, failure to complete an academic requirement, scheduling conflict created by an avoidable student action, or a change of major that creates a need for additional semester(s).

(1) Students may not be required to enroll in Naval Science courses but shall participate in all other unit activities while receiving extended benefits.

(2) If the student's performance declines while being nominated for fifth-year benefits, the PNS should withdraw the nomination.

4. CNSTC is the approval authority for academic programs’ eligibility and all extended benefit entitlements requests.

5. NSTC OD shall ensure that students who accept extended benefit entitlements execute amended contracts that extend their active duty service commitment to maintain a five-year obligation beyond graduation. Additionally, fifth-year benefits create additional service obligation as follows:
1. MIDN can request a change of option from Marine to Navy in writing per standard Naval letter format. (Refer to Naval Correspondence Manual). The request must enclose a current transcript and a degree completion plan that includes all required courses noted below. Those seeking to change their option must meet the following requirements:

   a. Request is made after completion of one full academic year but no later than the end of the junior year; and

   b. Successful completion or an agreement to complete the following courses:


      (2) Scholarship (except Nurse Option) students: Calculus and calculus-based physics.

      (3) College Program students: College algebra or advanced trigonometry, and physical science. **Note:** Calculus and calculus-based physics is required for consideration for nuclear option.

   c. Successful completion or agreement to complete the following Naval Science courses: Introduction to Naval Science, Sea Power and Maritime Affairs, Naval Ships Systems I (Engineering), and Naval Ships Systems II (Weapons). If these courses cannot be completed at the unit, the applicant must attend NSI. During their junior/senior year, the MIDN must complete the following Naval Science courses: Navigation, Naval Operations and Seamanship, Leadership and Management, and Leadership and Ethics.

   d. Successful completion of the First Class Navy Cruise prior to commissioning.

   e. Statement as to reason for request, career goals, and qualifications to serve as a Navy Officer.
f. MIDN’s must compete for assignment to designator choices during the Service Assignment process.

g. Waivers for required NROTC university courses, Naval Science instruction, and Summer Training must be approved in writing by NSTC OD3.

2. Option change requests shall be processed as follows:

   a. The PNS shall endorse the request and forward it to NSTC OD4 via MCRC (ON/E).

   b. If the MIDN is interested in the nuclear community, NSTC OD4 shall forward the request to NSTC OD1, Nuclear Programs.

   c. If the MIDN seeks a change in benefits status (i.e., scholarship or advanced standing), they must submit the request to NSTC OD2 via MCRC (ON/E) per the procedures outlined in Chapter 3, Selection and Placement.

   d. NSTC OD2 shall hold scholarship and advanced standing boards at least twice a year.

   e. If the option change is approved by CNSTC, NSTC OD4 will enter the appropriate changes in OPMIS.

3. Marine Option students who are approved to become Navy Option students shall be discharged from the U.S. Marine Corps Reserve and enlist in the U.S. Naval Reserve for a period of eight years, or for such other period of time that ensures they will incur four years obligated service beyond commissioning. They will be discharged from the Marine Corps Reserve one day and enlist in the Naval Reserves the next day without broken service. The NROTC unit will prepare an Administrative Remarks NAVPERS 1070/613. The MIDN shall complete and sign the Enlistment/Reenlistment Document DD Form 4/1. Block 7b of the DD Form 4/1 shall reflect the inactive time served in the USNR.

7-4 Change of Option, Navy to Marine MIDN

1. MIDN can request a change of option from Navy to Marine in writing per standard Naval letter format. The request must enclose a current transcript and a degree completion plan. Those seeking to change their option must meet the following requirements:
a. Request is made after completion of one full academic year but no later than the end of the junior year.

b. Agreement to complete the six-week course at Marine Corps OCS prior to commissioning. This requirement cannot be waived. Those who fail this course (not recommended to return) are subject to disenrollment from the NROTC Program.

c. Completion or agreement to complete American History/National Security Policy courses.

d. Completion or agreement to complete the following courses in accordance with Table 4-2: Introduction to Naval Science, Sea Power and Maritime Affairs, Evolution of Warfare, Leadership and Management, Leadership and Ethics, and Amphibious Warfare.

e. Waiver of USMC-required Naval Science courses is at the discretion of MCRC (ON/E). Waiver is possible because Marine Option MIDN will develop a considerable base of such knowledge at The Basic School.

2. The PNS shall endorse the request and forward it to MCRC (ON/E) for the current selection boards review. MCRC will notify NSTC OD4 of approved selectees and, if approved, NSTC OD4 will enter the appropriate changes into OPMIS.

3. Navy Option MIDN selected for Marine Option will be released from the USNR and enlisted in the USMC Reserve for a period of eight years. They will be discharged from the Naval Reserve one day and enlist in the Marine Corps Reserve the next day without broken service. Prepare an Administrative Remarks page NAVPERS 1070/613. Complete an Enlistment/Reenlistment Document DD Form 4/1. Block 7b of the DD Form 4/1 shall reflect the inactive time served in the USNR.

7-5 Change of Option, Navy Nurse Scholarship

1. Any MIDN meeting the eligibility criteria specified below can request a change of option to the Navy Nurse Scholarship.

   a. Applicant must have completed one full academic year.

   b. Applicant must apply no later than the end of their sophomore year.
c. Applicant must either be accepted by or be competitive for acceptance at an accredited nursing school affiliated with an NROTC Program.

d. Applicant must have completed or agree to complete the following courses: Regional studies, world culture, or world religions, and English.

e. Applicant must have completed, or agree to complete, the following Naval Science courses: Introduction to Naval Science, Sea Power and Maritime Affairs, Leadership and Management, and Leadership and Ethics.

f. Applicant shall complete a 3/C or 2/C cruise at sea with a medical department and must complete a 1/C cruise at a Naval hospital.

2. If the applicant has already received a scholarship or advanced standing, or is not applying for additional benefits, Navy Option applicants shall submit their change of option request to NSTC OD4 via NSTC OD2 (Medical Programs). Marine Option applicants will submit their change of option request to NSTC OD4 via MCRC (ON/E) and NSTC OD2 (Medical Programs). If the applicant is applying for a scholarship or advanced standing, the applicant must submit their request to NSTC OD2 per the procedures outlined in Chapter 3.

3. All application packages must include the following documents:

   a. Letter of acceptance from nursing school. If applicant is too junior to apply, a list of requirements for admission to the nursing school shall be provided by the unit.

   b. Copy of official transcript(s).

   c. DCP.

   d. PNS recommendation.

4. If approved, the applicant must sign a Nurse Scholarship Service Agreement NSTC OD4 shall change the option in OPMIS.

7-6 Change of Option, Scholarship to College Program

1. As an alternative to disenrollment, scholarship MIDN who fail to satisfactorily complete calculus, calculus-based
physics, or other program requirements, may contact NSTC OD and request transfer to the College Program following the results of a PRB. This alternative is the only option change available to Nurse Scholarship students who are disenrolled from their program. Once the PNS has reviewed and endorsed the PRB, the PNS may favorably endorse the MIDN's request for this change. If the PNS endorses the change request, the PNS must provide NSTC OD4 with the following information:

a. Specific reason for change (e.g., failure of calculus course and/or physics at least twice).

b. Number of times the student was placed on probation or LOA for academics.

c. Student’s aptitude for Naval Service.

d. Extent of student involvement in unit activities, i.e., battalion commander, squad leader, etc.

e. Current transcript.

f. Degree Completion Plan (DCP).

g. Copy of the most recent PRB (if applicable) with all endorsements.

2. If the request is approved by CNSTC, NSTC OD4 will prepare an Appointment Termination Disenrollment Authorization (NSTC 1533/124) stating the obligation and enter the appropriate changes into OPMIS. The unit shall ensure the MIDN signs and acknowledges the following Page 13 entry:

“Scholarship students approved for transfer to the College Program who have received more than one year of subsidized education (two semesters/three quarters of tuition and ten months of subsistence), or accepted their scholarship at the beginning of the second or later year of Naval Science and receiving benefits, shall be required to repay the educational benefits they received while enrolled in the NROTC Program unless otherwise waived”.

3. Upon receipt of the Appointment Termination, NSTC OD4 will enter the appropriate OPMIS code to change the MIDN's status from scholarship student to College Program student.
7-7 Change of Option, STA-21

1. STA-21 Target Option OCs are not normally allowed to change options unless found NPQ for their original designator. Changes that meet the needs of the Navy and do not delay commissioning may be considered by NSTC OD assuming that the OC meets all requirements for the requested designator.

2. STA-21 OC’s who request a change of option or redesignation must submit their requests in writing to OPNAV N131 via NSTC OD4.

3. STA-21 Target Option OCs interested in the nuclear community shall request transfer to core or surface warfare option. This request shall be submitted to OPNAV N131 via NSTC OD4. Applications for the nuclear community are submitted to NSTC OD1. STA-21 OC’s will be interviewed by Director, Naval Nuclear Propulsion before being accepted into the NNPP.

7-8 Transfers to Other Services’ Programs for MIDN

1. Inter-service transfers are not available for MIDN. While MIDN may seek appointments to any service academy or other ROTC programs, those desiring to transfer to other officer accession programs will be placed on LOA (pending disenrollment) and processed as a Drop on Request (DOR).

2. If the MIDN's disenrollment is subject to recoupment, the MIDN may submit a request to DFAS to have their recoupment order suspended. Upon commissioning and completion of two years of active duty, the former MIDN may request their entire obligation be terminated.

7-9 Inter-service Transfers for STA-21 and MECEP Students

1. This section outlines responsibilities and procedures for allowing STA-21 and MECEP students to transfer to, and receive commissions in, the Marine Corps and Navy, respectively. Both OPNAV N13 and MCRC (ON/E) must concur in all transfers. MIDN seeking commissions in the Army, Air Force, or Coast Guard shall follow procedures specified by those services. STA-21(N) Target Option OC’s are ineligible for inter-service transfers.

2. STA-21 students requesting to be commissioned in the Marine Corps must:
a. Submit their request to MCRC (ON/E), via NTSC OD4 no later than the end of their junior year. The request must contain the following documents:

1. Endorsement from CNSTC with recommendation
2. NROTC Unit CO’s endorsement
3. MOI statement or endorsement (MOI shall also sign DD Form 368 as “recruiter”)
4. Request from the student
5. DD Form 368, Request for Conditional Release, filled out and signed by an authorized Navy official.
6. NROTC Marine Applicant Information Sheet
7. Additional information responding to the NROTC Information Sheet Questions, such as tattoo photos with explanations, court documents and statement for legal convictions or arrests. Requirements for commissioning in the Marine Corps are established in MCO P1100.73B.

b. Successfully complete Marine Corps OCS. If they fail to do so, they must either fulfill their remaining STA-21 requirements or be disenrolled. If they successfully complete Marine Corps OCS, they shall participate in all Marine Option Naval Science instruction and meet all other program requirements, including those set forth in Marine Corps Order MCO P1100.73, that are necessary to receive a commission as a Second Lieutenant.

c. When accepted by MCRC (ON/E), the student must submit a request for separation from the Navy to PERS (N13) in compliance with MILPERSMAN 1910-102.

3. MECEP students requesting commissioning in the Navy must:

a. Submit a written request that contains the following information to NSTC OD4, via MCRC (ON/E) no later than the end of their sophomore year:

1. A statement on the reason for request, career goals, qualifications, interest, and other information deemed relevant by the requester.
(2) Current transcript(s) and a DCP.

(3) A statement that the requester understands that if they are conditionally approved for commissioning in the Navy, they must complete a pre-commissioning physical examination no later than 18 months prior to the graduation and be qualified for commissioning in the Navy as an URL officer.

b. MECEPs approved for transfer will compete for service assignment, and are treated as STA-21 Core option students. Requirements for commissioning in the Navy are established in Chapter 4. MECEPs seeking a commission in the Navy will complete all of the same prescribed NROTC university courses as STA-21 OCs.

4. Students will retain their status as STA-21 OCs or MECEPs in their original service until graduation. When requirements for commissioning have been met, students will be commissioned as Ensigns or Second Lieutenants, as appropriate. If commissioning requirements for the requested service are not met, the individual may be retained in their original service in a status determined by that service.

7-10 Inter-service Transfers for Strategic Sealift Officer Program (SSOP) MIDN.

SSOP MIDN may seek appointments to any service academy or ROTC program. MIDN desiring to transfer to other accession programs will be placed on interim LOA and processed as a DOR. The disenrollment shall be effected one day prior to appointment by the other ROTC program or service academy. SSOP MIDN in an obligated status will remain obligated until all service obligations are fulfilled. Procedures vary per program type:

1. SSOP to Navy (ROTC): MMR/USNR MIDN may be recruited by NSTC OD1 (Nuclear Programs). Selected MIDN will be disenrolled from the SSOP as a DOR. If obligated to MARAD, the MIDN will remain obligated until they complete the NROTC Program.

2. SSOP to Marines: SSOP MIDN may apply to a Marine Corps commissioning program at any time. However, they must complete USMC OCS prior to being commissioned. USMMA will complete a DD Form 368 Conditional Release for the MIDN to attend OCS. If their request is approved, MIDN must complete OCS. They remain in the SSOP until graduation, but commission as Second Lieutenants. All requests shall be submitted to MCRC (ON/E) via NSTC OD4.
3. **SSOP to Air Force or Army (ROTC):** SSOP MIDN must apply and be accepted before their senior year. MIDN shall be disenrolled from the MMR/USNR Program as a DOR. If obligated to MARAD, the MIDN will remain obligated until they complete the Air Force ROTC program.

**7-11 Graduate Study.** Many options are available for MIDN to pursue graduate education either before or after they are commissioned. Marine Option students are not eligible for graduate education scholarships until they have completed The Basic School (TBS). STA-21 OCs may only apply for the Burke Program. Graduate education programs require additional active duty obligations in accordance with [DoD Instruction 1215.08](#). MIDN who are interested in graduate study shall make their interests known to NSTC OD4 before or during service assignment and apply per the guidance established below. Graduate education programs are classified as fully funded, partially funded, or non-funded.

1. **Fully Funded.**

   A. **Immediate Graduate Education Program (IGEP).** The IGEP specifically targets technical education programs the Navy needs in concert with the officer subspecialty system. Applicants interested in graduate studies in engineering must have the requisite engineering-based undergraduate background. Typically, OPNAV N13 allocates approximately 60-80 quotas between the surface, aviation and subsurface communities, divided between USNA and NROTC. Upon commissioning, newly appointed officers who are awarded an IGEP scholarship will participate in one-year programs at the Naval Postgraduate School (NPS) or the Air Force Institute of Technology (AFIT) with a June/July start date in a curriculum to be determined by NPS or AFIT. Only programs leading to valid subspecialties will be supported. An application package consisting of transcripts, student statement, and PNS endorsement shall be forwarded to NSTC OD4 no later than 31 January of each year. Upon receipt, NSTC OD4 will screen the packages for eligibility and forward them to OPNAV N13 for community manager concurrence. Once NSTC OD4 receives community manager concurrence, the list of nominees will be forwarded to NPS for approval. NSTC OD4 will notify MIDN of their successful acceptance into the program and provide a point of contact at NPS for further instructions. Additional guidance may be found in the annual Official Mail Message and [OPNAVINST 1520.23B](#) series.
b. Massachusetts Institute of Technology (MIT)/Woods Hole Oceanographic Institution (WHOI) Joint Master Program. USNA and NROTC may each nominate one MIDN for participation in the MIT/WHOI Oceanography program. The program begins in June, typically requires 24-27 months to complete, and leads to a 6402P (Operational Oceanography) subspecialty code. MIDN who service select aviation are not eligible for this program. Applicants for this program must first submit an application to MIT/WHOI by the deadline listed in the annual NAVADMIN. A package consisting of a copy of the MIT/WHOI application, transcripts, Graduate Record Examination (GRE) scores, student statement, and PNS endorsement shall be forwarded to NSTC OD4 no later than the MIT/WHOI application deadline listed in the NAVADMIN. Upon receipt, NSTC OD4 will screen the packages for eligibility and forward to OPNAV N13 for community manager concurrence. Once NSTC OD4 receives community manager concurrence, the list of nominees will be forwarded to the Office of the Oceanographer and Navigator of the Navy for approval.

c. The Junior Line Officer Advanced Educational Program (Burke Program). The Burke Program provides an opportunity for URL officers in scientific and engineering disciplines for assignment to subspecialty billets. This program includes aviation, subsurface, and surface MIDN and STA-21 OCs. Curricula shall be consistent with the individual’s designator and the needs of the Navy. Upon commissioning, the selected recipient will attend post-accession training before their initial 30-36 month operational tour. After completion of their initial tour, Burke Program students may be assigned duty-under-instruction (DUINS) at civilian schools or attend the NPS. Participants in this program will receive full pay and allowances and will be entitled to a permanent change of station if applicable. NSTC OD may select 15 senior NROTC MIDN or OCs each year. PNS shall forward nominations to NSTC OD4. Upon receipt, NSTC OD4 will screen the packages for eligibility and forward to OPNAV N13 for community manager concurrence. Once NSTC OD4 receives community manager concurrence, the list of nominees will be forwarded to NPS for approval. Additional guidance may be found in the annual OPNAVINST 1520.18H series.

d. Medical/Dental School. The Medical/Dental Program is linked to a MIDN’s service assignment preference and primary designator assignment. NSTC OD may permit a maximum of 25 MIDN to select medical and dental school training. See Paragraph 7-12 for additional information.
2. Partially Funded
   
   a. The Scholarship Program

   (1) The Scholarship Program is directed toward fulfilling Navy needs in operational, technical, and managerial areas in concert with the officer subspecialty system. Upon commissioning, selectees attend graduate school full-time and receive full pay and allowances. Applicants must complete their degree in 24 months or less. Personnel may not attend law or medical school under this program. Participation in the program will be at an institution within the United States that is accredited by a regional accrediting association recognized by the Department of Education. Subspecialty code determination is performed by NPS upon review of the student’s curriculum. Exceptions are made for Rhodes, Olmsted, and similar scholarships where study is required at a foreign institution. Typically, the applicant must apply and receive a scholarship that is equal to or greater than 50 percent of the tuition costs. Annual program quotas are established by OPNAV N13. Historically, these quotas have ranged from three to eleven NROTC MIDN.

   (2) An application package prescribed by the annual NAVADMIN must be received by NSTC OD no later than 15 January. Upon receipt, NSTC OD4 will screen the packages for eligibility and forward them to OPNAV N13 for community manager concurrence. Once NSTC OD4 receives community manager concurrence, the list of nominees will be forwarded to NPS for approval. Selected students shall submit proof of acceptance into a graduate program with a qualifying scholarship to NSTC OD no later than 31 May. Additional guidance may be found in the annual NAVADMIN and OPNAVINST 1520.24C series.

   b. Anna Sobol Levy Foundation Scholarship

   (1) The purpose of this fellowship scholarship is to provide future officers an opportunity to learn first-hand about the unique relationship between the U.S. and Israel, to master regional politics, culture, and security affairs, and to study foreign languages at Hebrew University in Jerusalem. MIDN shall MIDN should apply directly to the Foundation using application materials available from www.annasobollevyfoundation.org.
(2) MIDN must submit an application package to NSTC OD4 the current NAVADMIN for the Scholarship Program and OPNAVINST 1520.24C series no later than 15 January. Upon receipt, NSTC OD4 will screen the packages for eligibility and forward them to OPNAV N13 for community manager concurrence. Once NSTC OD4 receives community manager concurrence, the list of nominees will be forwarded to NPS for approval. The student will be approved for graduate study contingent on detailer concurrence, acceptance into the graduate program, and receipt of the foundation scholarship. The student shall submit proof of the foundation’s scholarship to NSTC OD no later than 31 May.

(3) This program is not the only means of attending the Hebrew University in Jerusalem; MIDN may also apply under the Olmsted Foundation program. MIDN may also attend for the purposes of broadening regional awareness and acquiring critical language skills in Hebrew and Arabic through cultural immersion via non-funded graduate, overseas study or personal leave of absence.

(4) This program may not be available every year.

3. Non-funded

a. Graduate Education LOA. NSTC OD may grant up to 40 Graduate Education LOAs for Navy Option MIDN to pursue a graduate degree. Although selectees still compete for service assignment and are assigned a designator during their senior year, they are not commissioned until they finish their graduate degree requirements. A five-year obligation must be maintained beyond graduation, the student must sign an Agreement to Extend Enlistment NAVPERS 1070/621 to maintain a five-year obligation beyond graduation. To qualify for a Graduate Education LOA, the MIDN must meet the following conditions:

(1) The student shall be ranked in the top 25% of their MIDN class.

(2) The graduate course of study shall reflect a logical progression of the undergraduate study.

(3) The study must be in a field of Navy interest.

(4) The study must be under the PNS supervision.

(5) The study must not require more than 24 months for completion.
(6) All approvals are contingent on community manager concurrence and acceptance into a graduate program.

b. Although Navy Option MIDN may indicate their interest in graduate education during service assignment (i.e. when submitting their Service Selection/Duty Preference Form), all official requests for Graduate Education LOA must be submitted to NSTC OD4. In doing so, MIDN must indicate their degree interest, the school they plan to attend, and the anticipated length of the LOA. In addition, they shall provide a current transcript and either a completed application or proof of acceptance into a graduate degree program. Students scheduled for commissioning 1 October through 31 March must submit their request to NSTC OD4 no later than 1 August of the year prior to commissioning. All requests for graduate study LOA must be received by OD4 NLT eight months prior to the requesters scheduled graduation date. Upon receipt, NSTC OD4 will screen the packages for eligibility, make assignments, and coordinate community manager review. Upon receipt of community manager concurrence, the PNS is responsible for ensuring MIDN acceptance into a graduate degree program prior to commencement of LOA. NSTC OD4 will notify those MIDN approved for graduate education LOA.

7-12 NROTC Graduate Attendance at Medical or Dental School

1. NROTC MIDN may apply for an AFHPS OPNAVINST 1520.39 to attend an accredited medical or dental school or the USUHS. Unlike other graduate degree programs, these programs pertain to service assignment and result in a primary designator.

2. NSTC OD2 (Medical Programs) will convene a recommendation board each July to select the most qualified applicants for the AFHPS and USUHS programs. For an applicant to be considered competitive and subsequently accepted, the MIDN shall have a minimum GPA of 3.5 (with a strong emphasis in calculus, physics, biology, and chemistry) and high Medical College Aptitude Test (MCAT) or Dental Aptitude Test (DAT) scores.

3. MIDN shall take the MCAT/DAT in the spring of their junior year for inclusion in their application. Because of lead times associated with administering the MCAT/DAT and the early deadlines associated with medical school applications, MIDN must submit their applications to NSTC OD2 no later than 30 June of their junior year to include the following information:

   a. A copy of the American Medical College Application Service (AMCAS) submitted by the MIDN.
b. A certified copy of the results of the MCAT or DAT.

c. Current transcript.

d. A personal statement from the MIDN explaining why they want to serve in the Navy Medical or Dental Corps.

e. An endorsement from the PNS.

f. Recommendation letters from three professors at the university the student is currently attending.

4. During service assignment, the NROTC unit shall enter a RL designator code of 1970 (Medical Officer) or 1980 (Dental Officer) into OPMIS for those candidates desiring to be considered for Medical or Dental Corps. However, if selected by AFHPS vice USUHS, the candidate will be designated as either a 1975 (Reserve Medical Officer) or a 1985 (Reserve Dental Officer).

5. MIDN applicants not accepted by AFHPS or USUHS no later than 1 June of their senior year must accept a warfare designator. Service obligations for AFHPS and USUHS begin on completion of residency or internship and are served consecutively with the NROTC Program obligation.

7-13 Overseas Study. Overseas Study is normally an elective option and not required for graduation. Students may participate while on LOA or with financial benefits but are responsible for all travel

1. Overseas Study without Benefits. The PNS may approve an LOA for midshipmen in overseas study without benefits under the following conditions:

   a. Study is full-time and credits earned meet degree requirements.

   b. Study does not affect timely completion of NROTC requirements, drills or summer training.

2. Overseas Study with Benefits. NSTC OD may approve overseas study with benefits under the following conditions.

   a. Study is full-time and credits earned meet degree requirements.
b. Study does not affect timely completion of program requirements, drills or summer training.

c. Graduation and commissioning are not delayed.

d. Study is in the best interest of the individual and the Navy.

e. Financial benefits do not exceed normal tuition payments per term.

3. All requests for overseas study with benefits must be endorsed by the PNS and forwarded to NSTC OD4. Requests for STA-21(N) OCs shall be forwarded to NSTC OD4 via NSTC OD1. In addition to addressing the above requirements, the request should state where, when and how long the student would be engage in overseas study. The request must include a DCP and current transcript.

4. STA-21 and MECEP OCs are DoD personnel and are required to adhere to DoD travel regulations. The PNS should also require midshipmen to review and comply with the DoD Foreign Clearance Guide regarding travel clearances, as well as all State Department travel advisories. When the State Department Travel Advisory recommends deferring travel to a foreign country, travel to the country should not be authorized. In addition, the PNS shall provide a security brief in accordance with SECNAVINST 5510.30B series, encourage students to consult their local Naval Criminal Investigative Service, and review applicable guidance contained at http://www.ncis.navy.mil concerning force protection and counter intelligence.

5. All overseas travel should be carefully evaluated prior to approval. Disregarding DoD travel clearance requirements may adversely affect the student’s ability to obtain a nuclear designator and/or security clearance.
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Chapter 8

PERFORMANCE EVALUATION AND COUNSELING

8-1 General

This chapter covers performance evaluation and counseling from reporting through commissioning or disenrollment.

8-2 Principles of Performance Counseling

1. The performance evaluation system facilitates reviewing academic progress and measuring a student’s aptitude for service as a Naval Officer. The evaluation system uses periodic counseling among other tools to identify shortcomings and motivate performance improvement.

2. The tasks of the counselor or class advisor are to direct and focus the performance of the student and to develop their professional and military expertise. Before students can improve, they must know how well they are currently performing and what is expected of them.

3. Counseling should be a dynamic relationship where the student feels free to discuss issues and to receive direction and guidance. The counselor should discuss all relevant strengths and weaknesses.

4. The counseling session can be enhanced by:
   a. Determining the best time for the session and providing the student advance notice so they can prepare.
   b. Gathering information and relevant material.
   c. Having students complete a self-appraisal and gather all relevant information related to their performance.
   d. Planning discussion points, remembering the objective is to achieve growth in performance.

5. There are five objectives to accomplish during each counseling session:
   a. Identify the student’s strengths and weaknesses. Establishing a fair, accurate, and realistic assessment of the student’s performance is crucial to the counseling process.
b. Address specific performance problems, concentrating on ways to develop growth in these areas. Point out ways to improve, but do not dwell on the negatives.

c. Help the students evaluate their previous goals, and establish new goals for each semester. Ensure that the goals are challenging, realistic, and attainable.

d. Ensure the students have a clear understanding of their performance and acknowledge the areas that need improvement.

e. Review what is expected of the student before the next counseling session. Make sure they understand program expectations. However, be careful not to make promises or guarantees to the MIDN regarding what the marks or recommendations will be at the end of the evaluation period.

6. MIDN counseling shall be documented using a Fitness Report and Counseling Record, NAVPERS 1610/2. The NAVPERS 1610/2 need not be typed. Counseling shall be conducted at the beginning of each semester and will cover the performance of the student during the previous semester. The student and advisor shall sign the counseling form. The student shall receive a copy, and the original shall be placed in the Student Performance File.

7. The Student Performance File is maintained by the counselor or class advisor and consists of the following documents:

   a. Left Hand Side

      (1) All Fitness Reports and Counseling Records (NAVPERS 1620/2).

      (2) Letters of Appreciation, certificates and awards.

      (3) Warning and probation letters, LOAs, and Performance Review Board (PRB) reports.

   b. Right Hand Side

      (1) OPMIS Student File Information (Generated by the unit and signed by the student).

      (2) NROTC Program Requirement Checklist (See Example 8-D).
(3) Academic Planning Schedule (See Example 8-E).

(4) NROTC Student Performance Record (See Example 8-F).

(5) Term Academic Performance Worksheet (See Example 8-G).

(6) Current college level academic transcripts including summer school and transfer credit.

8-3 MIDN Fitness Reports. The fitness report performance evaluation system provides a formal process for reviewing a student’s academic progress and measuring their aptitude for service as a Naval Officer. The three specific areas of performance that are addressed during performance evaluation include academic performance, aptitude for service, and Summer Training aptitude.

1. Academic Performance. Academic performance is measured against the host institution and NSTC OD program requirements as well as individual potential. It is also reflected by the student’s progress toward an academic degree, evaluation of grades assigned for academic work, completion of program academic requirements, and achievement of a level of academic work commensurate with individual abilities. Students shall meet the academic standards noted in Chapter 4, including the requirements of full-time student status, satisfactory progress toward degree and program requirements within normal time limits, and keep the class advisor fully informed about all matters that affect academic performance and degree progress. While the NROTC Unit provides academic review and counseling, academic achievement is solely the responsibility of the student. Failure to achieve academic standards is a breach of the NROTC contract and is cause for disenrollment from the program.

   a. Progress toward an academic degree is tracked by an individual degree plan. The individual degree plan may be developed by the academic institution or designed by the NROTC class advisor. The degree plan should be developed during the student’s first term at the academic institution. It should be updated, or revised as circumstances warrant, to accurately reflect degree progress. The degree plan should contain sufficient detail to determine estimated graduation date and, in comparison with transcripts and current schedule, an assessment
of the individual’s progress toward a degree. Changes to the degree plan for a STA-21 (Nuclear Option) must be approved prior to execution, as described in Chapter 6.

b. Progress toward specific NSTC OD program requirements is also an important aspect of academic performance assessment. To support this, a program requirement checklist should be used to identify those courses that must be completed within a specified time, e.g., calculus, physics. The program requirement checklist may come from the academic institution or be designed locally by the NROTC class advisor.

c. In order to improve academic performance, the PNS may direct mandatory study. Mandatory study periods should be flexible to encourage individual time management and shall not exceed 15 hours per week.

d. Initial Interview. The class advisor shall conduct an initial interview with each student at the beginning of each academic term. During the initial interview with the student, the class advisor will review the student’s past performance, as evidenced by high school record, Scholastic Aptitude Test/American College Testing (SAT/ACT) scores, or prior college-level work. The purpose of the initial interview is to establish goals for the ensuing academic term and remaining college career while considering course load, past performance, extracurricular activities, outside work, and other factors that may impact an individual’s performance. The student’s goals are to be noted as expected grades for scheduled courses and expected GPA for the term. The interview will include at a minimum:

1. A review of the individual’s Student File and Student Information maintained in OPMIS.

2. A discussion of specific program requirements and the requirement to develop or revise an individual degree plan.

3. A review of academic performance based on information either from the institution or from the individual, as required under the circumstances.

4. Discussion that aptitude scores and scholastic achievement contribute to a student’s national ranking for designator assignment and class rank (lineal number) upon commissioning.
(5) Advice to the student on their assigned billets within the battalion.

e. Progress Counseling. The class advisor shall conduct at least one counseling session (in addition to the initial counseling session) with each student during the academic term to determine progress in meeting established goals. The number of counseling sessions depends upon the needs of the individual. The unit shall consult with the academic institution faculty advisor when the student’s academic performance becomes marginal or unsatisfactory.

f. End of Term Performance Counseling. Upon receipt of an official grade report or transcript, the class advisor shall counsel each student on their performance in the previous academic term. This counseling session may be combined with the initial counseling session for the next term. This counseling session should identify:

(1) Students who do not appear to be performing at a level commensurate with their potential as established during the initial interview.

(2) Students who have unsatisfactory degree progress, or have unsatisfactory academic results within a program or degree requirement.

(3) Students whose individual degree plan will not permit them to complete requirements within the time period indicated in their NROTC contract. Factors to consider include, but are not limited to, changes in major, option, or academic course offerings, or any physical fitness deficiencies. A revised degree completion plan should be developed and approved during the review. This degree completion plan may require consultation with the academic institution academic advisor.

g. The PNS will conduct a review of each individual’s performance with the class advisor and take appropriate action to implement remedial programs, place the individual on probation, or hold a PRB, if required or appropriate.
2. **Aptitude for Service.** Aptitude for service as a Naval Officer is reflected in performance within the unit, including an NROTC student’s leadership qualities, their potential as an officer, and their current performance in relation to their fellow MIDN. The NAVPERS 1610/2 shall be used to describe and assess student aptitude over a specified period of time.

   a. Class advisors shall consider each MIDN’s academic program and performance in determining term aptitude. Enthusiasm for and dedication to studies, attempting rigorous programs, and superior performance in difficult curricula are important indicators of officer potential. Academic excellence should not be considered the sole indicator of overall aptitude. Proper military behavior, leadership, military appearance, and physical fitness are all characteristics of overall aptitude.

   b. Class advisors shall frequently observe and make notes pertaining to the aptitude of the NROTC students. Students having less than a 3.0 military aptitude score in any area shall receive more frequent counseling and other supervision as directed by the PNS.

   c. An aptitude assessment shall be completed for each academic term in which the student is actively involved in the NROTC Program. In determining the level of such performance, consider development of leadership, initiative, achievement, teamwork and motivation, development of high standards of personal honor and ethical behavior, military bearing, and physical fitness, and compliance with all program requirements.

   d. The objectives of the aptitude system are to:

      (1) Identify areas for improvement and provide counsel and guidance to improve the officer-like qualities.

      (2) Give each student experience in observing individual behavior and evaluating it in terms of military activities, including leadership.

      (3) Rank students in their officer-like qualities for assignments to positions of authority and responsibility within the program.

      (4) Contribute to a student’s national ranking for designator assignment and class rank (lineal number) upon commissioning.
3. **Summer Training Aptitude.** Although aptitude evaluation during Summer Training is optional, Officers in Charge of each program may identify MIDN whose performance, attitude, and motivation is clearly outstanding or substandard and notify the appropriate PNS by letter.

   a. Summer Training aptitude assessment for NROTC 1/C, 2/C, and 3/C MIDN involves assessment of performance of duty, leadership qualities, and whole person evaluation. Evaluations may be submitted in the form of a Fitness Report or a Page 13. The MIDN Summer Training Manual contains the procedures for conducting the evaluations. Although optional, if completed, Summer Training aptitude grades (5.0 grade scale) shall be entered in the OPMIS Grade Screen, and the fitness report shall be placed in the individual’s Student Performance File.

   b. Shipboard evaluations of each MIDN, if submitted, shall be conducted and documented in the manner prescribed in the Summer Training Manual. These reports shall be reviewed and signed by the ship’s CO and forwarded under a transmittal letter to the respective NROTC units no later than 15 days following the MIDN’s debarkation from cruise.

**8-4 Fitness Report Processing**

1. The PNS/OIC may determine how student fitness reports are processed. Most often, the class advisor will draft the student fitness report and forward it to the XO or CO for review.

2. Especially for larger units, the battalion organization is encouraged to be involved in the fitness report process. The following procedures may apply:

   a. Squad leaders, platoon commanders, and company commanders evaluate the members of their squad, platoon, or company and forward the evaluations to the battalion commander. The battalion commander evaluates members of the battalion staff and company commanders, reviews all fitness reports, and makes any pertinent comments prior to forwarding them to the appropriate class advisor.

   b. The class advisor reviews all reports for completeness and content and evaluates student performance prior to forwarding them to the XO.

   c. The XO reviews all reports for completeness and content. Additionally, they evaluate the battalion commander.
d. The XO may make any comments deemed appropriate based upon their observations of battalion operations. The XO then forwards the evaluations to the PNS/OIC for approval and signature.

3. The class advisor will counsel the student and ensure an evaluation mark for each student is entered in the OPMIS Grade Screen.

4. The original evaluation will be filed in the Student Performance File for future use in counseling, with a copy provided to the student.

**8-5 Active Duty Student Performance Evaluation.** The provisions of BUPERSINST 1610.10 series and MCO P1610.7 series apply as appropriate for active duty students, including those in the STA-21 and MECEP programs.

1. STA-21 students will normally have a Class Advisor as Rater, XO as Senior Rater, and CO as Reporting Senior (RS). Statements concerning aptitude, potential for service as an officer, military bearing, and evaluation of potential for completing the program should be included as remarks.

2. Forward copies of STA-21 (Nuclear Option) student evaluations to NSTC OD1 that contain adverse comments or on which a student elects to make a statement.

3. For students in a DUINS status only, a report may be delayed up to three months to the end of an academic period, provided it is submitted in time for any selection board for which the member may be eligible. This is an exception to the general rule against delay of periodic reports.

4. For MECEP students, when possible, both the RS and Reviewing Officer (RO) shall be a Marine Corps officer. The RS will normally be the MOI. The RO will normally be the CO, unless the CO is USN and the XO is USMC. In this situation, the Reviewing Officer should be the XO. NSTC ODM will conduct Third Officer sightings on MECEP adverse reports.

5. For USMC reports, the RS will submit the complete report via the Automated-Performance Evaluation System (A-PES) accessed through the Marine Online (MOL) website or hard copy (with 3 signed copies of page 5) to the RO. The RS should also forward a summary of performance and copies of commendatory letters.
Commendatory, derogatory or administrative material will not be forwarded to Commandant of the Marine Corps (CMC) as attachments to the fitness reports. This material must be sent to CMC (MMSB-20) under a separate cover letter for inclusion in the Marine's Official Military Personnel File (OMPF).

8-6 Warning, Probation, and Leave of Absence. When counseling fails to correct substandard performance as defined in Chapter 4, the following actions should be considered before recommending a PRB, LOA, or disenrollment, unless such action is specifically required by other authority.

1. Warning. Warning is the first level of disciplinary action for students who have failed to achieve or maintain program standards. Warning does not require an OPMIS entry, but requires counseling and documentation in the Student Performance File. The decision to place a student on warning is at PNS discretion and does not require a PRB.

   a. Academic Warning. Academic warning letters should be directed to students who receive a term GPA less than that required in Chapter 4. The conditions stated in the academic warning letter shall include participation in a mandatory study hall consisting of a minimum of unit or academic institution-supervised study and weekly counseling with the class advisor. Mandatory study hall hours may include the student’s tutoring session, time spent in the computer lab, and other similar time approved by the class advisor. The academic warning letter shall address where the student can obtain additional assistance and state that continued poor academic performance may result in probation, LOA, or disenrollment.

   b. Aptitude Warning. Aptitude warning letters shall be directed to students who are deficient in military aptitude, do not meet physical fitness or height/weight standards during a mock/inventory PFA, or who have committed minor disciplinary offenses. The warning letter should address the nature of the concern, remedial actions required of the student, and a warning that failure to correct the deficiencies or identification of further deficiencies may result in probation, LOA, or disenrollment. These same concerns should also be documented in the student’s counseling worksheet or fitness report.
2. **Probation.** Probation is an administrative tool. It shall have no effect on the student incurring a service obligation or the student’s receipt of NROTC benefits. Students must be notified of their status, in writing, and shall have the cause, terms, and period of the probation clearly specified. An OPMIS entry is required when a student is placed in a probationary status. In no case shall a student be placed on probation for more than two consecutive terms for the same deficiency without approval of NSTC OD. This is especially applicable in the cases of MIDN who are about to enter obligated status. Although continuation of probation beyond one term is an option that may be exercised by the PNS, a more appropriate action may be LOA or disenrollment.

   a. **Academic Probation.** Academic probation is required for those students who fail required academic or Naval Science courses, or fail to meet minimum program cumulative GPA standards as detailed in Chapter 4. When a student is placed on academic probation by the academic institution, the unit shall also place the student on academic probation. Once the academic term has ended, the student will be re-evaluated. A second term of academic probation is not prohibited but should only be considered when reasonable certainty exists that the student will overcome their difficulties.

   b. **Aptitude Probation.** Any student who fails a regularly scheduled physical fitness assessment/test and/or fails to meet required height/weight standards shall be placed on aptitude probation and assigned to a fitness enhancement program. The terms of probation should state that any repeat failure could result in a PRB, LOA, or disenrollment. A second term of aptitude probation is not prohibited but should only be considered when reasonable certainty exists that the student will overcome their difficulties.

   c. **Leadership and Military Probation.** Probation is an appropriate course of action for poor leadership or military aptitude. Probation may also be assigned for a combination of aptitude deficiencies and minor offenses. If any doubt exists regarding aptitude for service, the unit should consider LOA or disenrollment. Aptitude probation (not for physical fitness) shall not be assigned for more than one term. The terms of the probation should state that lack of improvement could result in an LOA or disenrollment.
d. Moral Remediation and Character Development Probation. Moral Remediation and Character Development Probation is appropriately assigned to students who are found guilty of a single core value violation which does not warrant disenrollment. Such violations may include simple assault, underage drinking, trespassing, or similar offenses. This type of probation should not be assigned to those who have exhibited a pattern of misconduct. Since this type of probation is designed to provide an opportunity to develop core values and improve moral reasoning, it should not normally be assigned to a student in their senior year.

(1) PNSs may place students on Moral Remediation and Character Development Probation in lieu of disenrollment if they believe the student can learn from their mistake and correct their actions. The PNS will administer the remedial aspects of the probation and assign a member of the staff to serve as a mentor. The class advisor will then notify the student that he or she is being placed on probation and of probation requirements. Upon completion of the remediation period, the mentor should state whether the individual successfully completed the remediation program or whether disenrollment is recommended.

(2) The student may be required to complete additional selected readings during their period of remediation that will cause them to reflect on correct values. The student may also be tasked with preparing a written paper relating to ethics and character. The readings and writing project should be tailored to the individual student’s specific transgression and be reasonably related to the deficiency to be remediated. The student may be required to keep a journal discussing their reflections concerning the remediation efforts and progress.

3. LOA. LOA is an administrative tool defined in Chapter 6 which suspends NROTC benefits.

a. Interim LOA. The PNS may assign interim LOA in advance of a PRB. Situations where this may be appropriate include, but are not limited to, a MIDN failing to meet program requirements by the end of their freshman year or being charged with a serious moral or criminal offense. A PRB should be held as soon as possible after assigning an interim LOA. If the board recommends an LOA, and the PNS concurs, the interim status will become permanent for the remainder of the current term.
b. Academic LOA. A MIDN may be placed on LOA due to unsatisfactory academic performance or when it appears that the MIDN may not complete the program. If the MIDN is still academically deficient at the end of one term on LOA, the PNS shall recommend either an extension or academic disenrollment. All requests for extensions shall be submitted to NSTC OD4 in letter form. The PNS shall include a statement of facts and a copy of the MIDN’s most current transcript and degree completion plan. Academic LOA shall be assigned in the following instances:

   (1) When a MIDN fails to maintain full-time student status (as defined by the host academic institution) without prior PNS approval.

   (2) When a MIDN fails or withdraws from an NROTC specific or academic institution required course without prior PNS approval.

c. Aptitude/Disciplinary LOAs

   (1) Physical Fitness/Readiness

       (a) MIDN on probation for physical fitness failures that do not meet standards at the end of their probationary period, may be granted a second term of probation if they demonstrate sufficient improvement in the reasonable opinion of their class advisor. Any subsequent failure to meet standards shall result in a PRB and LOA or disenrollment. Those who fail to meet the terms of their LOA shall be recommended for disenrollment.

       (b) Two PFA failures while in a probationary status will require a PRB. Normally, a third failure would result in a recommendation for disenrollment from the program. Waivers to this policy based on extraordinary circumstances must be approved by NSTC OD. Failures do not have to be consecutive, and they may be any combination of body fat or physical fitness test standards. Navy Option MIDN may choose to swim vice run, but must complete a run in the "good" category during their First Class Year (i.e., during their senior year). Marine Option MIDN must obtain a 225 PFT prior to commissioning.

   (2) Aptitude. A midshipman may be placed on LOA for continued or significant deficiencies in aptitude.
(3) Disciplinary

(a) Any MIDN who is being investigated, charged with, or is pending prosecution for any infraction which might lead to disenrollment on disciplinary grounds will be placed on interim LOA until the matter is fully resolved. NSTC OD and NSTC Staff Judge Advocate (SJA) should be consulted in such a circumstance. A PRB is not required for interim LOAs issued on this basis. Ensure associated OPMIS entries are made.

(b) If the MIDN is convicted of a criminal offense or the functional equivalent, a PRB must be held to evaluate for disenrollment from the program. If the MIDN is incarcerated, hold the PRB in absentia. The MIDN shall be notified of the pending PRB and provided a copy of the preliminary inquiry. Once the PRB is held and endorsed by the PNS, the MIDN shall receive a copy of the PRB report and its endorsements.

(c) MIDN found not guilty or exonerated of criminal charges, may be removed from LOA and allowed to continue in the program or receive their commission. A PRB may still be held, however, to examine the circumstances surrounding the event and assess the MIDN’s behavior and aptitude for service. In the event the unit has already received commissioning documents for the MIDN, use those same documents to confer the commission. The effective date will remain the original date of commissioning for time-in-grade purposes.

(d) If a MIDN claims to be a conscientious objector, the PNS shall assign "interim LOA" and will follow Navy regulations for active duty personnel by processing for disenrollment in consultation with NSTC OD4 and the NSTC SJA.

8-7 Non-judicial Punishment (NJP) and Administrative Separations

1. MIDN fall under the Uniform Code of Military Justice (UCMJ) only when assigned to active duty, normally during Summer Training. Although MIDN are subject to the UCMJ regulations including NJP while on summer cruise, MIDN suspected of any infraction are normally returned to their home NROTC unit for disciplinary or administrative active vice receiving NJP while deployed. If a MIDN is sent home from summer cruise or other active duty periods due to disciplinary reasons, NROTC Unit COs are required to officially contact the fleet command from which they were detached and acknowledge the MIDN’s return and inform the fleet that appropriate disciplinary or administrative actions are being taken. It is inappropriate, however, to
discuss the exact nature of the actions taken. In cases of misconduct by MIDN, the PNS will normally utilize administrative remedies (e.g., warning, probation, LOA, disenrollment). If circumstances warrant, after consultation with NSTC SJA, the PNS may recall the MIDN to active duty for trial by court martial.

2. OCs are always subject to the UCMJ. In cases of misconduct by OCs, the PNS may conduct NJP or refer the charges to trial by court martial after consultation with the SJA. The PNS may also convene an Administrative Separations Board to determine whether the individual is suitable for continued service.

3. Although any finding of guilt during NJP can, in some instances, be enough to warrant disenrollment, a PRB must be held before disenrolling the student due to the differences in process, possible outcome, and releasability of information.

8-8 PRB

1. The PRB is an administrative tool available to the PNS to investigate, review, and document recommendations regarding the best course of action to be taken to ensure successful fulfillment of program requirements by students enrolled in any NSTC OD Program. Ideally, the unit will identify potential problems that may lead to a PRB and solve the majority of them through counseling or extra instruction, as appropriate.

2. Appendix F provides guidance on how a PRB shall be conducted. The PRB is not a judicial proceeding, but rather an informal administrative hearing most similar to a college or university academic review board. As such, the student is not entitled to be represented by an attorney at the hearing. The PRB will be conducted with formality and decorum, although testimony under oath is not necessary, it is permissible. The student has the right to appear before the board, to submit a written statement, and to present documents or witnesses on his or her behalf. The student will be counseled by the class advisor and may review all evidence to be presented to the board prior to convening.

3. A PRB shall be convened on any MIDN who has received educational assistance and/or subsistence from the Navy for performance that may result in disenrollment or active service obligation unless the PRB is waived by the MIDN in certain cases, as defined below. A PRB shall also be convened in the following cases:
a. When administrative action may result in a leave of absence, other than for personal or medical reasons.

b. When a student tests positive for drugs. Anyone found guilty of drug abuse shall not be ordered to active enlisted service; recoupment is mandatory.

c. When a STA-21 OC receives a grade or cumulative GPA less than program requirements as defined in the applicable OPNAV N13 Program Authorization.

d. STA-21(N) Option Only. A PRB may be used at the discretion of the PNS to motivate academic or aptitude performance. PRB results shall be reported in accordance with Chapter 6. The PRB should be completed in time to ensure it is an effective tool for shaping the next semester’s performance. A PRB is required in the following cases:

(1) Term GPA < 2.75.

(2) Two consecutive terms of a GPA < 3.00.

(3) Cumulative GPA falls < 3.0.

(4) Receipt of a failing grade in any course.

e. When a MECEP fails to meet established standards as directed by MCRC.

4. A PRB need not be convened under these circumstances:

a. When a MIDN submits a DOR in writing (dated prior to PRB notification) and then waives the right to the PRB.

b. When an Executive Board (EB) has been conducted at the USMMA and the OIC concurs with the findings of the board. In cases where the OIC disagrees with the EB findings, a PRB shall be conducted.

5. Midshipmen being considered for disenrollment may waive the right to a PRB in certain types of disenrollments.

a. The types are outlined below: (Note: Officer Candidates and MECEP students may not waive a PRB)

(1) Drop on Request,
(2) Physical (when disqualification has been documented by BUMED),

(3) Host institution denies student continuation (when the host university has disenrolled a student or denied them opportunity to continue in their approved field of study, e.g., nursing candidates).

b. Should a midshipman elect to waive their right to a PRB, the PNS may still hold the PRB if deemed necessary.

c. In those specific disenrollment cases that allow midshipmen to waive their PRB, the following paragraph will be added to their PRB notification letter acknowledgement, which must be signed and dated by the student who elects to waive the PRB:

"I understand that pursuant to CNSTINST 1533.2, I am entitled to a Performance Review Board (PRB) to determine the propriety of my disenrollment from the Naval Reserve Officers Training Corps (NROTC) Program. I understand that waiving my right to a PRB does not determine whether or not a PRB will be held, only that I am relieving the command of the requirement to do so; however, convening a PRB will be at the discretion of my PNS and I may choose to attend or to not attend. By waiving my right to a PRB, I give up my right to present or refute information, mitigating circumstances, or testimony regarding my participation and/or performance in NROTC. I am choosing to waive my right to a PRB. No one has forced, threatened, or coerced me to waive my right to a PRB. No promises have been made to me in exchange for my agreement to waive my right to a PRB. I am doing this of my own free will. I understand that even if I waive my right to a PRB, there is a possibility that I will not be disenrolled from NROTC."

8-9 Convening a PRB

1. The PNS will normally be the appointing authority for the PRB, unless otherwise directed by higher authority. The PRB shall consist of at least three voting members (an uneven number composed of at least two officers and, potentially, a representative of the academic institution) and one non-voting member (officer or senior enlisted) to serve as the recorder. The PNS may also appoint one other non-voting board member, (e.g., the student’s company or battalion commander) to advise the senior board member on the student concerned. Members of the PRB shall be notified of their appointment in writing.
Example 8-A). The PRB Appointment letter must be dated prior to the student notification letter (See Example 8-B).

2. The senior member of the PRB must be an 0-4 or above and is normally the Unit XO. The PNS may not be a member of the PRB. Voting board members may include officers from other NROTC units, any active or reserve Naval Officer, or an official from the academic institution concerned. If the host institution declines to appoint a member representing the academic institution, or if the person appointed does not attend, the PNS will appoint another member.

3. To avoid conflicts of interest, only persons who have no involvement with the matters before the board may be appointed as voting members. If the XO or any other voting board member has a conflict of interest, as determined by the PNS, the PNS must appoint a new voting board member. The class advisor of the student concerned shall not serve as a voting board member.

4. The PRB outcome is determined by majority vote. The senior board member’s vote carries the same weight as other voting members.

5. The recorder, a non-voting member, normally the student's class advisor and who is normally most familiar with the student's performance and conduct, is the person who prepares and presents the case on behalf of the command. At the discretion of the PNS, an additional member from either the active-duty or civilian staff may be assigned to assist the recorder in his note-taking duties and serve as the recorder’s assistant. Normally, the student's company or battalion commander will be asked to provide testimony regarding the student concerned. Non-voting members shall not participate during final deliberations.

6. The recorder is responsible for assembling pertinent directives, interviewing prospective witnesses, gathering all available evidence, and conducting a preliminary inquiry in cases involving disciplinary matters.

   a. No later than five full business days before the PRB is scheduled to convene, the recorder shall:

      (1) Provide the student with written notice of the date the PRB will convene and general reasons for the PRB;
(2) Provide the student with any and all documents that may be presented in the case against the student, including but not limited to the PRB Appointment letter, counseling sheets, witness statements, and a complete copy of the preliminary inquiry (if any);

(3) Provide the student with a list of all witnesses expected to be called in the Recorder’s case;

(4) Have the student sign AND DATE the notification letter and acknowledge receipt of all material provided; and

(5) Obtain a signed Privacy Act Statement from the student.

b. Keep an accurate record of the proceedings and prepare the record for the senior board member’s review and signature of all board members. This summary shall include the testimony of the student, all board members, and any witnesses. A recorded or verbatim transcript is not required but is highly advised in high visibility cases. Audio recordings of the proceedings may be used for purposes of preparing an accurate summary. Any such recordings shall be destroyed as soon as the summary is approved by the senior board member. In the event the proceedings are not recorded, the recorder should take sufficient notes in order to prepare such summaries.

c. Ensure that a copy of the record of proceedings is delivered to the student no later than five business days after the PRB (See Example 8-C).

8-10 The Respondent’s Rights Before the PRB

1. Students shall be advised of their rights:

   a. To appear before the board at their expense. The student’s presence is recommended but is not mandatory. If the student does not intend to appear, the student shall submit written notification to the PNS within the five business day notification period. In these cases, the PRB will be held in absentia. Likewise, if the student is in civilian or military custody or unable to appear based on circumstances outside of the control of the command, the PRB may also proceed in absentia.

   b. To submit a written statement to the board.
c. To present documents or witnesses in their behalf (at own expense).

d. To review their record and all documents submitted for board consideration prior to the convening of the board.

2. The student shall be advised of the alternatives the board may recommend and that active enlisted service or recoupment could apply in case of disenrollment.

3. The student shall be notified, in writing, at least five full business days prior to the convening of a PRB. Notification should be delivered in person, normally by the class advisor or another staff officer, or if the student is not in the immediate area, by certified mail, return receipt requested. A copy of the notification letter, along with a signed and dated proof of delivery, shall be included in the board report. The notification letter shall advise the student, in general terms, of the reason(s) for the PRB.

4. The student may waive the five business day notification period, but any such waiver must be in writing. If requested by the student, a reasonable delay in convening the proceedings may be granted and should be documented in the PRB file.

5. The student may retain counsel at his or her own expense. If the student wishes to have his or her counsel present at PRB proceedings, the student must first notify the PNS of this, in writing, no later than 48 hours before the start of the board. Generally, counsel is free to make opening and closing statements on the student’s behalf (as may any witness). During these statements, counsel may testify/recount counsel’s understanding of the events that occurred and why these events do not warrant any or lesser administrative action on the part of the Navy. However, counsel may not actively participate in the board’s proceedings (e.g., call witnesses, ask questions of witnesses, etc.).

6. Other persons may attend the proceedings as observers if requested by the student in writing to the PNS no later than 48 hours before the start of the board and if approved by the PNS.

8-11 PRB Procedures

1. The senior member will call the board to order and explain the basis for holding the board (e.g., academic, aptitude, etc.), presenting only the facts before the board. The student
will again be advised of the possible outcomes of the board, including active enlisted service or recoupment, if disenrollment is recommended and approved.

2. The recorder will present the facts, to include any witness testimony or documentary evidence, which documents the student’s deficiencies. Unless unavoidable (e.g., the PNS is the only eyewitness to an incident), the PNS shall not testify as a witness to preclude the appearance of undue command influence. When it appears the PNS may have to testify, guidance shall be obtained from the NSTC SJA.

3. The student, and/or the student’s counsel, will be afforded the opportunity to make a statement on the student’s behalf. At the senior member’s discretion, any board member may ask the student or counsel to clarify any testimony or statements brought before the board.

4. The student or student’s counsel may object to specific board actions or proceedings, providing a statement as to why he or she finds it objectionable. Any objections will be considered and ruled on by the senior member. If, in the senior member’s judgment, the conduct of any person interferes with the orderly conduct of the board, the hearing will be adjourned until order is restored. The rulings of the senior member are final with respect to the proceedings, but are subject to review by higher authority.

5. The board shall not be limited to only considering those performance shortcomings listed as reasons for the PRB specified in the student notification letter. Rather, the board will be allowed to consider and make its findings and recommendations on any additional grounds that are discovered during the proceedings. However, the student shall be afforded the right, if he or she so requests, to an adjournment for a reasonable period to prepare a response to any additional grounds not previously addressed.

6. After all evidence has been presented and all statements have been submitted, all persons, except the voting board members, will leave the board room. Only the voting board members may deliberate and, to prevent the appearance of undue command influence, vote shall be by secret ballot. A simple majority will determine the outcome. Upon reaching a decision, the senior member will reconvene the board (to include the student and any witnesses he/she desires) and announce the board’s findings and recommendations. The PRB may make the
following recommendations: no action, warning, probation, LOA, or disenrollment. If the board recommends disenrollment, they should also make a recommendation for either active enlisted service or recoupment, if applicable.

8-12 The PRB Report

1. The Report of PRB Proceedings will be prepared by the recorder, reviewed by the Senior Member of the board, signed by all voting board members, and forwarded to the PNS. When a board member is not from the local area, a signature may be obtained by fax or scanned and emailed copy and attached to the original. Also, any board member may submit a dissenting opinion and append it to the report. At a minimum, the Report of PRB Proceedings shall include the appointing memorandum, the PRB notification letter and signed Privacy Act statement, the preliminary investigation (if applicable), all documents considered by the board, and a summarized testimony of each witness, and the results of any board vote (to include the number of dissenting votes).

2. A copy of the Report of PRB Proceedings will be given to the student. The student shall acknowledge receipt of the PRB report and be afforded five business days to provide written response to the PNS.

3. Once the student has had an opportunity to respond to the Report of PRB Proceedings, the PNS will consider the package in its totality and endorse the board report, either concurring or non-concurring, with the findings and recommendations of the board. In cases where the PNS is a witness and has testified before the PRB, the PNS may not endorse the Report of PRB proceedings and shall seek guidance from the NSTC SJA. In the endorsement, the PNS should not comment on matters not discussed before the PRB, either by the board members or the student, but instead limit comments only to those matters presented to the board.

4. The student shall be given a copy of the PNS’s recommendation, and the student will be given up to five business days to respond to the PNS’s recommendation.

5. A copy of the PRB Report, including student’s response and the PNS endorsement, shall be maintained in the Student File.

6. In cases involving STA-21(N) OC’s, a copy of the PRB Report, including PNS cover letter and student responses, shall be
forwarded to Deputy Chief of Naval Operations (DCNO) N133 via NSTC OD1. This report is the only instance when a PRB Report must be forwarded to NSTC OD absent of a recommendation for disenrollment. However, should the applicant be processed for disenrollment, the entire disenrollment report must be forwarded to NSTC OD4 after disenrollment approval has been granted by N133 via NSTC OD1.

8-13 Disenrollment Recommendations

1. Ultimate disenrollment authority for Navy MIDN is with SECNAV (currently delegated to ASN (M&RA)), CNSTC for STA-21 OCs, MCRC for MECEP OCs, and the Maritime Administration for Strategic Sealift Officers at the Maritime Academies. The PNS has some latitude in determining whether circumstances warrant the recommendation of disenrollment of a student. A student processed for disenrollment due solely to alcohol abuse/dependency may be processed as a medical disenrollment ifBUMED has confirmed that the problem is disqualifying and does not recommend a waiver. However, if misconduct is involved or an administrative action is pending, the alcohol problem and misconduct may be treated separately. In doing so, process the disenrollment for either disciplinary or inaptitude reasons. Likewise, unless occasioned by a medical problem, an inability to meet physical conditioning standards shall be considered inaptitude and disenrollment may be recommended on that basis. If medical reasons are not the only basis for disenrollment, the PNS may recommend disenrollment of any MIDN for academic, aptitude, or disciplinary reasons. A recommendation for disenrollment should be considered as the primary course of action following the PRB hearing for the following:

   a. A major conduct offense.

   b. Any felony conviction.

   c. Repeated minor infractions, substandard aptitude, or an unwillingness to make necessary behavioral changes.

   d. Failure to achieve required physical readiness standards and swimming qualifications, especially when it appears the individual is not exerting proper effort to achieve and maintain these standards.

   e. Academic LOA for more than two successive semesters or three quarters without considerable improvement.
f. Positive urinalysis test.

g. A case involving what could reasonably be construed as an intentional failure of any standard should first be considered as a disciplinary matter. Disenrollment is appropriate in such a case, if the facts warrant.

2. The PNS endorsement shall contain a recommendation concerning Active Enlisted Service (AES) or recoupment. All recommendations marked “Definitely not recommended” must include justification.

3. If, during the PRB or in the disenrollment paperwork, a statement is made that the student has a physical, psychological, alcohol or drug problem that may preclude them from AES, a medical evaluation shall be completed and forwarded toBUMED before mailing the disenrollment package to NSTC OD4. If the PNS recommends recoupment or if the student tests positive during a urinalysis test, a medical evaluation is not required.

4. The PNS shall personally sign all disenrollment reports and make the appropriate recommendations. If the PNS is unavailable, the XO may sign the disenrollment report as “Acting.” However, the XO may not endorse the disenrollment report if he or she served as the senior member for that board. The student is also required to sign the disenrollment report. In doing so, the student may address any matters pertaining to the disenrollment recommendation. In effect, the student is allowed to make two statements: one immediately following the PRB, and a second prior to completion of the disenrollment report. There is no separate appeal process for a recommendation for disenrollment, as every disenrollment package, including student statements, is reviewed by each signature authority as the disenrollment package progresses (OD Director, CNSTC, MCRC, and ASN (M&RA), as appropriate).

5. Following a midshipman PRB, if the PNS recommends disenrollment to the Assistant Secretary of the Navy (Manpower and Reserve Affairs), and the midshipman is not already on Interim Leave of Absence or Leave of Absence, the midshipman will be immediately placed on Interim Leave of Absence (in writing) pending final disenrollment determination by ASN. All entitlements will be stopped. Should the recommendation for disenrollment be overturned in the chain of command above the PNS, all entitlements, less stipend, will be restored effective
the date Interim LOA began. Stipend monies will be restored effective the date the disenrollment recommendation is overturned.

6. The timeliness of disenrollment recommendations is critical to ensure program efficiency and proper use of Navy and Marine Corps manpower.

   a. The PNS shall sign and forward the properly completed midshipmen disenrollment recommendation to OD4 within 30 days of the date of the PRB or the date that the student waived the right to a PRB. Packages that are delayed at the unit beyond 30 days shall contain a written explanation of the delay signed by the PNS. This policy includes packages that are forwarded by the unit to NSTC OD that are deficient; deficient packages must be corrected by the unit within 30 days of the PRB. Deficient packages not corrected within 30 days of the PRB shall require a written explanation from the PNS of the deficiency and delay from the unit.

   b. The Officer in Charge (OIC) at the U.S. Merchant Marine Academy (USMMA) shall forward all midshipmen disenrollment recommendations via the office of the USMMA Superintendent for endorsement. Due to this additional administrative requirement, disenrollment packages from the USMMA shall be forwarded to NSTC OD within 45 days of the PRB or an explanation of the delay must be forwarded with the package by the OIC.

   c. NSTC OD shall process and forward all complete disenrollment packages to CNSTC within 30 days of receipt from the units. Deficient disenrollment packages received at OD shall be processed and forwarded within 30 days of receiving all required documents. Packages delayed at OD beyond this 30 day requirement shall have a written explanation of the delay, signed by the Director or Assistant Director that will be forwarded with the package.

   d. NSTC OD will ensure a system to track disenrollment actions pending at NROTC units and OD is in place and available for NSTC Great Lakes review. NSTC OD will use TV4 (Taskers) to submit packages to NSTC Great Lakes to provide greater visibility of packages moving to completion.

   e. NSTC Great Lakes will be equally timely in its processing of disenrollments. All packages shall be processed and forwarded as appropriate within 30 days of receipt from NSTC OD.
f. Active duty disenrollments (STA-21, MECEP) will be expedited at each level in order to efficiently return manpower to the Fleet. The processing of active duty disenrollments shall take priority over midshipmen disenrollments.

7. **STA-21(N) only.** If the PNS considers the OC unsuitable for enlisted duty in the Nuclear Propulsion Program, then Navy Enlisted Classification Code (NEC) removal action per OPNAVINST 1220.1 series shall be initiated as part of the disenrollment recommendation. Nuclear NEC removal will not be considered a substitute for appropriate disciplinary action. Recommendations for non-return to nuclear enlisted service shall include sufficient information and justification concerning the recommendation (e.g., counseling records, NJP documentation). Lack of motivation is not sufficient reason for non-return to nuclear enlisted service.

8. Students disenrolled for other than disciplinary reasons or aptitude before the end of an academic term may be authorized by the PNS to complete the current term as Naval Science students.

9. Any student who is disenrolled must return all government property (uniforms, Naval Science text books, etc.) before leaving the unit. As midshipmen are members of the Inactive Ready Reserve until ASN approves disenrollment, midshipmen pending disenrollment shall not have their military identification cards confiscated. The student shall be held accountable for damaged, missing, or lost items and must reimburse the government for any items returned damaged or not returned. When a student has left the unit without turning in government property, the PNS will request via certified mail, the return of all government property. In addition, students who have had access to classified material shall be debriefed and shall execute a Security Termination Statement OPNAV 5511/14, in accordance with SECNAVINST 5510.30 series. The original will be filed in the student file and a copy maintained at the unit for a period of two years.

**8-14 Recoupment, Active Enlisted Service, and Obligation Waiver**

1. NROTC Scholarship students and certain College Program students (those who received NROTC scholarship monies prior to enrolling in the College Program) who are recommended for disenrollment, refuse to accept a commission, or violate their agreement shall either serve a minimum of two years of AES or reimburse the United States for educational costs expended on
the student’s behalf. Requests for active enlisted service must be in writing from the MIDN, endorsed by each level of the chain of command, and it must explain the reason(s) for the request for service.

2. Scholarship students who are disenrolled during their freshman year will not incur an obligation for recoupment or AES, unless they were released early from active duty for the purpose of accepting a scholarship. Scholarship students received from active duty may be ordered back to active duty at the end of the school term to complete the obligation remaining on their most recent enlistment contract.

3. Scholarship students who incur a service obligation (i.e., have completed two Naval Science classes, are enrolled in a third Naval Science class, and are receiving NROTC scholarship benefits) are subject to recoupment or must serve a minimum of two years of AES.

4. College Program students disenrolled during their junior or senior year are subject to recoupment or AES if they received more than one year of scholarship benefits as scholarship students before changing to the College Program.

5. Students awarded NROTC scholarships from active duty will be processed for recoupment. These students will be referred to the appropriate Service Agency (USN or USMC) for re-accession into the enlisted ranks.

6. SSO, USNR MIDN disenrolled at any time may be subject to recoupment or AES. The authority to pursue recoupment or AES rests with the MARAD.

7. It is current Navy policy that disenrolled students provide recoupment of costs to the Navy rather than serve AES. This policy is currently under review. Because SECNAV can authorize AES, all obligated students who wish to disenroll shall be afforded the option to request recoupment or AES using the Disenrollment Acknowledgement Form NSTC 1533/120. In exceptional cases, the student may request a waiver of all obligation for medical or other extraordinary circumstances. If the student refuses to sign the Disenrollment Acknowledgement Form, the PNS shall make a statement to that effect in the disenrollment report. The PNS is also required to recommend recoupment, AES, or a waiver of obligation. The PNS should base his decision on the following guidance:
a. While recoupment is the preferred Navy policy, the PNS will normally recommend recoupment in cases where the MIDN fails to meet the requirements set forth in their Service Agreement.

b. Should AES become the preferred Navy policy, the PNS will normally recommend AES in cases where the MIDN fails to meet the requirements set forth in their Service Agreement unless the PNS feels that the student is unsuitable for AES due to disciplinary issues, drug abuse, family hardship, etc.

c. Waiver of all obligation will be considered on a case-by-case basis. Students will provide a written statement regarding their request for obligation waiver. The PNS will make a recommendation in writing regarding the waiver.

8-15 Types of Disenrollments. There are nine types of disenrollments. Each type is unique and may require additional consideration, paperwork, or endorsements.

1. DOR

   a. Any student whose disenrollment is pending, or who would normally be disenrolled or recommended for disenrollment for any other reason, **shall not** be disenrolled by DOR prior to consultation with NSTC OD and the NSTC SJA.

   b. Any student may disenroll at their own request if appointed to another service academy or ROTC program. Additional guidance concerning inter-service transfer requests is contained in Chapter 7.

   c. In the case of freshman Scholarship or College Program (basic standing) students who have not received benefits, and who request to disenroll by DOR, a PRB is not required. However, a statement from the student and a PNS endorsement is required. A PRB is required if benefits have been received.

   d. Scholarship (obligated students) and College Program (advanced standing) students may request to DOR at any time, unless a disenrollment is pending for any other reason (e.g., academics, aptitude, pending criminal charges, and in these instances, a PRB is required).

   e. Voluntary disenrollment requests for STA-21(N) OCs should be given careful consideration and forwarded with appropriate comments and recommendations. The PNS must make a
statement concerning the OC’s suitability for returning to nuclear enlisted duty.

2. Dropped by the Institution. Any NROTC student dropped (or involuntarily disenrolled) by the academic institution for any reason shall be immediately disenrolled by the PNS. This includes students who are "suspended," "forced to withdraw," "Not permitted to register for the next semester," or for any other similar reason are prevented by the institution from continuing their enrollment, either temporarily or permanently. The date of disenrollment shall be reported on the NROTC Student Disenrollment Report under the category "Dropped by the Institution." In these circumstances, midshipmen may waive their right to a PRB, as delineated in Section 8-8.

3. Academic

   a. Students who remain on academic probation or on LOA for more than one term (quarter or semester) must be considered for academic disenrollment.

   b. The PNS shall recommend the disenrollment of any NROTC student whose general academic record and/or specific academic failures make their value as an officer doubtful.

   c. When recommending a student for an academic disenrollment, a statement concerning any probationary or LOA letters previously issued by the PNS is required. The statement need not be submitted as a separate letter, but may be included within the statement of circumstances recorded on the NSTC 1533/32 in the space designated for PNS's comments. The statement need only declare that the student had been issued previous letters of academic probation and list the dates of such letters. For example: "MIDN [Name] has been placed on academic probation on two different occasions by correspondence dated 21 January and 15 April 2012."

   d. The PNS must include a recommendation regarding active enlisted service or recoupment, if obligated.

4. Inaptitude/Unsuitability

   a. The PNS shall recommend disenrollment of any NROTC student who has demonstrated a lack of officer aptitude as to make further retention unjustified. Inaptitude disenrollment recommendations may include, but are not limited to, any of the following:
(1) Unsatisfactory leadership qualities.

(2) Unsatisfactory acceptance of responsibility.

(3) Inability to meet physical conditioning standards or swimming qualification requirements.

b. In cases where the student is being disenrolled for inaptitude/unsuitability reasons, the student shall be afforded the opportunity to provide a written statement regarding the circumstances that led to their disenrollment. The statement shall include an acknowledgment by the student that they have been advised by the unit that the disenrollment may be prejudicial to their interests should they ever apply for a commission in the Armed Forces. Should the student refuse to sign and/or submit a statement, the PNS should document the student’s actions in the Disenrollment Report.

5. Disciplinary

a. Disciplinary disenrollment recommendations may include, but are not limited to, any of the following:

(1) Major breach of Naval Service discipline. Lying or cheating should normally be considered a disciplinary disenrollment and not an inaptitude disenrollment.

(2) Criminal and/or moral offenses deemed to be disqualifying by the PNS, including violation of standards of honor expected of NROTC MIDN.

(3) Cumulative unsatisfactory disciplinary record.

(4) Unwillingness to schedule required academic courses or dropping such courses without approval of the PNS.

(5) Scholarship students who complete program requirements, but refuse to accept their commissions, may be subject to recoupment or required to serve not more than four years active duty in an enlisted status.

(6) Alcohol or drug abuse, or alcohol/drug-related incidents as addressed in OPNAVINST 5350.4 series.

b. The PNS is responsible for ensuring that all relevant facts and evidence are fully and specifically set forth in cases of disciplinary disenrollment. Appropriate officials of the
host institution shall be notified of the final Navy disposition of all such cases. The academic institution, without regard to such disposition, may take disciplinary action independently. The PNS will advise NSTC OD of any such disciplinary action taken by the institution.

c. Disciplinary disenrollments become a matter of permanent federal record and may prejudice the individual for future military or civil employment. Disciplinary disenrollments may be disqualifying for future federal security clearances that are often necessary for positions in private industry. Disciplinary disenrollments may be prejudicial to their interests should they ever apply for a commission in the Armed Forces. Therefore, students being disenrolled for disciplinary reasons must state, in writing, that they understand such a disenrollment is prejudicial to their interests. Should the student refuse to sign and/or submit a statement, the PNS should document the student’s actions in the Disenrollment Report.

6. Special Reasons (Hardship, Family Illness, Conscientious Objector, and Refusal of Immunization)

a. NROTC students may apply, via the chain of command, for disenrollment for special reasons. Special requests shall be endorsed only after conducting a preliminary inquiry and a Performance Review Board.

b. Disenrollments for special reasons may include, but are not limited to, the following:

(1) Severe financial hardship on the same grounds as set forth in MILPERSMAN 1910-110.

(2) Family illness that requires student withdrawal from school.

(3) A conscientious objector defined the same as in MILPERSMAN 1900-020. Due to the mission of the NROTC Program to produce unrestricted warfare line officers, MIDN may not claim conscientious objector status and remain a MIDN, assuming burdens describe below are met.

   (a) Student’s statements that they are conscientious objectors do not automatically constitute grounds for disenrollment. Students bear the burden of proving their claims as grounds for disenrollment or assignment to noncombatant
training and service. Students also have the burden of determining and setting forth the exact nature of their request (i.e., whether for separation or for assignment to noncombatant training).

(b) An O-4 or above should be appointed by the PNS as investigating officer to conduct an investigation to determine the student’s motive. If the investigating officer determines that the student made the statement for the purpose of seeking separation, the unit may process the disenrollment on the basis of DOR, or if other concerns are brought to light, the unit may process the disenrollment on the basis of inaptitude. In either case, recoupment or active enlisted service may be recommended.

(c) For further guidance, consult MILPERSMAN 1900-020 and the NSTC SJA before taking action regarding an alleged conscientious objector.

(4) Refusal of Immunization. See Chapter 5 for additional guidance.

7. Physical disqualification

a. The PNS will request review of pertinent medical information, records, and/or examinations by BUMED when it is apparent that physically disqualifying problems exist for an NROTC student. The PNS shall include any existing medical documentation on behalf of the MIDN. Moreover, it is equally important that the PNS provide BUMED and NSTC OD a "non-medical assessment of the student" concerned.

b. Upon written notification and determination by BUMED that a student is not physically qualified for continued service, the PNS shall process the disenrollment due to physical disqualification as determined by BUMED.

8. Failure to Enroll

a. The PNS shall recommend disenrollment of any NROTC student who has failed to enroll in Naval Science courses.

b. Any student who, although eligible to continue enrollment at the institution, discontinues their enrollment, shall be immediately disenrolled by the PNS.
9. **Death.** When processing a disenrollment due to death, the following shall be addressed and forwarded to NSTC OD4:

   a. NROTC Student File (to include Health records); and

   b. Statement from the PNS concerning the death (time, date, location and circumstances, if known).

**8-16 Disenrollment Packages.**

1. **Scholarship Program (Obligated) or College Program (Advanced Standing)**

   a. When processing a student for disenrollment, the following documents shall be forwarded to NSTC OD4 within 30 days of the PRB:

   (1) Disenrollment Report, **NSTC 1533/122**.

   (2) Student File.

   (3) Health Record.

   (4) Performance File, to include unofficial transcript.

   (5) NROTC Educational Program Costs, **NSTC 1533/113**.

   (6) Disenrollment Acknowledgement Form NSTC **1533/120**.

   (7) Privacy Act statement signed/dated by student

   b. The above documents reflect the basic documents required. Any additional documents required depend on the type of disenrollment:

   (1) **Academic (Dropped by Institution), Academic, Aptitude/Unsuitability, Disciplinary or Special Reasons**

      (a) Copy of PRB to include all enclosures (notification, preliminary inquiry, student PRB waiver (if applicable), past probation letters, etc.);

      (b) Official notification of drop and statement from college officials (if available) (for General only);

      (c) Statement from the student regarding mitigating circumstances, such as illness, which may have affected academic
or physical performance, or statement from the PNS regarding student’s refusal to sign or submit a statement; and

(d) Statement from the PNS regarding student’s case and a recommendation for recoupment or active enlisted service.

(2) Physical Disqualification

(a) BUMED letter stating student is not physically qualified for continued service; and

(b) Statement from the PNS concerning the student’s injury or illness and overall opinion of their academics and aptitude.

(3) Failure to Enroll

(a) Statement from the student concerned, or statement from the PNS regarding student’s refusal to sign or provide a statement; and

(b) Statement from the PNS concerning the student’s case, overall opinion of their academics and aptitude, and a recommendation for recoupment or active enlisted service.

c. Upon receipt of the disenrollment package, NSTC OD4 will process and forward for SECNAV decision through the chain of command. Marine Option packages are forwarded to SECNAV by NSTC via MCRC (ON/E).

d. Upon receipt of the disenrollment package, SECNAV may, when in the best interests of the service, release any person from the program and discharge them from Naval Service. Also, SECNAV may order obligated students who fail to complete educational requirements to active enlisted service, or to reimburse the government with interest for scholarship costs.

e. Upon receipt of the disenrollment package from SECNAV, either NSTC OD4 (Navy Option) or MCRC (ON/E) (Marine Option) will prepare and send the unit a Disenrollment Authorization, NSTC 1533/123 and Appointment Termination, NSTC 1533/124. The originals should be signed by the disenrolled student and returned to NSTC OD4 or MCRC (ON/E), as appropriate. The unit shall discharge the MIDN from the USNR or USMC. NSTC OD4 will enter the appropriate attrition code in OPMIS and forward the closed-out record to the Federal Records Center, St. Louis, Missouri (Navy Option) or MCRC (ON/E) (Marine Option).
f. Two and Four-Year National Scholarship MIDN disenrolled are entitled to travel reimbursement to their home of record through the end of the school year. If a student continues their enrollment at the institution after the school year of NROTC disenrollment, they are not entitled to travel reimbursement. When authorized, the NSTC 1533/29 must be endorsed by the PNS to indicate the amount to be reimbursed. In addition, attach a Travel Voucher with completion instructions to the original NSTC 1533/124 and deliver it to the student. Retain a copy in the unit files and all other copies may be destroyed. NSTC Controlled, Tweeddale, or Leadership Scholarship students are not authorized travel reimbursement to their home of record.

2. Scholarship (Not Obligated) or College Program (Basic)

a. When processing a student for disenrollment, the Disenrollment Report, NSTC 1533/122 shall be forwarded to NSTC OD4.

b. Additional documents that are required depend on type of disenrollment and include:

(1) Dropped by Institution, Academic, Aptitude/Unsuitability, Disciplinary, or Special Reasons

   (a) Copy of PRB to include all enclosures (notification, preliminary inquiry, student PRB waiver (if applicable), past probation letters, etc.).

   (b) Student file.

   (c) Performance file.

   (d) Official notification of drop and statement from college officials (if available) (for General only).

   (e) Statement from the student regarding mitigating circumstances, such as illness, which may have affected academic or physical performance, or statement from the PNS regarding student’s refusal to sign or submit a statement.

   (f) Statement from the PNS regarding student’s case.

(2) Physical Disqualification
(a) BUMED letter stating student is not physically qualified for continued service.

(b) Statement from the PNS concerning the student’s injury or illness and overall opinion of their academics and aptitude.

(c) Health record.

(d) Student file.

3. Failure to Enroll

(a) Statement from the student concerned, or statement from the PNS regarding student’s refusal to sign or provide a statement.

(b) Statement from the PNS concerning the student’s case and overall opinion of their academics and aptitude.

(c) Student file.

(d) Performance file.

c. Upon receipt of the disenrollment package, NSTC OD4 will enter the attrition data in OPMIS.

3. STA-21 OCs

a. STA-21 OCs are obligated to serve five years from their disenrollment date or fulfill their existing contracts, whichever is longer. Moreover, if deemed unsuitable for active enlisted service, STA-21 OCs may be required to reimburse the government for any funds received for tuition, books, and fees. Determination of unsuitability for AES requires processing for administrative separation in accordance with MILPERSMAN 1910-400. As a result, the PNS should not allow the STA-21 student to start a new academic term if he or she is being disenrolled. When processing a STA-21 OC for disenrollment, the following shall be forwarded to NSTC OD4:

(1) Disenrollment Report, NSTC 1533/122

(2) STA-21 Tuition Authorization forms from NCMIS for each academic term attended.
b. Disenrollment as a result of administrative separation by CNSTC or CNPC should be preceded by a Naval message. Any additional documents required depend on the type of disenrollment:

(1) Dropped by Institution, Academic, Aptitude/Unsuitability, Disciplinary, or Special Reasons

(a) Copy of PRB to include all enclosures (e.g., notification, preliminary inquiry, past probation letters, etc).

(b) Official notification of drop and a statement from college officials (if statement is available) (for General only).

(c) Statement from the OC concerned regarding mitigating circumstances, such as illness, which may have affected academic or physical performance, or statement from the PNS regarding OC’s refusal to sign or submit a statement.

(d) Statement from the PNS regarding OC’s case and a recommendation for recoupment or active enlisted service.

(2) Physical Disqualification

(a) BUMED letter stating OC is NPQ for continued service.

(b) Statement from the PNS concerning the OC’s injury or illness, and overall opinion of their academics and aptitude.

(3) Other

(a) Statement from the OC concerned, or statement from the PNS regarding OC’s refusal to sign or provide a statement.

(b) Statement from the PNS concerning the OC’s case, overall opinion of their academics and aptitude, and a recommendation for recoupment or active enlisted service.
c. Upon receipt of the disenrollment package, NSTC OD4 will review the package for completeness and accuracy and forward to CNSTC. In cases of administrative separation, CNSTC will make a determination for recoupment. Once approved, NSTC OD4 will enter the appropriate attrition code in OPMIS.

(1) If the service member is deemed suitable for active duty by CNSTC, the package will be returned to the unit. Upon receipt, the PNS shall ensure that a NAVPERS 1070/613 is prepared for the Enlisted Service Record and ensure the service member is made available to the servicing PSD for orders.

(2) If recoupment is directed by CNSTC, a copy of all STA-21 Tuition Authorization forms shall be forwarded to DFAS-DE by NSTC OD4 to initiate recoupment. The PNS shall ensure that an Administrative Remarks Form NAVPERS 1070/613 is prepared for the Enlisted Service Record.

(3) In cases of administrative separation, unit must coordinate with servicing PSD for separation processing.

4. MECEP

a. MECEPs are obligated to fulfill the time remaining on their enlistment or reenlistment contract. When processing a MECEP OC for disenrollment, the following shall be forwarded to MCRC (ON/E), via NSTC OD4:

(1) Disenrollment Report, NSTC 1533/122.

(2) Performance file.

b. The above documents reflect the basic documents required. Any additional documents required depend on the type of disenrollment:

(1) Dropped by Institution, Academic, Aptitude/Unsuitability, Disciplinary, or Special Reasons

   (a) Copy of PRB to include all enclosures (notification, preliminary inquiry, past probation letters, etc.).

   (b) Official notification of drop and a statement from college officials (if statement is available) (for General only).
(c) Statement from the MECEP concerned regarding mitigating circumstances, such as illness, which may have affected academic or physical performance, or statement from the PNS regarding MECEP’s refusal to sign or submit a statement.

(d) Statement from the PNS regarding MECEP’s case and a recommendation for continuation of active enlisted service.

(2) Physical Disqualification

(a) BUMED letter stating the MECEP is NPQ for continued service.

(b) Statement from the PNS concerning the MECEP’s injury or illness, and overall opinion of their academics and aptitude.

(3) Other

(a) Statement from the MECEP, or statement from the PNS regarding MECEP’s refusal to sign or provide a statement.

(b) Statement from the PNS concerning the MECEP’s case and overall opinion of their academics and aptitude and a recommendation for continuation of active enlisted service.

c. Upon receipt of the disenrollment package, NSTC OD4 will enter the attrition data in OPMIS and forward the package to CNSTC for recommendation to MCRC (ON/E), who will make the final decision. Once approved, MCRC (ON/E) will return the package to the unit. The PNS shall ensure that a Page 13 entry is prepared for the Service Record and ensure the service member is made available to local Inspector and Instructor (I&I) unit for further action.

5. SSO, USNR MIDN

a. Disenrolled SSO, USNR MIDN may be obligated to serve on active duty or reimburse the government as required by current policy. USMMA MIDN are obligated after having attended the USMMA for two years or such period of time as specified in 46 U.S.C. § 51306. MIDN attending a state maritime academy are obligated upon receipt of SIP for at least two academic years or such period of time as set forth in 46 U.S.C § 51509. In either case, the MARAD has the authority to recoup should SECDEF not pursue active enlisted service. Disenrollments for non-
obligated SSO, USNR MIDN may be processed at the unit. However, in the case of obligated MIDN, the following shall be forwarded to NSTC OD4.

(1) Disenrollment Report, NSTC \textit{1533/122}.

(2) Copy of the SSO, USNR Service Agreement and enlistment contract.

b. The above documents reflect the basic documents required. Any additional documents required depend on the type of disenrollment:

(1) \textbf{General (Dropped by Institution), Academic, Aptitude/Unsuitability, Disciplinary or Special Reasons}

(a) Copy of PRB to include all enclosures (notification, preliminary inquiry, student PRB waiver (if applicable), past probation letters, etc.).

(b) Official notification of drop and statement from college officials (if available) (for General only).

(c) Statement from the student concerned regarding mitigating circumstances, such as illness, which may have affected academic or physical performance, or statement from the PNS regarding student’s refusal to sign or submit a statement.

(d) Statement from the PNS regarding student’s case and a recommendation for recoupment or active enlisted service.

(2) \textbf{Physical Disqualification}

(a) BUMED letter stating student is not physically qualified for continued service.

(b) Statement from the student concerned regarding mitigating circumstances, such as illness, which may have affected academic performance, or statement from the PNS regarding student’s refusal to sign or submit a statement.

(c) Statement from the PNS concerning the student’s injury or illness, and overall opinion of their academics and aptitude.
(3) **Other**

(a) Statement from the student concerned, or statement from the PNS regarding student’s refusal to sign or provide a statement.

(b) Statement from the PNS concerning the student’s case and overall opinion of their academics and aptitude.

(c) Statement from the PNS regarding student’s case and a recommendation for recoupment or active enlisted service.

c. In contrast to other programs, SSOP, USNR MIDN disenrollments are finalized by the OIC of each maritime academy. Forms such as the Disenrollment Authorization, NSTC 1533/123 or the Appointment Termination, NSTC 1533/124 do not apply. Nonetheless, the OIC shall forward the Disenrollment Report, NSTC 1533/122, and all supporting documentation to NSTC OD4 for review.

d. Upon receipt of the disenrollment package, NSTC OD4 will review the package for completeness and accuracy, and send to CNSTC who will review the package and forward to MARAD. Typically, any action taken by MARAD to pursue recoupment or active enlisted service is outside the scope of NSTC. Consequently, final results are not made known, in contrast to decisions made by SECNAV. Nonetheless, the OIC should ensure the Student File, Health Record, and Performance File are returned to the individual or destroyed.

**8-17 SECNAV Directed AES**

1. Upon receipt of the disenrollment package from SECNAV, NSTC OD4 will prepare and send the unit a Disenrollment authorization, NSTC 1533/123 and Appointment Termination, NSTC 1533/124. The originals should be signed by the disenrolled student and returned to NSTC OD4.

2. In the event SECNAV has ordered former Marine Option MIDN to active enlisted service, MCR (ON/E) will forward the Appointment Termination, NSTC 1533/124 to the Marine Corps Reserve Support Center, Overland Park, Kansas.

3. In the event SECNAV has ordered former Navy Option MIDN to active enlisted service, NSTC OD4 will forward the Appointment Termination, NSTC 1533/124 to the CO, PERS-4013, 5722 Integrity Drive, Building 784, Millington, TN 38054-5057.
4. In those cases where SECNAV has directed former Navy Option MIDN to active enlisted service, the MIDN will be disenrolled and an entry will be made regarding their rank. The enlisted rate at time of separation shall be determined by the following criteria:

   a. If a student has participated in the NROTC Program for a minimum of six months (22 credits), but less than a year, they will be advanced to Seaman Apprentice.

   b. If a student has participated in the program one year (45 credits) or longer, they will be advanced to Seaman.

   c. No entry is required if the student has participated in the NROTC Program less than six months.

   d. STA-21 OCs retain the previous rank held at time of release.

5. MIDN disenrolled from the NROTC Program and the academic institution, and ordered to AES, will be immediately called to service unless they request a waiver to defer commencement of AES from PERS-4013 or Marine Corps Reserve Support Center (MCRSC). Deferment of AES will not normally be granted beyond 1 July of the year following the year in which the former MIDN’s class graduated. Deferment of AES may be approved under the following conditions:

   a. Individual must acknowledge, in writing, their active duty obligation.

   b. Individual must be accepted as full-time student at an accredited four-year academic institution.

   c. Individual must show proof of attendance at the academic institution each semester and prove they can complete their degree within the time requested.

8-18 SECNAV Directed Recoupment. In those cases where SECNAV has directed recoupment, the NROTC unit shall perform the following actions:

1. The Disenrollment Authorization, NSTC 1533/122 shall be signed and dated by the individual. If the individual is unable or refuses to sign, this fact should be typed or annotated on the form. Provide a copy to the MIDN and forward the original to NSTC OD4 or MCRC (ON/E). Upon approval by SECNAV, NSTC OD4
or MCRC (ON/E) will send the original to the Defense Finance Accounting Service (DFAS) Denver at DFAS-DE/FYDE, 6760 E. Irvington Place, Denver, CO 80279-7500.

2. Shortly after the NSTC 1533/122 is received by DFAS-DE, the individual will receive a debt collection letter to establish a payment plan of 36 months and a form to defer payment until they graduate from college. The burden is on the individual to demonstrate inability to make minimum monthly payments until after graduation.

3. DFAS will also normally grant a deferral for individuals who enlist in the armed forces and terminate the debt after two years of active duty service. Nonetheless, if the individual does not respond to the collection letter in three months, the debt will be transferred to a collection agency, the Internal Revenue Service (IRS) will be notified, and reports will be made to the three major credit-reporting agencies.

4. Individuals desiring to have their debt waived through active enlisted service should contact a local recruiter. When doing so, the individual should provide NSTC OD4 a copy of the new enlistment contract with a cover letter requesting deferment of the debt. NSTC OD4 will then forward the request to DFAS-DE. On receipt, DFAS-DE should suspend the debt while the service member is in an active duty status. Once the service member completes two years of AES, the service member shall provide a statement of service from their command as proof of two years of service. NSTC OD4 will then send a letter to DFAS-DE requesting that the debt be terminated.
Chapter 9: Pay, Allowances and Benefits

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CHAPTER 9

PAY, ALLOWANCES AND BENEFITS

9-1 General Benefits

1. Financial benefits are authorized for courses which are required to receive an undergraduate degree in the major field of study set forth in the NROTC Service contract and for Naval Science courses. Benefits are not authorized for courses which are not required by the degree or the NROTC Program.

2. Students on LOA are not authorized financial benefits or subsistence payments.

3. Entitlement to benefits for Scholarship Program students is authorized by Title 10, USC, for the length of the scholarship award, and is subject to the following limitations:

   a. IAW Section 209, Title 37, USC, Subsistence benefits shall not exceed 20 months during the basic course (first two years of Naval Science) and 20 months during the advanced course (last two years of Naval Science) for a total period not exceeding 40 months. In addition, entitlements cannot exceed a maximum of ten months per year.

   b. For a student transferring from the College Program to the Scholarship Program, the length of the scholarship award is predicated upon the student’s degree plan. Students will receive scholarship benefits only for the time remaining until their class would graduate. If additional benefits are necessary, MIDN may, in some cases, be granted fifth-year benefits as discussed in Chapter 7.

   c. Entitlements during the advanced course may be extended if authorized per the provisions of fifth-year benefits as discussed in Chapter 7. However, entitlement to such benefits shall not exceed a total of 30 months, IAW Section 209, Title 37, USC.

4. Students must be full time students and meet all curriculum and Naval Science requirements to be eligible to receive benefits. The PNS may waive participation in some requirements for short periods of time based on degree plan requirements and short-term medical limitations. Naval Science students (those university students not affiliated with the NROTC Program) are
not entitled to any benefits, except the use of Naval Science textbooks.

5. Financial benefits are not authorized for retaking courses for which payment was made in previous terms (e.g., second tries at courses previously failed, re-enrollment in courses dropped after the Navy was financially obligated to pay tuition, etc.).

9-2 Scholarship Tuition, Fees, and Related Expenses

1. Payment for educational expenses (tuition, book stipends, and approved fees) are subject to limitations for the basic and advanced courses and the length of the scholarship award as set forth in Paragraph 9-1.

   a. Tuition. Payments are not authorized for special interest elective courses requiring additional fees (e.g. physical education courses for mountain climbing, skiing, horseback riding, etc.) or courses in elective options for academic majors that require additional fees (e.g. flying instruction).

   b. NROTC will pay for tuition and fees, excluding travel costs, for a course taken away from the campus where the student is enrolled, provided that:

      (1) The course is included in the student’s approved degree plan and has been approved by the PNS and the institution in advance; and

      (2) Tuition for the course will not exceed that which would be paid for a course taken at the campus where the student is enrolled.

2. Fees. Fees for advanced placement examinations will be reimbursed only if the examination results in credit for courses which are included in the student’s degree program or will advance the student’s commissioning date. NROTC will pay fees (such as health, student activity, athletic, library, student union, or transcript fees) imposed on all full-time undergraduate students, and which are not optional (i.e. cannot be rejected by the student). The PNS will ensure that only fees compensable under this section are paid with NROTC funds.

3. Personal Student Equipment. Purchase of any equipment intended for personal use (such as laptops, calculators,
drafting tools, etc.) cannot be paid by NROTC even if such purchase is required by the educational institution.

4. GI Bill (GI Bill). GI Bill benefits are available to students with prior enlisted service who are eligible for such benefits. The VA’s Office of the General Counsel (OGC) has determined that use of both STA-21 Program funds and GI Bill benefits may be used simultaneously so long as payment is for different courses and not combined to pay for the same course. The VA determined that while 38 U.S.C § 3681(a)(1) bars concurrent use of funds from both the GI Bill and DoD programs like STA-21 to pay for the same course, it does not bar concurrent payment from both sources for different courses that are part of a program of education.

   a. The Navy's STA-21 Program pays selected enlisted service members up to $10,000 annually to attend college full time and earn a degree, on the condition that they will then serve the Navy for an agreed upon period of time. This Navy scholarship is not always sufficient to pay for all courses needed to fulfill degree requirements. The service member can use GI Bill benefits from the VA (Chapter 30 of Title 38) to pay for courses after the service member’s STA-21 funds are exhausted.

   b. Because MECEP and College Program students do not receive tuition allocation, they are already authorized to use GI Bill for tuition payments.

   c. Scholarship students are not authorized to use GI Bill benefits for tuition payment.

   d. Questions concerning this or any other matter regarding the coordination of benefits executed under NSTC OD and the VA should be directed to NSTC OD4.

5. Expenses Paid by the Individual Student. Many education-related expenses are not reimbursable by the Navy and are the responsibility of the student. These include, but are not limited to:

   a. Expendable supplies, such as pencils, pens, films, and art supplies;

   b. Refundable fees and deposits, such as breakage fees or deposits required to obtain the use of any apparatus or any breakage charges or fees incurred by the student;
c. Delinquent fees assessed by the institution for failure to comply with institutional requirements;

d. Tuition charges and fees for work undertaken as a result of past academic deficiencies or failures, including but not limited to:

   (1) The student withdrew from a course;

   (2) The student had to repeat because they failed the course;

   (3) The student withdrew after incurring a fee because withdrawal was after a time when the student could have withdrawn without penalty; or

   (4) The student is retaking in an effort to receive a better grade.

e. Food, lodging, and transportation and other travel expenses, for travel that is not taken pursuant to official government travel orders.

f. Textbook costs not covered by the textbook stipend.

g. Nurse Corps Option MIDN nursing uniforms.

h. Any alterations of uniforms after the initial fitting and issue.

6. MECEP Marines receive full pay and allowances that are commensurate with their rank and may be eligible for non-competitive promotion. They do not receive any additional monies to pay for educational expenses from the Navy or Marine Corps. MECEP students, if eligible, may be able to receive educational assistance from the VA under Chapter 30. Contact the local host institution’s VA representative and check the VA’s website for further guidance and procedures.

7. STA-21 students receive full pay and allowances per their enlisted pay-grades and are eligible for advancement while participating in the program. STA-21 students receive up to $10,000 per year, paid by NSTC to the university/college for the supplemental cost of tuition, books and fees (i.e., if tuition, books and fee cost totals $7,000, only $7,000 will be paid; if tuition, fees and book costs total $13,000, the OC must pay $3,000). STA-21(N) students are not eligible for Submarine Duty
Incentive Pay (SUBPAY), Special Duty Assignment Pay (SDAP), or Selective Reenlistment Bonus (SRB). Some special pays may continue for those individuals selected for and participating in the STA-21 Special Warfare or Special Operations Option Programs (if directed by the Officer Community Manager). STA-21 students are not eligible for tuition assistance under the Navy’s Tuition Assistance program.

8. Financial benefits and subsistence payments are not authorized for courses required for any degree other than the one in the major course of study indicated in the student’s service agreement.

9-3 Subsistence Allowances

1. General Entitlement. Scholarship Program students and Advanced Course College Program students are entitled to a monthly stipend, unless they are performing Summer Training or at-sea training, in which case they are considered to be on active duty for training and receive active duty pay.

   a. Scholarship Program students in the first two years (basic course) of a four-year program are entitled to a subsistence allowance beginning on the day they start the first term of college work and continuing through completion of the second year. Entitlement to subsistence may not exceed 20 months or be paid during summer vacation between the traditional academic school years.

   b. Scholarship and Advanced Course College Program students enrolled in the last two years of a four-year program are entitled to receive the subsistence allowance beginning on the day advanced training commences. Additionally, subsistence is paid during the summer between the junior and senior year. Entitlement to subsistence may not exceed 20 months, unless extended benefits are authorized.

   c. The subsistence allowance is calculated on a daily basis.

2. Participation in NROTC training at the Unit during the summer. At institutions operating an accelerated program or cooperative study programs, students who register and participate in NROTC unit training during summer terms may be paid the subsistence allowance subject to the time limitations for the basic and advanced courses.
3. **MARAD SIP and Allowances.**

   a. Simultaneous participation in more than one officer accession program is prohibited. NROTC Scholarship or College Program students enrolled at maritime academies who receive subsistence payments under Section 209, Title 37, USC, are not eligible for the MARAD SIP commencing with the first date they become eligible for subsistence payments. Loss of SIP remains in effect for the remainder of the student’s enrollment in NROTC.

   b. Maritime academy students may also qualify for travel allowances payable by the Secretary of Transportation for costs incurred while travelling to and from training (See 46 USC § 51508).

4. **Active Duty Pay**

1. **Basic Pay.** Scholarship and Advanced Standing College Program students and applicants for membership in the NROTC (NSI attendees) are entitled to basic active duty pay at the rate established for USNA MIDN while attending summer or at-sea training. Pay status begins on the day of arrival at the training site or on the effective date of orders, whichever is later, and ends on the day the member or applicant is relieved from such training. There is no entitlement to basic pay while performing authorized travel to or from the training site. No longevity increases may accrue because of participation in such training.

2. **Special and Incentive Pay.** A member or applicant for membership in the NROTC Program is not entitled to special or incentive pay. Accession bonuses are not affected by this provision.

3. **Allowances.** A member or applicant for membership in the NROTC Program is not entitled to allowances while performing field training or at-sea training.

4. **Travel and Transportation Allowances.** A member or applicant for membership in the NROTC Program may be furnished travel and transportation allowances as prescribed in the JFTR Section U7150-E.

5. **Subsistence in Kind (SIK).** All members of the NROTC shall be provided subsistence in kind while embarked on a Naval vessel for at-sea training or while undergoing summer training ashore.
When members of the NROTC are subsisted in a mess other than a general mess, payment for their subsistence will be made by the disbursing officer to the mess treasurer, per existing instructions contained in the DOD Financial Management Regulation (FMR).

9-5 Payments and other Benefits in Cases of Disability or Death

1. Entitlement. A member or applicant for membership in the NROTC who suffers disability from an injury incurred in the line of duty while performing Summer Training or at-sea training or while en route to or from such training may be entitled to the payments and benefits described in the DOD FMR, Volume 7A, Chapter 59, paragraph 5910.

2. Payments on Behalf of Deceased Members. Beneficiaries of any member or applicant for membership in the NROTC who suffers death under the conditions specified in the DOD FMR, Volume 7A, Chapter 59, paragraph 5910 may be entitled to the payments and benefits described therein.

3. SGLI. Title 38, United States Code, Section 1965 et seq. provides for SGLI coverage to ROTC members if death occurs while on orders performing Summer Training.

Note: SECNAVINST 1770.3D series contains additional information regarding disability benefits for Navy and Marine Corps Reservists.

9-6 Service Disabled Veterans. Any member of the NROTC who is separated under other than dishonorable conditions with a service-connected disability may be eligible for Service Disabled Veterans Insurance (S-DVI). More information can be obtained at http://www.insurance.va.gov/miscellaneous/index.htm. Disability is determined by the VA.

9-7 Space Available and Reduced Fare Travel

1. Under certain conditions, NROTC students may be eligible for reduced fares offered by some commercial carriers. Questions about eligibility for reduced fares should be directed to the individual carriers.

2. All NROTC Scholarship students and Advanced Standing College Program students, due to their status as MIDN, Naval Reserve are eligible to travel on government aircraft within the United States on a space-available basis upon presentation of a valid
Armed Forces Identification Card. NROTC students who do not fall into the above categories are not eligible to travel on a space-available basis.

9-8 Federal Taxes

1. Federal Income Tax. Active duty pay is taxable. Subsistence allowances are non-taxable. Entitlements received for medical care, tuition, fees, books, laboratory expenses, and uniforms are not income and, therefore, are not taxable.

2. Federal Insurance Contributions Act (FICA). The basic pay of all NROTC students while engaged in Summer Training duty of 14 days or more is subject to FICA withholding for the Social Security Program.

9-9 Uniform Allowances. Both Regular and Reserve Officers commissioned upon completion of the NROTC Program are entitled to initial active duty uniform allowances upon first reporting for active duty. The procedures for payment of these allowances are contained in the DFAS Pay/Personnel Procedures Manual (Navy), Vol. 1 (NAVSO P-3050-1).

9-10 Record of Education Expenses

1. Permanent records of all costs attributable to tuition, book stipend, and fees paid by the government, either directly to Scholarship Program students or on their behalf, must be maintained at the unit for each student. The Individual NROTC Education Program Costs NSTC 1533/113 must be used for documenting authorized education expenses. Neither benefit costs nor signatures shall be entered for any term in which a MIDN is on LOA, and tuition, book stipend and other NROTC benefits shall not be paid. Enter “LOA” for that particular term. If the MIDN is placed on LOA during the term, document all tuition, book stipend, and fees paid prior to commencement of LOA. In cases where benefits are paid retroactively, enter tuition, book, and fee costs and have the MIDN sign the document in the block indicated for signature. This form ensures there is documentation of the MIDN’s acknowledgment of benefits paid so if recoupment is directed, in cases of disenrollment, the total amount of benefits paid on the MIDN’s behalf is established by the unit and the MIDN.

   a. Tuition amounts recorded on the cost form must match exactly the amount listed on the university invoice. Only those
MIDN on the itemized university invoices should receive tuition support.

b. Forms shall be signed by students after costs have been gathered from invoices and written on the cost record in ink. MIDN shall sign the form as soon as possible after university invoices have been received by the unit.

c. All signatures and dates shall be made in ink. Corrections or changes to tuition shall be initialed and dated in ink by the MIDN. Do not use whiteout or correction tape. Corrections shall be made by lining-out and initialing.

d. The Individual NROTC Education Program Cost Form NSTC 1533/113 shall be retained in each scholarship MIDN’s NROTC student file while the student is enrolled, in either an active or LOA status.

2. Disposition of Record of Costs

a. The original Individual NROTC Educational Program Costs Form must be left in the Student File when submitting a disenrollment. A copy shall be retained by the unit for two years.

b. When MIDN are commissioned, forward the original cost form in the commissioning package to Commander, Navy Personnel Command (PERS-8024) or MCRC (ON/E). A copy shall be retained by the unit for two years.

9-11 Procedures for Paying Education Expenses. Refer to current NSTC Comptroller (N8) guidance for paying costs attributable to education expenses for midshipmen to host and non-host institutions.

9-12 Non-Government Funds

1. Funds allocated to the NROTC unit by the institution will be administered using the policies and procedures of the institution. It is imperative that non-government funds are maintained and accounted for separately from the appropriated funds provided to the unit.

2. MIDN/Battalion Funds. MIDN/Battalion organizations, which receive non-governmental funds, should be linked to the host school in a manner similar to other student organizations. This
relationship requires adherence to policies and procedures prescribed by the host school.

3. Contributions to MIDN/Battalion funds or fundraising activities may not be required as a condition to continue in the NROTC Program.
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Chapter 10

SUPPLY PROCEDURES

10-1 General Supply Management

1. Introduction

   a. NROTC units are fourth echelon shore activities that report directly to NSTC. NROTC units are provided administrative and logistics support and guidance necessary to effectively operate as a shore activity and to achieve the objectives of the NROTC Program. The majority of guidance regarding supply management is provided by NSTC OD. Guidance is supplemented by a variety of publications from the Secretary of the Navy, Chief of Naval Operations, Comptroller of the Navy, Naval Education and Training Command, Fleet Industrial Supply Center, and Naval Facilities Engineering Command.

   b. The NROTC unit supply technician is an essential element in the effective and efficient operation of the unit. Supply technicians are responsible for all aspects of appropriated funds and material management. These functions include maintenance of the NROTC unit’s Operating Target (OPTAR) records for both Operation and Maintenance, Navy (OMN) and Military Personnel, Navy (MPN) appropriations, monitoring, and receipt control of unit procurements, uniform and textbook issue and control, and other miscellaneous functions.

2. Organizational Elements

   a. Primary Staff Elements. In the course of all financial operations, guidance and direction shall come directly from the NSTC Comptroller. The NSTC Comptroller is the Chief Financial Advisor to the Commander and Commanding Officer and has overall responsibility for maintaining the controls on all matters relating to financial management. In the course of all supply operations, guidance and direction shall come directly from NSTC OD5. This communication and interface allows for clarification of areas of current interest before problems develop.

   b. Organizational Element Roles. The role of each organizational element is as follows:

      (1) NSTC OD is the program manager for NROTC. NSTC OD5 handles most matters relating to NROTC unit operations and
supply policy and administration and is responsible for identifying and defining NROTC unit operating cost requirements.

(2) NSTC Comptroller, with offices located in Great Lakes, IL, oversees and is responsible for all budget formulation and execution related to NROTC units. On behalf of the NSTC Comptroller, Naval Education and Training Professional Development and Technology Center (NETPDT), Saufley Field, Pensacola, FL, Accounting Division directly supports NROTC units in the area of accounts payable. NETPDT is responsible for monitoring and/or certifying payments for related billings for the NROTC Program.

3. NROTC Unit Resources

a. General. As cited above, NROTC units receive funds from two Congressional appropriations: OMN and MPN. Directors of Naval Science (DNS) located at Merchant Marine Reserve units receive OMN funds only.

b. OMN. The OMN appropriation provides resources for daily operation and maintenance expenses of the Navy. In support of the NROTC Program, OMN funds tuition, tutoring, books, fees, communications (e.g., telephone), vehicles, postage, consumable supplies, civilian salaries, printing and reproduction, staff Temporary Duty (TDY) travel, midshipmen summer training travel, midshipmen initial/discharge travel, rentals, and equipment costing less than $100,000. For DNS, it similarly supports consumable supplies, printing and reproduction, etc.

(1) Fund Administration and Standardized Document Application (FASTDATA) is a web-based system used to execute and track each unit’s OPTAR. FASTDATA user information and instructions on how to use the system is located at https://app.nrotc.navy.mil/nrotc.aspx.

(2) All accounting documentation relating to bill payments shall be forwarded to NETPDT N811. The official mailing address for NETPDT is:

Commanding Officer
NETPDT N811
6490 Saufley Field Road
Pensacola, Florida 32509-5241

c. MPN. The MPN appropriation provides for midshipman pay and allowances, monthly stipends, uniforms and alterations,
subsistence-in-kind, commutations-in-lieu, Meals Ready to Eat (MREs), and Tailored Operational Training Meals (TOTMs) for NROTC midshipmen.

d. Non-appropriated Funds – Recreation Funds. Expenditures from Navy recreation funds must benefit active duty personnel only; this includes STA-21 and MECEP students. NROTC students are prohibited from participating in the use of such funds. The administration of that fund shall be in accordance with BUPERSINST 1710.11C (series). Control over the recreation fund and other special unit funds will not be placed under custody of the unit supply technician.

e. Other Navy Resources. Certain materials are provided to support the NROTC Program even though the NROTC unit is not directly involved in funding for these items. Examples include requirements for small arms and ammunition, training aids, IT equipment, and unit vehicles.

f. Non-governmental (Host Institution) Funds. Host colleges or universities may provide funds to respective NROTC units and DNS’s because of their departmental status at the institution. Support may be provided in the form of classroom and office space, equipment, utilities, secretarial services, reproduction and office supplies, and other miscellaneous support. Management and accountability of this monetary allowance is a matter between the NROTC unit, DNS and the institution. Other resource support may be provided by alumni or retired veteran associations for special projects, such as equipment for the drill team or drum and bugle corps.

4. Unit Financial Management Controls, NROTC Unit Identification. Within the financial system, the Unit Identification Code (UIC) and a two-digit school code identify each NROTC unit. A list of unit UICs, school codes, purchasing office codes, and call/order serial numbers is available at https://app.nrotc.navy.mil/nrotc.aspx.

5. Budget Calls.

a. OMN. A budget call is forwarded to the NROTC units and DNS each year by NSTC OD via OPMIS Official Mail. Each unit shall submit a completed budget request and spending plan to NSTC OD53 not later than the second Friday in March. Past and current year budget data are requested by expense element (See Appendix H), and spending plans by quarters. Justification for the amount requested in each expense element is required.
spending plan will enable NSTC N8 to distribute funds in the order of unit execution and NSTC OD5 to monitor execution. Special attention shall be given to the fact that funds shall be completely expended each quarter. An approved OPTAR with annotations on disapprovals is forwarded to the NROTC Units and DNS by 30 August each year.

b. MPN Annual Financial Plan, Schedule C. Each April, a Schedule C report shall be submitted for the coming fiscal year. Directions for completing the form will be issued by NSTC OD via OPMIS Official Mail. The form will list dollar requirements for clothing by quarter, and the number of students by class. Accurate forecasting based upon past and current year enrollments must be carefully computed to ensure adequate funding for clothing.

6. Contracting Authority. As directed by NAVSUPINST 4200.99, NROTC units are authorized to place orders under existing Educational Service Agreements and bookstore contracts subject to the ordering limitations of the agreements and contracts as long as the following actions have occurred:

a. Prior to establishing a local Government-wide Commercial Purchase Card (GCPC) Program, the Head of Activity (HA) (e.g. Commanding Officers or Officers in Charge) must obtain a grant or delegation of authority to operate a GCPC Program from Fleet and Industrial Support Center, Norfolk.

b. After grant or delegation of authority is received the HA can re-delegate contracting authority to each potential cardholder (CH), or one individual within the activity (e.g. the APC). Appointments shall be made on the Delegation of Authority letter from the NROTCU CO.

c. For preparation of scholarship program tuition and fees obligating documents i.e., DD1155, DD1149, and SF30, refer to latest NSTC Comptroller guidance.

10-2 STA-21 Authorized Payments for Tuition, Books, and Fees

1. Funds Allocation. STA-21 students receive up to $10,000 per year for tuition, CLEP courses, books, and fees. Those funds are spread across the fiscal year. Therefore, students attending semester schools receive $4000 for spring and fall and
$2000 for summer. Students attending quarter schools receive $2500 per quarter. Funds not used in a previous term can be rolled over to the next term as long as it is within the same fiscal year. Students are not entitled to funds for semesters or quarters in which they do not attend school. The amount available at any point in time is shown on the Navy College Management Information System (NCMIS) Authorization Form. This is a dynamic amount that is increased each semester/quarter and decreased as it is used for tuition authorizations and claims for reimbursement. The amount shown is only valid as of the date the form is printed as authorizations or claims may have been submitted since the authorization date shown.

2. **Authorized Payments.** The Navy authorizes the STA-21 program payments to cover tuition, books, and fees. Fees are defined as student-associated academic fees and do not include parking fees. Advance deposits, registration, and application fees are allowed for the assigned NROTC unit. Shipping costs for books ordered on-line are authorized. Reimbursement for CLEP courses is authorized if the member completed the CLEP courses while enrolled in STA-21 and the courses shall count toward degree completion. If feasible, students shall utilize Navy College offices for CLEP testing. Items such as calculators, notebooks, pencils, pens, printer paper, computers, drafting tools, nursing uniforms, and tutoring costs, and other miscellaneous supplies are not authorized for payment.

3. **Overseas Study.** STA-21 students are allowed to take courses overseas; see Chapter 7 for additional information. Funding is allowed to cover tuition, books, and academic fees. The student is responsible for their own travel costs. Requests for approval shall be sent to NSTC OD4.

4. **Graduate Course Work.** STA-21 students are not allowed to take graduate level courses. STA-21 is an undergraduate program and all students are expected to receive a baccalaureate degree and be commissioned at the earliest date allowable. Students entering college with a significant amount of advanced credits for degree purposes should expect tours of duty under instruction to be reduced proportionately.

5. **Grade Reporting.** The PNS shall designate an NROTC unit staff member to input grades received on all courses paid by STA-21 funds. Grades shall be entered into OPMIS and NCMIS systems. The PNS will determine if the designated staff member will be the unit Supply Clerk or STA-21 Academic Advisor.
Grades shall be entered immediately upon receipt from the university for each term.

6. Financial Aid/Scholarships. STA-21 students cannot use their, Navy College Fund, or Tuition Assistance while enrolled in the program. See paragraph 9-2.4 for various GI Bill programs. Students are allowed to use other scholarships, loans, or grants available through the financial aid office. If the financial aid is earmarked for tuition, the university may use the financial aid as the first source of funds and then the remaining balance can be charged against the student's STA-21 account. If the financial aid is not earmarked for tuition, the university may charge tuition and fees against the student's STA-21 account first. Since the policy can vary between universities, check with the university billing office for assistance.

7. Non-NROTC Affiliated Schools. STA-21 students may be allowed to take a course at a non-NROTC affiliated school when it is in the best interest of the program. For example, if there is a local junior college that offers courses at a lower cost, students can be allowed to attend. However, this type of accommodation requires coordination by the STA-21 academic advisor and shall be used on a limited basis. Before the student can attend the non-affiliated school, the STA-21 academic advisor must obtain documentation that the courses will be accepted and count toward the student's degree program at the host university. The STA-21 Academic Advisor shall send an email request to NETPDTC N811 (Accounting Technician) to add the non-affiliated school to the list of schools accessible to the NROTC unit through the NCMIS.

8. Uniform Allowance. Per the DoD FMR Volume 7a (Chapter 29-30), STA-21 students are not authorized the annual enlisted uniform allowance while participating in a commissioning program. During the first week at NSI, students report to the uniform shop and receive a full sea bag of items listed on the NSI Uniform Requirements List. Items not available during issue are ordered immediately. Male students receive a $1,200 uniform allowance and female students receive a $1,400 uniform allowance approximately one month following uniform issue. Students shall pay their NEX uniform shop accounts prior to graduating from NSI.

9. Reporting Students into NCMIS. STA-21 students shall be entered into NCMIS by NSTC OD staff personnel. Units that have
a student onboard who is not in the NCMIS database shall contact NSTC OD4 for assistance.

10. Dropped or Failed Courses. Dropped or failed courses that must be repeated shall not be funded using STA-21 funds. Students are not required to reimburse the government for dropped or failed courses.

11. STA-21 Tuition/Fees Payment Process
   
a. STA-21 student registers.
   
b. STA-21 student returns registration document to NROTC unit.
   
c. NROTC unit staff member inputs course data into NCMIS.
   
d. NROTC staff member produces the tuition authorization form via NCMIS. The original shall be furnished to the bursar after all parties sign and date. If the student drops, adds or otherwise changes courses, NCMIS must be updated by the unit staff and a new authorization form provided to the school.
   
e. The Bursar’s Office will mail the invoice for payment to:

       Commanding Officer
       NETPDTC N811 (STA-21)
       6490 Saufley Field Road
       Pensacola, Florida 32509-5241.

       A copy of the original STA-21 tuition authorization should accompany the invoice.

12. STA-21 Book Reimbursement Process
   
a. STA-21 student purchases books.
   
b. STA-21 student returns receipts to NROTC staff member.
   
   c. The NROTC staff member prepares a SF 1164 per NSTC Comptroller guidance. The STA-21 student must be entered in NCMIS before the NROTC staff member can prepare the SF 1164 for reimbursement.
13. **STA-21 Tuition, Books, and Fees Allocation.** The available benefit for STA-21 students is $10,000 per school year allocated as follows:

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<tr>
<th>SEMESTER SCHOOLS:</th>
<th>QUARTER SCHOOLS:</th>
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<tbody>
<tr>
<td>$4,000 FALL</td>
<td>$2,500 FALL</td>
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<td>$4,000 SPRING</td>
<td>$2,500 WINTER</td>
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</tbody>
</table>

Funds not spent can be carried over from one semester/quarter to another, as long as the terms are within the same fiscal year. Use the **SF 1164** reimbursement process to get funds for excess tuition and fees paid in previous semesters. Students who begin schooling in the summer are unable to draw fees from the fall/winter/spring of that FY.

**10-3 MPN**

1. The Bureau of Naval Personnel (BUPERS) issues an allocation of MP,N funds to NSTC. Training costs incurred for NROTC midshipmen pay, Federal Insurance Contribution Act (FICA), and subsistence-in-kind (SIK) allowance, are charged to the NSTC allocation. Amounts for pay, FICA, and SIK are based on data (dates of expected training) input through OPMIS to DFAS. DFAS transmits computerized Leave and Earnings Statement (LES) information to NSTC. The LES information is used to generate applicable obligations.

**10-4 Travel Entitlements**

1. **Allowances.** Members who have been appointed “midshipman” in the NROTC program are entitled to travel and per diem allowances prescribed in paragraph U7150 F, **Joint Federal Travel Regulations (JFTR)**, and **DoD 7000.14-R, Financial Management Regulation, Vol 9**.

2. **Allowance Summary.** Allowances are summarized as follows:

   a. Advanced Standing College Program members and designated applicants (10 U.S. Code 2104):

      (1) Are authorized transportation from home of record or the location of the NROTC unit, as specified in the orders, to the authorized field training or at sea training site and return.
(2) May be furnished NROTC unit funded transportation and subsistence, or be paid a mileage allowance, for travel to and from installations for medical or other examinations, or to observe military functions and operations, or for other observations deemed appropriate by the service concerned.

(3) Are authorized transportation by government conveyance or GTR.

(4) Are, if necessary, authorized mileage allowance at the rate prescribed by Joint Federal Travel Regulations (JFTR) to be paid for the official distance for travel performed under subparagraphs 1 or 2 above, if method of transportation is privately owned vehicle (POV).

(5) Are authorized per diem for foreign exchange cruises only.

(6) Are authorized reimbursement for lodging and meal expenses when traveling to and from field training when, through no fault of the member, a delay occurs at a place where no government quarters or mess are available.

b. Basic Standing College Program. Basic Standing College Program students shall not be placed under orders. However, for training that is required for commissioning, an applicant for membership in the NROTC Program may travel via government vehicle to attend events such as drill meets, sporting tournaments, indoctrination trips, or other functions associated with receiving a commission.

c. Allowances for scholarship midshipman (10 U.S. Code 2107) are:

3. A person who travels to an educational institution to accept an appointment as a midshipman is entitled to the PCS allowances for the travel performed to the institution incident to the appointment. The allowances payable shall not exceed those payable from the appointee's permanent place of abode, home, school, or duty station at the time travel began to the educational institution. A mileage allowance equivalent to the Monetary Allowance in Lieu of Transportation (MALT) rate is payable for travel performed POV to accept an appointment or at the government cost of a one-way airline ticket.

   a. Per diem is not payable for TDY where both government quarters and government mess are available.
b. Per diem is authorized for the constructive travel time (commercial airline schedule plus time to and from carrier terminals) to and from training sites.

c. Per diem may be payable for periods of delay en route to or from at sea or field training when government quarters and messing facilities are not available.

d. Settlement of Travel Claims and Liquidation of Travel Advances. Prior to commencement of Active Duty for Training (ADT), the NROTC unit shall furnish each midshipman with:

   a. Large stamped envelope pre-addressed to the NROTC unit.

   b. LES.

   c. Training Orders DD Form 1351/2.

   d. A copy of the travel voucher DD Form 1351/2 signed by the traveler prior to commencement of travel. Original voucher will be retained by the Non-Defense Travel System (DTS) Entry Agent (NDEA). Refer to current NSTC Comptroller guidance for further direction in settlement of travel claims.

10-5 Property Accountability

1. Roles and Responsibilities

   a. Commander. The Commanding Officer has command responsibility and supervisory responsibility, respectively, for property within their organization. They shall designate a Personal Property Manager (PPM)/Responsible Officer in writing. The Personal Property Manager ensures all property is properly maintained, safeguarded, accounted for, and accurately reported.

   b. Personal Property Manager. The PPM implements DoN/NETC personal property policies and procedures; schedules training of Personal Property Personnel; ensures JUMS data integrity; schedules and monitors physical inventories; and controls access to JUMS, ensuring compliance.

   c. Responsible Officer (RO). The RO ensures the due care and safekeeping of personal property assigned to their custody and the custody of those personnel reporting to them.
d. All Personal Property Personnel. Personal Property Personnel shall implement controls to ensure Management Control objectives are attained.

e. Property Custodian. The property custodian has direct responsibility for property. NROTC units are responsible for all supplies, material and equipment issued to and/or stocked at the unit.

2. Minor Property. Minor property and shall be physically marked, when feasible, with an appropriate designation indicating U.S. Navy ownership along with a locally established identification number. Serial Numbers must be used. All government property shall be accounted for and tracked in JUMS. Minor Property refers to equipment which requires special management. Examples include:

   a. Property which is relatively valuable and easily converted to personal use. The Commanding Officer may designate additional items as minor property.

   b. All ADP equipment purchased with Government Funds shall be inventoried and reported in JUMS. Note: NSTC N6 and NETPDTC N632 approval shall be obtained prior to any ADP Equipment purchase. Coordinate these approvals via NSTC OD5.

   c. Material Custody. The term custody indicates the responsibility for proper care, stowage, and use of Navy material.

   d. Sub-custody of Material/Supplies. All property shall be signed for by using a JUMS custody record (PROPERTY CUSTODY RECORD). All property shall be returned by the sub-custodian prior to transfer from NROTC unit. Any property not signed for on JUMS SUBCUSTODY RECORD is the responsibility of the CO or his designated person(s). The JUMS user information and instructions on how to use the system are located at https://app.nrotc.navy.mil/nrotc.aspx.

3. Transfer/Turn-in Procedures. Items shall be turned in or transferred when they are in excess of authorized allowances; not needed and the authorization is not mandatory; become unserviceable or uneconomically reparable. Such items shall be turned in or transferred:

   a. Turn-in to Defense Reutilization and Marketing Office (DRMO).
(1) For ADPE (CPU, LAPTOPs, etc.) obtain approval from NSTC N6.

(2) Create JUMS Transfer (see tab 37 of the NROTC Training Binder). Transfer items to DRMO.

(3) Contact DRMO and prepare documents and equipment IAW DRMO procedures.

(4) Post document numbers to document register to show turn in pending.

(5) Deliver the items to the turn-in point and make turn in.

(6) After the items have been accepted, post the document register to show the turn-in as being completed. File the DD 1348 and JUMS transfer in the support file.

b. Transfer of Property.

(1) To transfer property to other NROTC units: create JUMS Transfer (see tab 37 of the NROTC Training Binder). Transfer items to gaining unit.

(2) Transfer to Non Navy DODAAC's: The DoD has implemented the Computers for Learning (CFL) Program IAW Executive Order 12999, 13096, 13230, 13270 and 13256. Public schools may register at https://www.dispositionservices.dla.mil. Once registered, they may receive IT equipment from NROTC units. In order to transfer excess equipment, the NROTC unit must first report the equipment to its local DRMO. Once the DRMO has accepted accountability of the equipment and the school is registered, each line item may be transferred directly to the school via an 1149. Free shipping is possible, http://www.iamovers.org/ or by calling 1-703-317-9950. This is the process NROTC units must use to ensure transferred equipment is removed from NROTC records and all accountability and support is the school or university’s responsibility. For further information go to www.drms.dla.mil.

(3) Units shall no longer return DRMO items to NETPDTC unless otherwise directed by NSTC N6.

(4) Post document numbers to document register to show turn in transfer.
(5) Deliver the items to the turn-in point or make arrangements for pickup from gaining unit.

(6) After the items have been accepted post the document to the register to show the transfer as being completed. File the DD 1348 and JUMS transfer in the support file.

10-6 Inventory Requirements. The NROTC unit shall inventory all clothing and equipment (INVENTORY SUMMARY) to include computers, printers, audiovisual (AV), all other government-owned equipment during the 6-month period prior to 1 November. The PNS shall record the inventory results on an inventory memorandum. The PNS shall state that the required items were completely inventoried, include the name of the individual who conducted the inventory, and list any differences which have been accounted for. The responsible officer shall sign the memorandum, file the original memorandum with the automated inventory listings at the NROTC unit, and maintain the inventory record for 6 years, 3 months. The unit shall forward a copy of the memorandum only (not the automated inventory listing) signed by the responsible officer to NSTC OD54 by 10 November every calendar year.

1. Clothing. All clothing that the NROTC unit identifies as excess upon the completion of the inventory shall be reported to NSTC OD54 by 1 November. The report format shall include NSN, nomenclature, size, and quantity, broken down by gender.

2. PNS Change of Command. The NROTC unit shall complete a 100% equipment inventory before the change of PNS. At least 10 percent of the clothing stock shall be inventoried. If there is a significant inventory discrepancy (greater than 5% of items checked), PNS shall conduct a 100% inventory prior to Change of Command.

3. Change of Unit Supply Technician. The NROTC unit shall conduct a 100% clothing and equipment inventory upon change/relief of unit supply technician. Supply Technician shall report to PNS the condition of the stock, the storeroom, and the inventory accuracy. A storeroom validity of over 95% is recommended per NAVSUP P486.

4. Change of a Head of Department. The NROTC unit shall inventory all items in the custody of department concerned, within 30 days of the commencement date.
5. Small arms and dummy weapons are considered "signature required" controlled equipage. The NAVSUP 306 form shall be used as a custody record and inventory control document. Small arms shall be inventoried annually, quarterly, and monthly as required by NAVSEAINST 8370.2 series. Dummy weapons shall be inventoried annually. Inventories shall be posted to Master Custody Cards-NAVSUP 306.

6. Instructional Materials Inventory

a. Every summer, each NROTC unit shall conduct a physical wall-to-wall, 100% inventory of all instructional materials provided by NSTC OD. The inventory shall be submitted by the unit via JUMS no later than 31 AUG of each year. The training binder website, https://app.nrotc.navy.mil/nrotc.aspx has instructions on completing the inventory.

b. All discrepancies shall be corrected by the NROTC unit in JUMS by either using gain by inventory, survey, or the transfer options. A signed copy of all survey and transfer reports shall be forwarded to NETPDTC N8612 and the originals shall be kept for the unit’s records.

7. Inventory reconciliation. The NROTC unit shall ensure that the JUMS Midshipmen Database is up-to-date, that the midshipmen alpha roster is current. Post issues, graduations, lateral transfers and turn-ins; account for as much inventory as possible. After all transactions have been posted, the unit shall account for any differences from the JUMS balance by using the JUMS SURVEY DD Form 200. After the DD 200 is approved, the unit shall post the transaction to JUMS.

10-7 Clothing

1. Requisitioning. Requisitions for clothing are submitted using the Joint Unit Management System (JUMS). JUMS provides an automated system to submit uniform clothing requisitions to the Navy Exchange Service Command (NEXCOM) and DSCP – S9T. The data entry module gives the NROTC units the ability to create a clothing order on a local microcomputer. The JUMS user is given the option of entering clothing items by Navy Item Identification Number (NIIN) or nomenclature. When the nomenclature option is selected, the user may scroll through the item database and enter the quantity desired for each item. NROTC units that have purchases generated in JUMS shall be subject to MPN capping; a unit cannot submit a clothing order that exceeds its current MPN balance. If unit MPN funds are
available, the unit MPN balance will be automatically reduced by the amount of the order that was submitted. When the order is complete, it is converted into Military Standard Requisitioning and Issue Procedures (MILSTRIP) format for transfer to NEXCOM and S9T. Commercial uniform items are shipped from NEXCOM and standard defense stock items are shipped from DPSC. NEXCOM updates the JUMS database on a quarterly basis. As changes are made, NETPDTC N6 updates the revised file in the JUMS database on a quarterly basis. Refer to the JUMS help desk for operational procedures and problems in the JUMS system. JUMS Help Desk email address: JUMS@NSTC.navy.mil Commercial phone number: (850) 452-1001 ext. 1337. Any other means of procuring uniforms must be approved prior to purchase by NSTC OD54. The JUMS user information and instructions on how to use the system is located at https://app.nrotc.navy.mil/nrotc.aspx.

2. Quality Deficiency Report. A Quality Deficiency Report SF 368 shall be prepared when clothing received does not conform to current uniform specifications and regulations (e.g., flimsy material, uneven seams, pants and shirt that do not match). Electronic transmission (ET) of quality report SF 368 is available. Units are no longer required to submit message/paper generated SF-368s. For further information on how to establish ET contact FMSO’s Code 05614, DSN 430-4224, (COMM (717) 605-2319). The original report shall be submitted to:

    Commanding Officer
    NAVICP
    PO Box 2020
    5450 Carlisle Pike
    Mechanicsburg, PA 17055

3. Report of Discrepancy (ROD). If an order from DPSC is not correct, the unit shall prepare a report of discrepancy SF 364 and fax it to DPSC at (215) 737-7255.

4. Intra-organizational (Other NROTC units) Cooperation. If able, the unit supply technician shall exchange stock clothing items with nearby units when an excess or insufficiency exists. System backorder delays and excess inventory costs are generally reduced as a result of these initiatives. Transfer accounting processes shall be maintained to record these transactions. Transfers of clothing shall be documented by using DD 1149 or DD-1348-1 with a copy retained in the unit expenditure file.

5. Uniform Ownership. The authorized outfit for midshipmen is prescribed in Chapter 10 Appendix I (Uniform Requirements for
NROTC) and in U.S. Naval Uniform Regulations. Most of the clothing items become the property of the individual midshipman, but only if he or she satisfactorily completes the NROTC Program. Other items such as insignia and special summer cruise apparel and equipment is designated "organizational clothing" and shall remain the property of the U.S. Government and must be returned. This policy also applies to Marine Option graduates.

6. Accountability of Uniform Clothing by Student. All items of government property shall be accounted for. Uniform articles can be issued on uniform issue forms in order to save time during events like fall uniform issue. The form shall contain the following: Printed Name; a list of all articles of uniform issued; accountability statement (see 10-6.10); student’s signature; signature of Supply Rep For issue or turn in. This form is authorized for use for a maximum of 30 days. All clothing and equipment information shall be transferred from this form into JUMS as soon as possible, but in less than 30 working days. The NROTC unit supply technician shall maintain an electronic (JUMS) uniform issue form for each student in a separate record folder. Electronic uniform issue forms can be printed for signatory purposes. Uniform articles that are lost, mutilated, or destroyed through negligence or carelessness shall be replaced in kind or paid for by the student with a money order or certified check. Personal checks are not accepted. The NROTC unit Commanding Officer shall make collections using Collection Vouchers DD Form 1131, cite MPN accounting data, and indicate the purpose of collection, date, student's name, and amount of each collection. The Collection Voucher shall be forwarded to NETPDT C N811.

7. Alterations and Renovations. Clothing alterations and/or renovations are made via a contract with local tailoring businesses. Uniform alterations are set up on a piecework basis with each class of work defined and itemized in the contract. The supply technician shall validate the work performed. Major alterations to NROTC uniforms are not authorized. In the fitting of uniforms for NROTC students, minor alterations such as adjustments for sewing on insignia, hat bands, loops, and sleeve length for dress coats, trouser and skirt length, and slits for swords are authorized. All other alterations are considered major alterations. Minor alterations shall be charged to the appropriate expenditure account number. Allotments of funds for this purpose are granted.

8. Uniform Issue. The PNS shall only issue the basic minimal uniform items, per Chapter 10 Appendix I (Uniform Requirements
for NROTC), necessary for use on campus for the year. Midshipmen shall not be issued summer cruise sea bag items until scheduled for that activity. Units that participate in the commutation-in-lieu of uniform allocations are not eligible to be issued Navy uniforms. Only summer training uniforms shall be issued to these midshipmen.

9. Nurse Uniforms. Midshipmen uniforms shall be issued as per other scholarship midshipmen. Nurse Option midshipmen shall furnish their own nursing uniforms and shoes required by their institution.

10. Accountability Statement: Each person authorized issue of uniforms shall read, understand and sign an accountability statement prior to such uniform issue; this signed statement shall be maintained in the student file. The language of such a statement shall read: "I acknowledge receipt of the clothing/equipment listed above, for which I hold myself responsible. I understand this clothing/equipment is government property and must be returned to the Navy in the event of my disenrollment. I further understand and agree to reimburse the Government for the value of any such clothing/equipment, which is lost or damaged through my own negligence or carelessness. These articles of clothing/equipment were issued to me in a clean and ‘ready to wear/use’ condition and I shall return them in the same condition. Furthermore, I understand that until the items are returned or reimbursed, my College Transcript will be withheld."

11. The cost of cleaning and laundering midshipmen uniforms and clothing during the academic year and summer training periods shall be the responsibility of the midshipman.

12. Authorized Quantity On Hand. Commanding officers are authorized to retain at the NROTC unit adequate uniform items to meet normal uniform issue requirements as well as outfitting for summer training. Commanding Officers shall take action to ensure that the amount of initial uniform items on hand does not exceed 125% of the average fall freshman enrollment for the current and past four academic years. The allowance for summer training clothing is 150% of the average fall freshman enrollment for the current and past four academic years. Calculations shall be made separately for males and females.
13. **Disposition of Used/Excess Clothing**

   a. **Not Ready for Issue (NRFI).** Used clothing determined to be unfit for reissue shall be disposed of at the NROTC unit by use of JUMS Survey, or turned in to the nearest DRMO branch for disposal. Unit disposition includes designating worn out uniforms for midshipmen’s use in performing odd jobs (e.g., cleaning, painting) but does not include donations of such clothing to charities or to private individuals. NRFI clothing can be transferred to NJROTC, other Navy activities, or turned in to the nearest DRMO.

   b. **Excess Clothing.** NROTC units shall send a list of excess clothing to NSTC OD54 every November. The report format shall include NSN, nomenclature, size, and quantity, (broken down by gender). From these listing a program-wide, collective list of excess clothing will be published. Units shall utilize this list when possible to fill requirements, in lieu of ordering new items from the supply system. NROTC units are authorized to contact other units directly to arrange transfer of items. If, after coordinating with other units and excesses are still held, the unit shall turn them in to the system as follows:

   (1) Step 1: Send excess ready for issue uniforms (condition code A) to the DPSC.

   (2) Step 2: Send remaining condition code A, and reusable used clothing to nearest reclaimed uniform clothing store.

   (3) Step 3: Send to DRMO.

   c. **Return of Ready for Issue (RFI) Uniforms to DPSC.** The following procedures apply:

   (1) Only new uniforms are acceptable. Do not ship uniforms that have been previously worn, stenciled, or tailored. FAX a copy to (215) 737-7255, of RFI uniforms to DPSC to get authorization for items to return. To get a verbal approval call (215) 737-3191.

   (2) Sort the uniform items by nomenclature, NSN, and size.
(3) Using the information below, complete a MTIS document for each NSN/size turned in. The following applies only to excess RFI uniforms returned to DPSC.

30  N
31-35  UNIT UIC
36-39  CURRENT JULIAN DATE
40-43  RT__ (two-digit serial number)
44  R
45  LAST POSITION OF FISCAL YEAR
46-50  7272R
51  A
52-53  VJ
67-68  20

A job order shall be set up for each school. The UIC in the document number and the other data as explained above shall be used to automatically post credit the STARS-FL. All copies shall be turned in to the NROTC accounting technician at NETPDTN N811.

14. Change of Unit Supply Technician. The NROTC unit shall conduct a 100% clothing and equipment inventory upon change/relief of unit supply technician. Supply technician shall report to PNS the condition of the stock, the storeroom, and the inventory accuracy. A storeroom validity of over 95% is recommended per NAVSUP P486.

15. PNS Change of Command. The NROTC unit shall complete a 100% equipment inventory before the change of PNS. At least 10 percent of the clothing stock shall be inventoried. If there is a significant inventory discrepancy (greater than 5% of the items checked), PNS shall conduct a 100% inventory prior to Change of Command.

16. Annual Inventory. The NROTC unit shall complete an annual clothing inventory by 1 November. The annual inventory requirement for the current year is considered to be satisfied when a wall-to-wall inventory of all clothing has been taken during the 6-month period prior to 1 November. The responsible officer shall review and sign the LOSS/GAIN History report for clothing. The report shall be printed from JUMS and dated from the last inventory to present and filed with the Inventory Record.
17. **Inventory Record.** A Memorandum for Record will be maintained stating that the unit supply technician maintains a computerized uniform inventory via JUMS Inventory Module.

18. **Recovery of Government Property.** The PNS shall require a disenrolling student to return all government property (e.g. clothing, books, ID cards, etc.). If a student leaves the NROTC unit without turning in all required government property, the PNS shall notify the university Bursar's office and place a hold on the student’s university transcripts. The PNS shall notify the student via certified mail, with return receipt, that such hold has been placed, and advise the student to return all government property to the unit. If government property is not returned, a survey report shall be filed by the PNS within 30 days from discovery of loss.

19. **Name Tapes.** Name tapes and U.S. Navy tapes request shall be sent to njrotc@nexweb.org with cc: eugene_gonzalez@nexweb.org.

20. **Transfer of Clothing.** Transfers can be made when there is excess property in one organization and shortages in another. The unit transferring the clothing shall complete the transfer report form in JUMS. Prepare the form with enough copies to meet the needs of the losing and gaining units. JUMS Training Binder tab 38 gives instructions for preparing transfer. Note: Both gaining and losing NROTC units’ document numbers and signatures are required on transfer documents.

**10-8 Commutation in Lieu of Uniforms.** It is DoD policy to provide commutation funds in lieu of uniforms for members of NROTC programs located at military schools. The provisions of the below instructions apply to essentially military and maritime academies, colleges, and universities which meet the criteria and exceptions below.

1. **The Institution Shall:**
   
   a. Confer baccalaureate or graduate degrees;
   
   b. Require military training throughout the undergraduate course for all qualified undergraduate students;
   
   c. Organize military or merchant marine students as a corps of cadets under constantly maintained military discipline;
d. Require all members of the corps, including those members enrolled in the NROTC, to be habitually in uniform when on campus;

e. Have as their objectives the development of the military or merchant marine student's character by means of military training and the regulation of their conduct in accordance with the principles of military discipline;

f. Require all members of the corps of cadets to be under constantly maintained military discipline at all times;

g. In general, meet military standards similar to those maintained at the service academies.

2. Exceptions. The designation "all qualified undergraduate students" in paragraph 3a(2) includes all physically fit students except:

   a. Students who are pursuing special undergraduate courses in excess of 4 years after completion of the required military training, and;

   b. Certain categories of students who are specifically excused by (board of trustees) administrative decisions and approved by the NROTC unit commander, (for example, Marine Corps Enlisted Commissioning Education Program students).

3. Commutation. Commutation-in-lieu of uniforms is payment made by the Navy to midshipmen at approved institutions instead of the issue of uniforms in accordance with 10 U.S.C., Chapter 103, Sections 2101-2111. Commutation in lieu of uniforms for NROTC students enrolled at military and maritime academies, colleges, and universities meeting the established criteria, is a reimbursement made to midshipmen for cadet uniforms based on the requirement to wear school-designated uniforms daily throughout the school year.

   a. Standard Commutation Rates. Each year, the Secretary of the Military Department concerned shall provide the estimated rates of commutation for basic and advanced ROTC programs to the Assistant Secretary of Defense (Force Management and Personnel) (OASD(FM&P)). The OASD(FM&P) will determine and notify the Military Services of the approved rates that will serve as a maximum rate for the year. Commutation rates shall be
determined based upon approved clothing prices as published annually by the Defense Logistics Agency. Uniform issue shall consider dress, duty (daily, seasonal), including insignia, outer garments and accessories, a consideration for alterations and maintenance (not to exceed $100.00 per cadet). NSTC OD5 shall publish the standard commutation rates to the Military Schools by October of every year.

b. Payments. Standard commutation payments shall be made directly to midshipmen during the second semester. For new scholarship students, the first semester shall qualify as an initial probationary period of enrollment. For College Program Students, the Basic Course is the probationary period. For students in the probationary period, payment will only be made to those who complete the probationary requirements specified in DODINST 1215.08. For students beyond the defined initial probationary period, payments will be made to those in good standing. It is the midshipman's responsibility to settle accounts with the school for their uniforms. Reimbursement payments shall be made on an annual basis. Any deviation from this policy shall require written approval from NSTC OD.

c. Naval Science Students. Naval Science students are not entitled to commutation. Only those students officially enrolled in the NROTC Scholarship or College Program shall receive commutation.

d. Navy Uniform Issuance. Navy uniforms shall not be issued at NROTC units where commutation funds are accepted. Summer training uniforms only shall be issued to members drawing commutation funds in accordance with directives, as required for each type of training. Such uniforms shall be returned to the unit upon completion of Summer Training.

4. Action

a. NSTC OD5 shall:

(1) Review standard rate estimates and submit special rate estimates when tasked by Office of Secretary of Defense (OSD).

(2) Promulgate the approved special rate to the NROTC units during the month of August annually or when the rate letter is officially signed by Office of Secretary of Defense (OSD).
b. NSTC OD Shall:

(1) Determine eligibility of schools for commutation in lieu of uniforms.

(2) Conduct inspections, arrange for and conduct other reviews as required by higher authority to ensure that individuals receiving commutation in lieu of uniforms are qualified.

c. Commanding Officers, NROTC Units shall:

(1) Notify NSTC OD5 for resourcing if eligible for commutation-in-lieu of uniforms,

(2) Monitor and inform NSTC OD5 of resource changes or problems which cannot be resolved locally,

(3) Include requirements for commutation in lieu of uniforms in annual and quarterly financial plans (Schedules C and C1).

10-9 Government-wide Commercial Purchase Card Program Overview

1. GSA Contract with Citibank. The General Services Administration (GSA) has a contract with Citibank to issue commercial purchase cards to authorized Government personnel to use in support of official Government purchases. The contract is commonly referred to as the "Government-wide Commercial Purchase Card (GCPC) Program".

2. Internal Operating Procedures (IOPs). IOPs for use of the GCPC is the NAVSUPINST 4200.99. NROTC Units may generate their own IOPs or use the NAVSUPINST 4200.99. If the NAVSUPINST 4200.99 is used, a memorandum for record must be generated and maintained on file.

3. Head of Activity (HA). The Commanding Officers or Officers in Charge at each NROTC Unit is designated to serve as the HA (after grant or delegation of authority letter is received) and is responsible for support/sponsorship to all participants in the GCPC Program as follows:

   a. Ensure that appropriate administrative and disciplinary actions are taken when fraudulent, improper and/or abusive purchase card transactions are found.
b. Ensure that all program participants have received the required training per DOD and DON policy and procedures.

c. Ensure refresher training on current DON policies and procedures and local IOP training is completed every two years.

d. Ensure program personnel, Agency Program Coordinators (APCs), Authorizing Official (AOs) and Cardholders (CHs) are properly appointed.

e. Ensure that the number of AOs and purchase card accounts appointed within a command is the minimum number necessary to meet mission requirements and within current span of control guidance.

f. Ensure that the roles and responsibilities of the individuals within their programs are not in conflict and adequate checks and balances are in place to manage local programs.

4. Separation of Functions. Controls shall be established to ensure that the cardholder does not buy supplies when he/she is the requisitioner; unless the goods are delivered, inspected, and accepted by other NROTC unit personnel or the purchase is specifically approved by the Approving Official.

5. Separation of Duties.

a. APCs shall not be AOs or CHs within the same hierarchy. In cases where commands have limited numbers of personnel to execute the Purchase Card Program and an APC must be an AO, an approved waiver is required from DON Consolidated Card Program Management Division (CCPMD). All requests must be forwarded through NSTC N41 to NETC for approval.

b. Individuals designated as AOs shall not be assigned as CHs or as APCs within the same hierarchy. If personnel considerations require an AO to be a CH, under no circumstances shall any CH be their own AO. In addition, AOs should be, to the greatest extent possible, the supervisor of the CH or be in the direct line of authority of their CH, but not supervisor of their APC.

6. File Retention. Financial documents (invoices, dispute documentations, receipts, etc.) shall be retained for a period of six years and three months.
Non-financial documents shall be retained for a period of three years (e.g. training certificates).

7. Requisition Form. A Requisition Form (e.g. Purchase Order Request Form) is required for all purchases. The request is initially prepared by the individual requiring supply/service and approved by the AO or his designated representative before procurement by the buyer.

8. Miscellaneous Documents. NROTC Unit CHs (military and civilian) shall ensure a Miscellaneous Document (internally) is created in FASTDATA prior to making credit card purchases. FASTDATA shall reflect funds availability. If funds are not available, the CH is not authorized to make the purchases(s). Proceeding without available funds is an “UNAUTHORIZED COMMITMENT,” and the CH is subject to both civil and criminal penalties.

9. Convenience Checks.
   a. Prior to establishing a convenience check account, the NROTC Unit must have an active GCPC program in place.
   b. Requests must be justified in writing and approved by the commander/director and coordinated through NSTC N4 and the Level III APC.
   c. Convenience checks may only be used after the NROTC Unit has made every attempt to use the GCPC.
   d. NROTC Units shall establish internal controls to include approval at least one level above the check writer.
   e. An officer or equivalent DOD civilian who is independent of the office maintaining the account must audit Convenience Check accounts yearly on an unannounced basis.

10-10 Small Arms and Ammunition

1. Allowance. All NROTC units must have an approved allowance to obtain or possess small arms and drill rifles. Small arms and drill rifles shall not be issued to or authorized for retention by those units that do not have an approved allowance. The only small arms allowed are .22 pistols and .22 rifles.
2. Establish Change of Small Arms Allowance. A proposed allowance or allowance change request in the form of a letter shall be submitted to:

Program Executive Office
Expeditionary Warfare (PMS325J)
1333 Isaac Hull Ave SE
Washington Navy Yard, DC 20736-2101

This proposal shall be submitted via NSTC OD5. The request shall contain justification, nomenclature, serial number, quantity, National Stock Number (NSN), and UIC.

3. Requisitioning Small Arms/Dummy Weapons. After an allowance has been established or increased, the small arms and dummy weapons authorized may be requisitioned by the NROTC unit through normal supply channels. Small arms are Mandatory Turn-in Repairables (MTRs). If they become unserviceable, utilize paragraph (b.) above to obtain replacements.

For NROTC units who need to have dummy drill rifles ONLY replaced, the following applies:

Call: (717) 605-5647 Commercial or DSN 430-5647
Email: david_w_reinert@icp.mech.navy.mil
The following information is required:

Name of Unit
UIC NSN (Stock Number)
Model
Quantity
POC name and phone number
Street Address (no PO BOX)

Additional information is contained in NAVSEAINST 8370.2 series.

4. Dummy Drill Rifle Spare Parts. Replacement parts for the MK5 -0, MK5-1, MK6-0, and MK6-1 dummy drill rifles are obtained by submitting an e-mail request to NSTC OD54.

5. Accessories. Initial issue of cleaning kits and cleaning fluid shall be provided by NSWC with issue of rifles. Spotting scopes, mats, jackets, replace supplies etc, may be purchased through NROTC unit OPTAR funds.
6. **Missing, Lost, Stolen, or Recovered Small Arms.** These shall be reported per references [OPNAVINST 5530.13C](#), [NAVSEAINST 8370.2](#).

7. **Inventory Requirements.** Small arms and dummy weapons are considered "signature required" controlled equipage. [NAVSUP Form 306](#) shall be used as a custody record and inventory control document. Small arms shall be inventoried annually, quarterly, and monthly as required by reference NAVSEAINST 8370.2 series. Dummy weapons shall be inventoried annually. Inventories shall be posted to Master Custody Cards.

8. **Ammunition.** Ammunition (.22) allocations are promulgated annually by NSTC OD. Units are authorized to requisition only enough ammunition to meet the quantity allocated. On hand quantity at the start of the fiscal year and the requisitioned quantity together shall not exceed the unit's total allocation by more than 25 percent.


10. **Ammunition Allocation**

   a. **Ammunition Requisition**

      (1) Activities west of the Mississippi River shall submit ammunition requisition to Naval Ordnance Center Pacific Fleet (NAVORDCEN AMMOPAC) over the telephone by calling, DSN 735-8454, COMM (800) 600-2666 or (619) 545-8454.

      (2) Activities east of the Mississippi River shall fax a copy to AMMOLANT. POC: Code 00300315, Fax numbers: DSN 953-4899, COMM (757) 887-4899. For confirmation of fax call, DSN 953-4745/4746, COMM (800) 600-2666, or (757) 887-4745/4746.

      (3) A copy of each ammunition requisition that is prepared by the unit shall be forwarded to NSTC OD54 and the original submitted to SPCC.
When requisitioning ammo use NSTC OD’s UIC N3560A in the first field of the document number, followed by the Julian Date; use series 8, followed by your unit's School Code, followed by a numeric number. For example, an Auburn AMMO requisition should read N3560A-8222-8101.

b. Accountability

(1) Receipts and expenditures shall be properly accounted for by the use of a document register/log. At the end of each firing day, the accountable person shall have each authorized user acknowledge by signature, the number of rounds he/she has fired.

(2) As a minimum, the ammunition accountability log shall contain the authorized user's name (printed), date, SSN, quantity issued, and signature.

(3) Ammunition shall not be stockpiled at the NROTC unit; only reasonable quantity shall be requisitioned and appropriately expended each fiscal year.

(4) The ammunition log shall be closed and balanced similar to closing a manual OPTAR log at the end of the month showing the number of rounds fired, current balance, receipts, and reviewed by an accountable officer with his signature along the balance on hand line.

(5) The accountable officer must be designated in writing by the commanding officer.

(6) Ammo Stock Record Card (NAVSUP 1296) NSN 0108-LF-501-2971 and Lot Locator Card (NAVSUP 1297) NSN 0108-LF-501-2961 shall be maintained and retained for three years.

11. Ammunition Transaction Report (ATR). A monthly ATR shall be submitted to NSTC OD54 for consolidation and further submission to SPCC Mechanicsburg. Monthly inventory report shall contain for each type of ammunition the NALC, NIIN, balance brought forward, expenditure, and balance on hand. If there is any receipt during the reporting period, the document number, NALC, NIIN, and source of supply's UIC shall also be reported. A copy of receiving document (DD 1348) shall be faxed to NSTC OD54. The report is due at NSTC OD54 no later than the 4th day of the month.
10-11 GSA Leased Vehicles. NSTC OD53 manages GSA leased vehicles. Requests for initial issue and replacement of vehicles shall be forwarded to NSTC OD53 for resourcing coordination with NSTC N8 prior to contacting GSA.

1. Procedures for maintenance, repairs, reporting requirement, monthly vehicle mileage reports, and forms and rules of operation are published in GSA Fleet Management Center at http://www.gsa.gov/portal/content/105198.


3. All accidents, however minor, shall be reported to NSTC OD5 and NSTC N8. Accident reporting procedures are published in GSA Fleet Management Center at http://apps.fss.gsa.gov/vehicles/leasing/accidentpolicy.cfm. A copy of this section is required in the log book of each vehicle.

4. Third Parties. All third parties who wish to file a claim for damage, injury, etc. shall be referred to the Region Legal Service Office (RLSO) Midwest for guidance for filing a claim. The third party shall complete an SF 95 and mail to:

   Commanding Officer
   Tort Claims Unit Norfolk
   9620 Maryland Avenue, Suite 100
   Norfolk, VA 23511-2989.

The Unit shall complete an investigation into the circumstances surrounding the accident and forward to RLSO Midwest for endorsement for final forwarding to the claims office in Norfolk where the claim is adjudicated. An investigation is required for all MVA's regardless of the extent of the damage, injury, etc. Units shall contact RLSO Midwest as soon as an accident occurs so they can assist with the investigation. The claims office requires completion of the investigation before the claim can be adjudicated.

5. For Regional Centers refer to http://apps.fss.gsa.gov/vehicles/leasing/accidentpolicy.cfm

6. Motor Equipment Utilization Record DD Form 1970 is required for usage. DD Form 1970’s must be maintained for four years.
7. Personnel Authorized to Drive GSA Vehicles. Operating GSA vehicles is limited to active duty personnel and DoN Civilians.

8. Loan of Vehicles. Loans of vehicles to non-NROTC unit commands are limited to minimum use due to cost and liability issues.

10-12 Member POV Parking Reimbursement.

1. Amount Reimbursable. Per Change 189 of JFTR/443 of JFTR of 1 Sep 02: Reimbursement of parking fees for NROTC unit staff personnel (military members and DoD Civil Service Employees only), "staff members shall be reimbursed for that portion of their monthly parking expenses in excess of $25.00 but not to exceed the amount that can be excluded from taxable income under federal income tax provisions ($185.00 per month). For example: monthly parking expenses of $135.00 would warrant reimbursement of $110.00 while monthly parking expenses of $320.00 would warrant reimbursement of $185.00."

2. Payment and reimbursement schedules. Most NROTC unit staff personnel do not pay their parking fees on a monthly basis. Most parking fees are paid by the semester/quarter. To equate the guidance into usable format for the NROTC Program, the reimbursement shall be looked at on an annual basis. The first $300.00 ($25.00 per-month X 12 months = $300.00) is to be paid by the member. The maximum amount that can be reimbursed annually is $2,220.00 by monthly increments of $185.00. ($185.00 (maximum amount that can be reimbursed per month) X 12 months = $2,220.00).

3. SF-1164. As schools may have different systems of charging parking fees, each NROTC unit shall calculate requirements to determine if they are eligible for parking reimbursement. If a member is eligible for reimbursement, reimbursement shall be made via a SF-1164.

4. POCs are NSTC OD53 at (850) 452-4974 and NSTC OD54 (850) 452-4414.

10-13 Tutorial Services

1. Tutorial service costs are limited to $3,000 for each NROTC unit, or each host school in a consortium, for the fiscal year. Units shall submit requests for exemption to NSTC Comptroller with a justification for the increase of funds.
2. Only calculus and physics tutorial services are normally authorized. Units may submit a waiver request to include additional subjects via NSTC OD3 and NSTC OD5 to NSTC Comptroller with justification included.

3. Only scholarship midshipmen are eligible for tutoring services.

4. Tutors shall be selected from recommended members of the faculty or graduate students. NROTC midshipmen or staff shall not be used.

5. Sharing. Units may share tutorial services with other services’ ROTC units as long as expenses are shared. If a flat hourly rate is charged, and no additional costs are incurred, non-scholarship midshipmen may attend tutoring sessions Contracted for scholarship students who must be in attendance.

10-14 Textbooks, Supplies, and Equipment. All books, including Naval Science books, supplies, and equipment provided by the government shall be accounted for via JUMS program.

1. Instructional materials may be issued via locally generated forms for the sake of expediency. The forms shall contain the following: printed name, all articles of instructional materials issued, accountability statement, and the student’s signature. This form is authorized for use a maximum of 30 days. All items shall be transferred to JUMS during this time frame.

2. Instructional Material Procurement

   a. Instructional materials, to include books, instruments, and videos which are required curriculum shall be provided by NSTC OD. Materials shall be ordered through JUMS using the Automated Instructional Material Request (AIMR) order form.

   b. Units shall complete the course scheduling form for each university prior to ordering instructional materials. The form is available 1 October and must be submitted through JUMS no later than 31 December of that year. After the course schedule is submitted, JUMS will automatically determine each unit's authorized quantity for the academic year.

   c. Instructional material ordering shall be submitted from 1 March to 31 July. Units shall only be able to submit one AIMR each year. Commissioning binders shall also be ordered through the AIMR. A separate ordering form shall be made available for
unexpected needs, however every effort must be made to order all materials via the AIMR. Additionally, NROTC units are not allowed to borrow materials from other units without prior approval from NSTC OD3.

d. Units shall post receipt of all instructional materials in JUMS within five working days of delivery. Training for the ordering and posting of instructional materials is available.

e. Supplemental materials may be procured by the NROTC unit using OPTAR funds under guidance established by OD3. These requirements shall be included in the annual budget submission whenever possible.

3. Procuring Navy and Marine Corps Publications


c. Defense Mapping Agency (DMA) products shall be ordered through NETPDTC N8612 by submitting a DMA order form. The administrative and lead time is approximately 6-8 weeks.

4. Disposition and Transfer of Unserviceable or Old Instructional Materials

a. Unserviceable instructional materials shall be transferred to DRMO. If a DRMO facility is unavailable, the school's recycling facility may be used. All unserviceable materials shall be transferred to DRMO in JUMS, listing the facility name in the comment block. A transfer report must be submitted to NETPDTC N8612 after materials are recycled. A **DD Form 1149** or **DD Form 1348** shall be submitted by the unit if a DRMO facility is used.

b. Old instructional materials can either be transferred to a local NJROTC unit or sent to DRMO. Old materials shall be transferred to the NJROTC unit or DRMO in JUMS, listing the facility name in the comment block. A transfer report must be submitted to NETPDTC N8612 when the materials have been transferred or recycled. A **DD Form 1149** or **DD Form 1348** shall be submitted if a DRMO facility is used.
5. Reporting Lost Instructional Materials. If instructional materials are lost, mutilated, or destroyed through negligence or carelessness, they shall be paid for with a money order or certified check by the student (personal checks are not accepted). The PNS shall make collections using the Cash Collection Vouchers DD Form 1131, cite MPN accounting data, and indicate the purpose of collection, date, student's name, and amount of each collection. The Cash Collection Voucher shall be forwarded to NETPDTC N811. The materials shall be surveyed in JUMS with a copy of the signed survey report forwarded to NETPDTC N8612.

6. Instructional materials that cannot be accounted for shall be surveyed in JUMS with a copy of the signed survey report forwarded to NETPDTC N8612.

10-15 Training Materials. Training materials include: transparencies, slides, Navy films/videos, and locally developed training aids. Units shall account for items defined as being of a durable nature capable of continuous or repetitive use. These training materials may be requested from NSTC OD32. If the material is not available for issue, the activity will be advised of the availability date.

10-16 Initial Telephone Service or Changes to Existing Service.
Units shall submit requirements by NAVCOMPT 2276 to the local Fleet and Industrial Supply Center (FISC). Refer to NSTC Comptroller for further guidance.

10-17 Cell Phones. Department of Navy activities are able to obtain cellular phone service through any of the three Fleet and Industrial Supply Center San Diego (FISCSD) multiple award contracts (Cingular/ATT Wireless; Nextel/Sprint Communications; and Verizon Wireless). These contracts are competitive Firm Fixed Price contracts with Indefinite-Delivery-Indefinite-Quantity (IDIQ) and Requirements clauses. Units do not have to complete a Request for Quote (RFQ) requesting pricing. Pricing from the listed carriers have already been determined to be “fair and reasonable”, and require no further competition. More information can be found on the Naval Supply Systems Command Nationwide DoN Wireless Contracts website at: https://www.navsup.navy.mil/navsup. Additional information regarding the available options for each company is also listed below.
CINGULAR/AT&T POINT OF CONTACT:
Rick Zambrano
VP of Federal Government Sales
410-858-7980 (mobile)
Rick.zambrano@att.com

NEXTEL/SPRINT POINT OF CONTACT:
Leah Henig
Program Manager - USN
Nextel of California
760-250-4601
Leah.henig@sprint.com

VERIZON WIRELESS POINT OF CONTACT:
Andy Weber
National Account Manager
(410)382-7921
andy.weber@verizonwireless.com

Process questions may be directed to NSTC N8 Accounting Technician, (847) 688-5409 ext 177; FAX (847) 688-3449 DSN 922.

10-18 NROTC Medical Matters. Certain medical services are required to support NROTC physical examinations for entrance into the program, such as pre-commissioning, flight training, submarine service, vaccinations and inoculations, etc. These services are normally provided by military facilities on a non-reimbursement basis. When necessary, medical services may be procured from civilian sources. Guidance and procedures to follow for NROTC medical matters are:

1. Military Facilities. Military treatment facilities and Military Entrance and Processing Stations (MEPS), shall be utilized to the maximum extent possible.

2. Travel in Connection with Medical Examinations. The PNS is authorized to direct the transport of NROTC Scholarship and College Program midshipmen to and from installations when it is necessary for them to undergo medical exams or for physical consultations and observations required by the commissioning or service selection processes (e.g. commissioning or flight physicals). The PNS may also authorize travel and per diem for Scholarship students only, while they are at, or traveling to or from, such installations in accordance with the Federal Joint Travel Regulations, paragraph U7150 using funds available. OPTAR funds issued to the PNS by NSTC Comptroller may be used. Every attempt shall be made to minimize costs and to arrange group
travel in all cases possible. The PNS may use government owned vehicles for the purpose of such group travel when the distance travelled is reasonable. Travel requiring airfare must be arranged through DTS, and will be funded through the CBA by NSTC N8. Questions regarding use of the CBA may be directed to (847) 688-3371/6808 extension 175.

a. Units located in remote locations, with no military medical facility or Navy Operational Support Center (NOSC), are authorized to procure civilian medical services for NROTC required vaccinations, inoculations, etc. Medical facilities within a radius of 50 miles of the unit are considered to be available, unless written notice from the facility is received that states they are unable to provide the service required.

b. The Government Commercial Purchase Card (GCPC) may be used to pay for civilian medical services valued at or below the micro-purchase limit for service which is $2,500. All requests above $2,500 must go through the NSTC Comptroller for appropriate obligation of funds and payment of invoices.

c. Summer Cruise Requirements. The DoDMERB cannot provide medical care for illness or injuries, or for Navy specific requirements for summer cruises (G6PD testing or blood typing). If possible individuals will obtain the physical during the summer cruise. If there is no doctor on board, then the individual has to obtain their physical prior to boarding.

10-19 Information Technology (IT). All IT purchases must be approved by NSTC CIO/N6 in advance.

1. NROTC units' contracting authority for computer acquisitions is limited to $2,500 per action, including items available under existing contracts.

2. Commanding officers of NROTC units to approve procurement of computer resources up to $25,000. Only those items listed as approved computer equipment in the annual OPTAR letter may be procured using appropriated funds.

3. All future requisitions for computer resources, including requisitions not exceeding $2,500 shall be procured by the NROTC units and include the following statement, signed by the commanding officer: "APPROVAL TO PROCURE COMPUTER RESOURCES IN ACCORDANCE WITH CNETINST 5231.1B, AND SECNAVINST 5231.1B PROCUREMENT OF THE COMPUTER RESOURCES DESCRIBED HEREIN IS
10-20 Reprographic Equipment. The purchase, lease, trial, replacement, or change in rental or lease plan of reprographics equipment is not authorized unless the requestor has complied with the requirements of the Navy Reprographic Equipment Program. The requestor must obtain written approval from the Defense Printing Service Office (DPSO) for all shore copying equipment with speeds of 71 or more copies per minute. The letter of approval shall be annotated on the purchase request, contract document, and purchase or delivery order. The NROTC unit servicing DPSO can generally provide historical and copier cost data.

10-21 Survey and OPREP Reporting. Use OPNAVINST F3100.6J series (Special Incident Reporting Instruction) and OPNAVINST 5530.13C series (DoN Physical Security Instruction for Conventional Arms, Ammunition, and Explosives) for loss of the following:

1. Any serialized or unserialized firearms, weapons, or ammunition regardless of value (does not include drill rifles).

2. Any government property having a value of $5,000 or more.

3. Any government property considered to be "sensitive items" regardless of the actual or estimated amount.

10-22 Transportation Account Code (TAC). The cost of TAC cannot be applied to Government Commercial Purchase Card purchases. It shall be posted against the NROTC unit OPTAR in accordance with NAVSUPINST 4200.85D series. This does not apply to MPN.

10-23 Allowed/Disallowed Expenses

1. Allowed Expenses. Scholarship students shall be furnished financial assistance for educational expenses toward a baccalaureate degree for:

   a. Tuition, including registration, matriculation, graduation, and laboratory fees.

   b. Health, student activity, athletic, library, student union, transcript, nursing liability insurance, and similar fees payable to the institution that are required of all full-time
undergraduate students, and are not optional nor can be rejected by the student.

c. Textbooks, articles, workbooks, and publications required for completion of courses, not to exceed the book stipend amount.

2. Expenses Not Allowed

   a. Special interest elective courses requiring additional fees, such as physical education courses for mountain climbing, skiing, horseback riding, etc., and courses in elective options for academic majors that require additional fees, e.g., flying instruction.

   b. Purchase of equipment with a long life cycle that would be of lasting benefit to the individual, such as type-writers, mini-computers, instruments, cameras, calculators, etc. If a long life cycle item is required for a course such as dissecting equipment, drafting tools, etc., it can be purchased by the NROTC unit, using NROTC unit OPTAR funds, and held in inventory as Government property to be issued and taken back into inventory.

   c. Expendable supplies: Pencils, pens, film and development, art supplies, computer disks, and paper.

   d. Refundable fees such as breakage or required deposits for use of apparatus.

   e. Tuition charges and fees for work undertaken as a result of past academic deficiencies or failures.

10-24 Receipt of Property from Defense Reutilization and Marketing Office (DRMO) or Army Central Issue Facility (CIF)

1. Defense Reutilization and Marketing Office. The Property Manager for the NROTC unit shall process all requests for property from Defense Reutilization and Marketing Office (DRMO) through the supply activity website http://www.drms.dla.mil/. NSTC OD54 shall approve all requests for items regardless of the intended purpose. Account for all property drawn from DRMO on the NROTC unit’s property record. Send copies of all receipt documents to NSTC OD54.

2. Requests for property from Army Central Issue Facility (CIF). The Army may have property at CIF that can be lateral
transferred to the NROTC. The Property Manager for the NROTC unit will process all requests through the Army CIF activity. The CIF does not have a shipping department, so all property must be picked up by NROTC unit personnel. Submit all requests for items, regardless of the intended purpose, for approval to NSTC OD54. All requests shall be in accordance with the CIFs SOP. Account for all property drawn from CIFs on the NROTC unit’s property record. Send copies of all receipt documents to NSTC OD54.

10-25 Storage of Supplies

1. Supply representatives shall use the following guidance to ensure proper storage of equipment and supplies:

   a. Maximize the use of available space. Provide orderly storage and ready accessibility.

   b. Reduce the possibility of material loss or damage.

   c. Minimize the possibility of personnel harm or equipment damage.

   d. Make inventories easier.

   e. Use labels (tags for items stored on pallets) to reflect the identity of items; e.g., nomenclature, national stock number (NSN), quantity, etc.

   f. Separate government property from school property and that property donated or purchased by the institution and midshipmen/cadet fund raisings.

   g. Ensure security of all government property.

   h. Use storage equipment for clothing that is insect treated, clean, and has smooth surfaces.

   i. Organize storage so that space is fully used. And that the storage area is clean and neat.

   j. Adherence to fire and safety standards.

   k. Excess items tagged to indicate what disposition is being taken.

2. Storage of MREs (Applicable to NROTC units only)
a. Operational rations require only temporary storage since they are normally consumed within the same school year.

b. Store rations in an area with limited access to safeguard them from theft.

c. Ensure protection from rodents through use of bait, traps, or other approved methods.

d. Store rations in a clean, dry area on dunnage at least 2 inches off the floor and 24 inches away from walls.

e. Store identical lot numbers together with identification data (lot number and date of pack) facing toward the aisles.

10-26 Meals Ready To Eat (MRE) and Tailored Operational Training Meals (TOTMs). MREs and TOTMs are the standard meals authorized for NROTC field training. On-hand quantity shall not exceed a one academic year (AY) requirement as justified by the NROTC unit’s master training schedule.

1. Strict accountability of MREs AND TOTMs is essential. Units shall maintain a log containing the recipient's name (printed), social security number, (last four) if available, date, and signature. A separate form is required for MREs and TOTMs, NAVSUP Form 306.

2. MREs and TOTMs may also be issued to students undergoing “pre-Marine OCS” training when it is impractical to provide other type meals.

3. Staff members and students drawing Basic Allowance for Subsistence (BAS) involved with the field training shall reimburse the government for MREs and TOTMs at the rate promulgated by NETPDTC N8. Charges collected shall be forwarded to NETPDTC N812 in the form of a money order or certified check payable to “US Treasury” with a DD Form 1131.

4. Ordering MREs and TOTMs. The Federal Acquisition Regulations (FAR) states the supply system is the first source of procurement for MREs and TOTMs. The ordering of MREs or TOTMs must be accomplished via MILSTRIP document in DoD eMail or directly uploaded into the supply system. Instructions for ordering MREs and TOTMs are covered in TAB 28 of the JUMS NROTC Training Binder.
5. Creating the MILSTRIP. Instructions for creating the MILSTRIP for ordering MREs and TOTMs are covered in TAB 28 of the JUMS NROTC Training Binder.

10-27 Document Register for Supply Actions

1. The document register is a record of document numbers assigned to supply transaction documents. It serves as the suspense file for open supply request transactions. NROTC units shall establish and maintain a document register to record all supply transactions pertaining to clothing, Organizational Clothing and Individual Equipment (OCIE), and other supply transactions that are not recorded by JUMS, FASTDATA or any other systems. Units shall maintain active and inactive (current and past years) document registers for 6 years.

2. Supporting document file. Supporting document file contains all documents that support open and closed-out entries on the document register. NROTC units shall establish and maintain a supporting document file to support all supply transactions in JUMS, FASTDATA and manual register in document number order.

10-28 Accounting for Lost, Damaged, and Destroyed Property.
This paragraph prescribes policy regarding accountability for all property losses, damages, and destruction when it occurs in NSTC. Types of property included under this policy are (which requires formal and informal accountability) minor, organizational, installation and midshipmen clothing/OCIE. It applies to loss of, damage to, and destruction of any NSTC property by active duty military personnel, government civilian employees, contractor personnel, NROTC midshipmen/cadets (NROTC/NJROTC), and educational institutions accounting for government property. Ensure timely processing when initiating methods of release from property accountability. The methods and circumstances for processing release from property responsibility follows:

1. Statement of charges/Cash Collection Voucher. Charges collected shall be forwarded to NETPDTC N812 in the form of a money order or certified check payable to “US Treasury” with a DD Form 1131. DD Form 362 shall be used when:
a. An active duty person, midshipmen/cadet, contractor personnel or government civilian employee admits liability and offers cash payment or agrees to payroll deduction to settle the charges of financial liability.

b. The charge does not exceed the monthly basic pay of the individual being charged.

c. There is not a mandatory requirement for either a report of survey or an investigation for sensitive items.

2. Surveys. A survey is the procedure required when Navy property or Defense Logistics Agency material is lost, damaged, or destroyed, except in incoming shipments. A survey’s purpose is to determine who or what is responsible and to affix the actual loss to the United States Government. To make a true determination, the facts surrounding the loss or damage must be thoroughly investigated in a timely manner. Use JAG Instruction 5800.7D CHAPTER II, and NAVSUP Publication 485 VOLUME III, Ashore Supply. The following forms are used in connection with survey procedures:

a. Survey (Generated from JUMS; see tab 37 and 38 of the JUMS NROTC Training Binder for instruction). This form shall be used if no personal liability exists.

b. DD Form 200, Financial Liability Investigation of Property Loss, Report of Survey. This form shall be used if personal responsibility is evident, when the incident involves a sensitive item and/or investigation, if the reviewing authority does not approve the survey, or if the Commanding Officer or higher authority so directs. The DD Form 200 shall be initiated by the person accountable or responsible for the property in question.

3. Educational institution accounting for government property under bonding/insurance provisions. An educational institution having accountability and responsibility for U.S. Government property reimburses the government for losses where negligence or willful misconduct is the proximate cause of the loss. Either the bond or insurance applies; or the institution reimburses the government for property lost, damaged, or destroyed. In this situation, assess an amount equal to the fair market value less depreciation. NSTC in coordination with the support installation FAO representative determines the method of collection.
4. **Midshipmen/Cadet Property Losses.** When a midshipmen/cadet loses clothing and equipment, allow them to reimburse the government using cash collection procedures or initiate a ROS if reimbursement is not received. In no case, shall an approving authority relieve a midshipmen/cadet when there is evidence of negligence.